HISTORY OF CONSTRUCTION CFR 257.73(c)(1)

Bottom Ash Complex

Mountaineer Plant New Haven, West Virginia

October, 2016

Prepared for : Appalachian Power Company

New Haven, West Virginia

Prepared by: American Electric Power Service Corporation

1 Riverside Plaza

Columbus, OH 43215



GERS-16-069

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Attachments

Attachment A – Location Map Attachment B – Construction Design Reports Attachment C – Design Drawings Attachment D – Instrumentation Location Map Attachment E – Hydrology and Hydraulic Report Attachment F – Maintenance Plan

1.0 OBJECTIVE

This report was prepared by AEP- Geotechnical Engineering Services (GES) section to fulfill requirements of CFR 257.73(c)(1).

2.0 DESCRIPTION OF CCR THE IMPOUNDMENT

The Mountaineer Power Plant is located near the City of New Haven, Mason County, West Virginia. It is owned and operated by Appalachian Power Company (APCo). The facility operates one surface impoundment for storing CCR called the Bottom Ash Complex.

The Bottom Ash Complex is comprised of diked embankments on the north, east, and west sides. The south side of the Bottom Ash Complex is incised. There are six main ponds within the Bottom Ash Complex as listed below. The Bottom Ash Ponds and Wastewater Ponds were designed in tandem; one Bottom Ash Pond and one Wastewater Pond are in service at a given time.

List of Main Ponds within the Bottom Ash Complex

East Bottom Ash Pond West Bottom Ash Pond East Wastewater Pond West Wastewater Pond Reclaim Pond Clearwater Pond

3.0 SUMMARY OF OWNERSHIP 257.73(c)(1)(ı)

[The name and address of the person(s) owning or operating the CCR unit: the name associated with the CCR unit: and the identification number of the CCR unit if one has been assigned by the state.]

The Bottom Ash Pond Complex is part of the Mountaineer Plant which is owned and operated by APCo. The Mountaineer Power Plant is located at 1347 Graham Station Road, Letart, WV, 25253 near the City of New Haven, Mason County, West Virginia. The Plant operates one surface impoundment for storing CCR called the Bottom Ash Complex. The State of West Virginia inventory ID number is #05307.

4.0 LOCATION OF THE CCR UNIT 257.73 (c)(1)(II)

[The location of the CCR unit identified on the most recent U.S. Geological Survey (USGS) 7 ½ minute or 15 minute topographic quadrangle map, or a topographic map of equivalent scale if a USGS map is not available.]

A location map is included in Attachment A.

5.0 STATEMENT OF PURPOSE 257.73 (c)(1)(III)

[A statement of the purpose for which the CCR unit is being used.]

The Bottom Ash Pond Complex is a surface impoundment for storing CCR. The Bottom Ash Ponds within the complex are used for primary settling and storage of bottom ash. The Wastewater Ponds provide secondary settling. Additional facility wastewaters (non-ash) are also discharged to the Wastewater and Clearwater Ponds.

6.0 NAME AND SIZE OF WATERSHED THE CCR UNIT IS LOCATED 257.73 (c)(1)(IV)

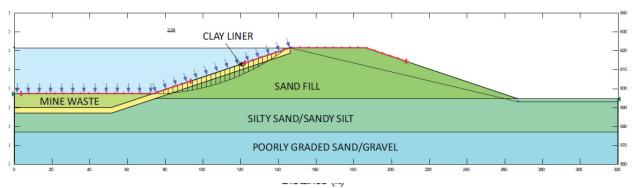
[The name and size in acres of the watershed within which the CCR unit is located.]

The Bottom Ash Pond Complex is located in the Upper Ohio-Shade watershed (HUC: 05030202) which has a listed acreage of approximately 897,312 acres. The Mountaineer Bottom Ash Complex is comprised of diked embankments on three sides which direct stormwater away from the impoundment and limit runoff to that which falls directly on the water surface. The land area to the south is open field area that is not generally graded toward the Bottom Ash Complex. Therefore, the area south of the impoundment does not contribute any runoff. The contributing watershed to the Bottom Ash Pond Complex is approximately 78 acres.

7.0 DESCRIPTION OF THE FOUNDATION AND ABUTMENT MATERIALS 257.73(c)(1)(v)

[A description of the physical and engineering properties of the foundation and abutment materials on which the CCR unit is located.]

The foundation materials of the Bottom Ash Complex consist of Silty Sand / Sandy Silt – Very Loose to Loose (SM) materials overlaying Poorly Graded Sand/Gravel – Dense to Very Dense (SP or GP). See figure and material properties below:



Material	Unit Weight (pcf)	Cohesion (psf)	Friction Angle (°)
Clay Liner	125	0	33
Mine Waste	140	0	36
Sand Fill	115	0	33
Silty Sand/Sandy Silt	115	0	28
Poorly Graded Sand/Gravel	120	0	34

8.0 DESCRIPTION OF EACH CONSTRUCTED ZONE OR STAGE OF THE CCR UNIT 257.73 (c)(1)(vi)

[A statement of the type, size, range, and physical and engineering properties of the materials used in constructing each zone or stage of the CCR unit; and the approximate dates of construction of each successive stage of construction of the CCR unit.]

The impoundment was designed by Casagrande Consultants in 1977. The original dikes were constructed of homogeneous material from soil borrowed from the site. The soil used to construct the embankments as determined from soil borings and lab testing is dense to very dense sand with Φ angle of 33° and cohesion of 0 psf. The impoundment was also constructed with a clay liner to control seepage. Construction records are unavailable however; the original design report and geotechnical details of the original dike system are included in Attachment B.

In 2006, the north and west embankments were modified to accommodate a gypsum conveyor system. The following is a summary of the 2006 modifications. A detailed engineering report describing these modifications is included in Attachment B.

- Additional fill was placed along the length of the downstream side of the north embankment to accommodate the conveyor and an access road
- Additional fill was placed at the toe of the northwest corner of the Bottom Ash Complex to accommodate a transfer house.
- Approximately 1300' linear feet of the downstream side of the west embankment was modified to accommodate the conveyor and access road. During construction of the conveyor, the cut areas were reinforced using geotextile, compacted soil and rip rap.

9.0 ENGINEERING STRUCTURES AND APPURTENANCES, 257.73 (c)(1)(VII)

[At a scale that details engineering structures and appurtenances relevant to the design, construction, operation, and maintenance of the CCR unit, detailed dimensional drawings of the CCR unit, including a plan view and cross sections of the length and width of the CCR unit, showing all zones, foundation improvements, drainage provisions, spillways, diversion ditches, outlets, instrument locations, and slope protection...]

The perimeter dike is approximately 7600 L.F. with a crest width of approximately 30' wide and inboard and outboard slopes of 2.5 to 1. A summary of the crest elevations of each pond is listed below.

	Crest Elevation
East Bottom Ash Pond	620.0
West Bottom Ash Pond	620.0
East Wastewater Pond	612.0
West Wastewater Pond	612.0
Reclaim Pond	610.0
Clearwater Pond	610.0

The outlet works for the Bottom Ash Pond cells consists of a reinforced concrete drop inlet structure with weir openings on three sides which include slide stop logs approximately 3-feet wide. A wooded surface skimming structure is constructed around the weir box. The outlet works of the Wastewater Pond cells consist of a 250-feet long concrete weir. The weir discharges into a concrete chute which

transitions into a box structure leading to a junction chamber. The chamber controls flows from the Wastewater Ponds into the Reclaim Pond and/or Clearwater Pond. The outlet works for the Clearwater pond consists of a 185-feet long concrete weir. The weir discharges into a concrete structure and into a discharge pipe to the Ohio River. The engineering drawings of the engineering structures and appurtenances are included in Attachment C.

Drainage is diverted around the Bottom Ash Pond Complex by natural drainage channels and grass lined ditches.

Slope protection along the inboard and outboard slope consists primarily grass vegetation and portions that are rip rapped.

A map with instrumentation locations in provided in Attachment D.

<u>10.0</u> SUMMARY OF POOL SURFACE ELEVATIONS, AND MAXIMUM DEPTH OF CCR, 257.73 (c)(1)(VII)

[...in addition to the normal operating pool surface elevation and the maximum pool elevation following peak discharge from the inflow design flood, the expected maximum depth of CCR within the CCR surface impoundment.]

The table below describes the normal pool elevations and maximum pool elevations as well as maximum depth of CCR within the impoundment.

	Bottom Ash Pond (East and West)	Wastewater Pond (East and West)	Clearwater Pond
Normal Pool Elevation	612.0	609.0	603.0
Maximum Pool Elevation following peak discharge from inflow design flood	613.3	609.3	603.6
Expected Maximum depth of CCR within impoundment	30 ft	Minimal	Minimal

<u>11.0</u> FEATURES THAT COULD ADVERSELY AFFECT OPERATION DUE TO MALFUNCTION OR MIS-OPERATION (257.73 (c)(1)(vii))

[...and any identifiable natural or manmade features that could adversely affect operations of the CCR unit due to malfunction or mis-operation]

In the event of malfunction or mis-operation of any of the pond's appurtenances the ponds operations could be adversely affected. These structures include weir structures and piping between pond cells, low water discharge gated structures, gated weir structures, effluent return piping and pump structures and influent sluicing piping and structures. See design drawings in Attachment C for location and details of all appurtenances.

<u>12.0</u> DESCRIPTION OF THE TYPE, PURPOSE AND LOCATION OF EXISTING INSTRUMENTATION 257.73 (c)(1)(VIII)

[A description of the type, purpose, and location of existing instrumentation.]

The Bottom Ash Pond Complex has 3 piezometers located within the structure of the dam. These piezometers are read on a minimum of every 30 days for the purpose of determining the phreatic water level within the dike. A location map is provided in Attachment D.

13.0 AREA – CAPACITY CURVES FOR THE CCR UNIT 257.73 (c)(1)(IX)

[Area-capacity curves for the CCR unit.]

The area capacity curves for the Bottom Ash Pond Complex are included in the Hydrology and Hydraulic Analysis Report by Terrecon, September 2015 in Attachment E.

14.0 DESCRIPTION OF EACH SPILLWAY AND DIVERSION 257.73 (c)(1)(x)

[A description of each spillway and diversion design features and capacities and calculations used in their determination.]

The CCR and stormwater are pumped into the facility through a series of pipes designed to handle the various required capacities. The pipes discharge into the facility through concrete vaults to handle the inflows. The inflow from the Bottom Ash Ponds flows into the Wastewater Ponds through a reinforced concrete drop inlet structure with weir openings on three sides connected to a 48 inch diameter steel pipe located in the southern dikes of the Bottom Ash Ponds. The Wastewater Ponds drain either to the Reclaim Water Pond or the Clearwater Pond through a gated distribution structure. A 36 inch diameter steel pipe connects the Reclaim Pond to the Clearwater Pond. Effluent from the impoundment facility is discharged through an outlet structure located in the Clearwater Pond. The outlet structure consists of a concrete overflow channel leading to a vault/riser with a 30-inch diameter metal outflow pipe. The outflow pipe leads to a dissipation structure and another 30 inch steel pipe from the dissipation structure to an outfall at the Ohio River. Complete details of each spillway structure are included with the design drawings in Attachment C. Hydrology and Hydraulic Analysis which include calculations for each spillway structure are included in Attachment E.

The Mountaineer Bottom Ash Complex is comprised of diked embankments on three sides which direct stormwater away from the impoundment and limit runoff to that which falls directly on the water surface. The land area to the south is an open field area that is not generally graded toward the Bottom Ash Complex. Therefore no formal diversions are present for this facility.

15.0 SUMMARY CONSTRUCTION SPECIFICATIONS AND PROVISIONS FOR SURVEILLANCE, MAINTENANCE AND REPAIR 257.73 (c)(1)(xi)

[The construction specifications and provisions for surveillance, maintenance, and repair of the CCR unit.]

Construction of the Bottom Ash Complex was completed around 1979. A Geotechnical Report by Casagrade Consultants completed in 1977 provided recommendations for construction of the Bottom Ash Pond. This report has been provided in Attachment E.

As required by the CCR rules the Bottom Ash Pond Complex is inspected at least every 7 days by a qualified person. Also as a requirement of the CCR rules the impoundment is also inspected annual by a professional engineer. Additionally, as a requirement by the State of West Virginia the impoundment is inspected once a month.

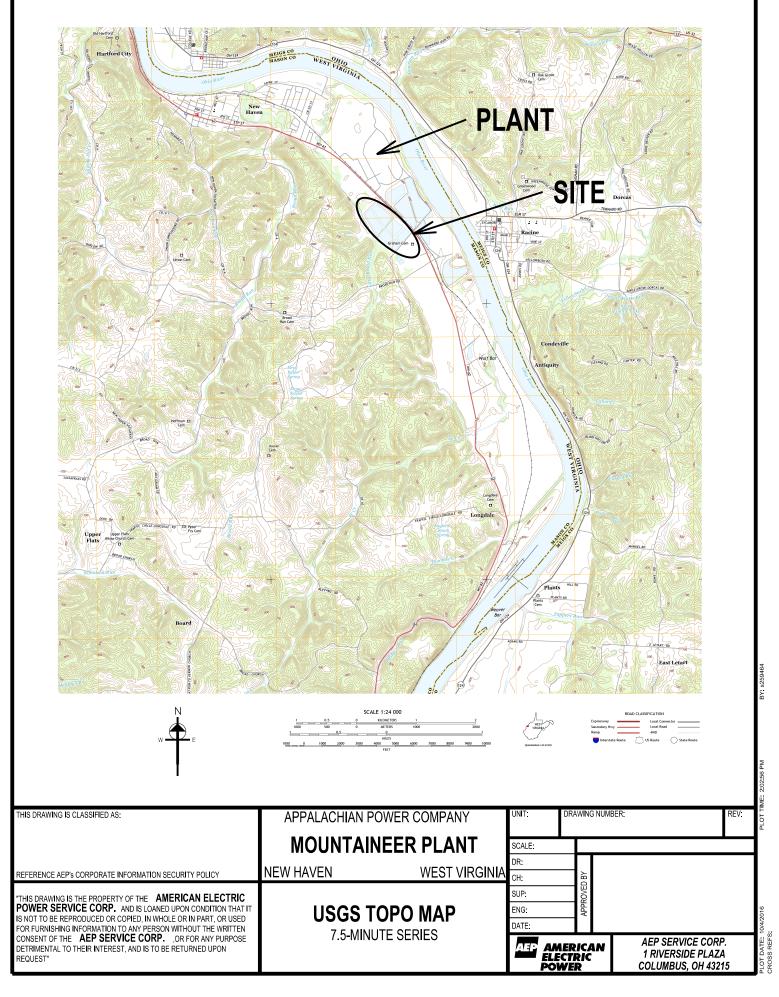
An impoundment maintenance plan is provided in Attachment F. If repairs are found to be necessary during any inspection they will be completed as needed.

<u>16.0</u> RECORD OR KNOWLEDGE OF STRUCTURAL INSTABILITY 257.73 (c)(1)(XII) [Any record or knowledge of the structural instability of the CCR unit.]

To date there has been no record or knowledge of structural instability of the CCR unit.

ATTACHMENT A

LOCATION MAP



ATTACHMENT B

DESIGN REPORTS

CASAGRANDE CONSULTANTS

Arthur Casagrande Leo Casagrande Dirk R. Casagrande

FOUNDATIONS & EARTHWORKS

AEPMTP-000389

April 18, 1977

A 19

Mr. John R. Struyk Asst Vice President and Chief Civil Engineer American Electric Power Service Corp. 2 Broadway New York, N.Y. 10004

> Subject: Project 1301 Bottom Ash Ponds

Dear Mr. Struyk:

We transmit herewith five copies of our report on the proposed bottom ash ponds for Project 1301. Please let us know if you should require additional copies.

Sincerely yours,

Milasagrande

D. R. Casagrande

40 Massachusetts Avenue, Arlington, Massachusetts 02174 – Tel (617) 648-3630 Pierce Hall, Cambridge, Massachusetts 02138 – Tel (617) 495-2843 or 648-3630

CASAGRANDE CONSULTANTS

FOUNDATIONS & EARTHWORKS

AEPMTP-000390

Arthur Casagrande Leo Casagrande Dirk R. Casagrande

Xed 4/19

April 15, 1977

Mr. John R. Stylyk Asst Vice President and Chief Civil Engineer American Electric Power Service Corporation 2 Broadway New York, N.Y. 10004

> Subject: Project 1301 Bottom Ash Ponds

Dear John:

In response to Paul Anderson's letter of March 1, we submit below the results of our investigations regarding

- the existing subsurface conditions in the proposed pond area;
- (2) the suitability of the clay from the coal storage area for lining of the ash ponds;
- (3) the proposed cross-section of dikes;
- (4) stability and settlement analyses, and seepage and underseepage studies, as required by the Department of Natural Resources, State of West Virginia; and
- (5) supports for the pipeline and truck bridges over Route 33.

Subsurface Conditions in Area of Bottom Ash Ponds

The locations of 15 exploratory borings which were made in the area of the proposed bottom ash ponds, are shown in Fig. 1. The borings were made by the AEP Civil Engineering Laboratory during the period October 1976 to March 1977. The logs of these borings are contained in Appendix II. The elevation of the existing ground surface in the area of the proposed bottom ash ponds ranges between about El. 593 and 615.

40 Massachusetts Avenue, Arlington, Massachusetts 02174 Tel. (617) 648-3630 Pierce: Hall, Cambridge, Massachusetts 02138 Tel. (617) 495-2843 or 648-3630 Our description of the split-spoon samples and the results of classification tests are contained in Tables 1 to 15 in Appendix I. The results of the liquid and plastic limit tests are also plotted on the plasticity chart in Fig. 2.

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At 8 of the 15 borings (401 to 404, 406, 409, 412 and 415), clayey or silty fine sand extends from the ground surface to a depth of 10 to 20 ft. At 5 borings (407, 408, 410, 411 and 413) the top stratum consists of clean fine sand and/or fine to medium sand, which extends to a depth of 30 to 60 ft. At 2 borings (405 and 414) the upper approximately 5 ft consist of silty and sandy clay, underlain by 15 to 20 ft of clean fine sand or fine to medium sand.

Grain size distributions of representative samples from the top 15 ft of overburden are plotted in Fig. 3. At all borings the granular soils become coarser with depth, and at 13 of the 15 borings sand-gravel was found at depths ranging from 18 to 58 ft.

The ground water level during drilling was found to range between 47 ft and 60 ft below ground surface.

Suitability of Clay from 1301 Coal Storage Area for Lining of Ponds

Four split-spoon borings were made in the coal storage area at Project 1301, to investigate the suitability of the clay for lining of the ponds against seepage. The logs of these borings, 505, 506, 513 and 514, are included in Appendix II. We did not receive a boring location plan.

Three of the borings disclose clay to depths ranging from about 5 ft to 20 ft, but there was apparently no clay at Boring 506. As shown in Tables 16 to 19 of Appendix I, and in the plasticity chart in Fig. 2, the clay ranges from very sandy, with a liquid limit of 30, to relatively plastic clay with a liquid limit of 51.

We performed one permeability test to establish whether the most pervious of the clay samples, i.e. the very sandy clay from a depth of about 4 ft in Boring 505, would be acceptable for lining the ponds. For the test, the clay was compacted at the natural water content into a 4 cm long cylindrical specimen with a diameter of 3.6 cm. During compaction it was noticed that the natural water content is considerably above standard optimum. The specimen was saturated, consolidated and tested for permeability in a triaxial chamber under an effective confining pressure of 0.5 kg/cm². During consolidation, the water content decreased from 21.4% to 17.3% The computed coefficient of permeability was $k = 2.2 \times 10^{-8}$ cm/sec. Because the more plastic clays are less pervious than the very sandy clay, it can be concluded that all clays from the coal storage area are suitable for lining ash ponds, provided (1) that they are compacted within \pm 2% of standard optimum water content and (2) that the thickness of the clay lining is not less than 3 ft.

Proposed Cross-Section of Dikes

AEP proposes to construct the dikes using the soils excavated from within the pond area. The required depth of excavation to reach grade elevation within the ponds increases from about 7 ft within the area of the north ponds, to about 16 ft in the area of the south ponds. The two borings which were made within the areas of the north ponds, and the borings along the alignment of the dikes indicate that most of the soils to be used for the dikes range from silty and clayey fine sand to fine to medium sand. In compacted state, such soils have satisfactory strength properties, but are very susceptible to erosion by runoff during rain and due to leakage from the pipes along the crest. Therefore, the crest and downstream slope of the dike must be adequately protected against erosion. The height of the dikes above original ground surface decreases from about 27 ft at the northeast corner of the area, to zero at the southeast corner. A typical cross-section is shown in Fig. 4, which includes a layer of mine waste (1) on the outside slope for protection against erosion, (2) on the inside slope for protection of the clay lining against damage and (3) on the crest for erosion protection and as a road base. Mine waste is available at the nearby Philip Sporn coal mine. The grain size curve of a sample of this material, shipped to us by the AEP Civil Engineering Laboratory, is plotted in Fig. 5. This well-graded material would be suitable for the proposed uses.

The mine waste on the crest of the dikes should be covered with a layer of compacted crushed stone. Where heavy equipment and trucks will be operating on the crest of the dikes, the thickness of crushed stone should be at least 18 in. A plastic filter fabric on the crest, as recommended in our letter of January 31, will not be required if the mine waste is used.

For surface drainage, the crest must be sloped not less than 3% in downstream direction, and the interface between mine waste and underlying fine sand should have a parallel slope.

Stability, Settlement and Seepage Analyses

<u>1. Stability</u> - To obtain an indication of the strength of the materials to be used for the main portion of the dikes, we performed one triaxial S (consolidated-drained) test on a compacted specimen of silty fine sand. Because the individual split-spoon samples did not contain sufficient material to form a test specimen, Sample 1 from Boring 406 was mixed with Sample 1 from Boring 415. These samples have similar grain size curves, as shown in Fig. 3. The results of this test, plotted in Fig. 6, show that the angle of internal friction of this compacted specimen is $\phi = 40.4$ degrees. Therefore, the stability of these dikes

AEPMTP-000393

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with the proposed 1 on 2.5 slopes (Fig. 4) is not controlled by the angle of internal friction of the compacted dike material, but rather by the strength of the foundation strata.

The weakest soil encountered in the borings was a silty clay from a depth of 3.5 to 5.0 ft in Boring 405. As received, the split-spoon sample was very soft. However, the N-value of 7 blows/ft indicates that the in situ consistency is firm to stiff. The log of Boring 405, in Appendix II, does not give the thickness of this layer. Its in situ consistency, thickness and lateral extent should be investigated before the dikes are constructed.

The areas under the dikes should be proofrolled, after stripping, with a loaded scraper or dump truck. Areas where weaving is noticed should be investigated and any soft to firm clay should be excavated.

For the stability analysis, we have assumed that locally some firm clay is overlooked, and is not excavated from the foundation of the dikes. In addition, the following assumptions were made:

- The maximum height of dike (27 ft) is directly underlain by firm clay with a shear strength of 0.5 ton/sq ft.
- 2. The upstream and downstream slopes of the dikes are 1 on 2.5.
- 3. The minimum crest width of the dike is 30 ft.
- The end-of-construction condition, with no water or ash in the ponds.
- 5. The unit weight of the dike materials is 130 pcf.
- 6. At-rest coefficient of earth pressure in the dikes, $K_0 = 0.5$.

7. The seismic coefficient during an earthquake is 0.1.

For these assumptions, the factor of safety during an earthquake, computed as shown in Fig. 7, is FS = 3.2. This factor of safety corresponds to a mobilized friction angle of 25° , which is reasonable for a silty clay. Where the dikes are underlain only by granular soils, the factor of safety would be greater because even loose sand would have an angle of friction larger than 25° . The condition where the pond is filled with water and ash does not govern the stability because the at-rest earth pressure acting in upstream direction at the center of the dike is greater than the pressure of the ash and water acting in downstream direction.

2. Settlement - With some local exceptions, the dikes will be underlain only by granular soils. The majority of the standard penetration resistances within 20 ft of the ground surface exceed 10 blows/ft which, for sand, indicates a relative density of at least 50%. However, some of the penetration resistances were less than 10 blows/ft, with the lowest value of 6 blows/ft in fine sand at a depth of 9 ft in Boring 407, 19 ft in Boring 408 and 29 ft in Boring 412, and such relatively loose sands will contribute to settlements.

The settlements of the higher portions of the dikes due to compression of the top 20 ft of fine sand may range between 2 and 4 in., and will develop during construction. Any differential settlements will be very gradual and will also be completed by the time the dikes are finished. Settlements due to compression of a well compacted fill in the dike and due to the lower foundation strata will be less than 1 in., and will be completed as soon as the dikes reach crest elevation.

Because all soft to firm clay will have to be excavated from the foundation of the dikes, such clay will not contribute to settlements. Any stiff clay has been preconsolidated to loads in excess of the load applied by the highest portion of the dikes and would, therefore, not contribute significantly to settlements.

3. Seepage and Underseepage Analyses - If the ponds are lined with a layer of well compacted clay at least 3 ft thick, seepage from the pond will be very small. For the conservative assumptions of the sandy clay lining with a coefficient of permeability $k = 2.2 \times 10^{-8}$ cm/sec and of the maximum 22 ft depth of water in the pond, the rate of leakage through the lining in the bottom of the pond will be

q = k.i.A.t = 0.003 gallon/sq ft/day

where

i = hydraulic gradient = 22/3
A = area
t = time

Therefore, for one of the larger ponds, the total seepage through the lining will be at the rate of about one gal/min.

The water seeping through the bottom lining will percolate vertically through the underlying relatively pervious strata to the ground water table at a depth of 47 to 60 ft below existing ground surface.

Because the granular soils in the dikes will be much more pervious than the clay lining on the inside slopes of the dikes, all water which seeps through the lining will also flow vertically to the base of the dike. Where the dikes are underlain only by granular soils, this water will then continue vertically through the in situ soil toward the ground water table. However, where clay directly underlies the dikes, the water will in part flow horizontally toward the downstream toe of the dike. With full pond, the rate of seepage through the clay blanket on the inside slope of the dikes will vary from about 0.003 gal/sq ft/day at the bottom of the slope to zero at pond level.

If the bottom lining is seriously damaged during reclaiming operations, the seepage through the "windows" in the lining will flow down to the ground water table and there will be no underseepage beneath the dikes.

Supports for Pipeline Bridge and Truck Bridge

Together with the logs of the borings made in the coal storage area, we received the logs of Borings 701 and 703 which were made for the proposed pipeline bridge, and Borings 801, 802 and 803 for the truck crossing bridge. However, we did not receive a location plan for these borings, nor any samples. The logs of these borings are included in Appendix II.

According to the boring logs the top 5 to 8 ft at Boring 701 consist of stiff to v. stiff clay, which is underlain by granular soils down to bedrock at a depth of 80.5 ft. At Boring 703, the clay stratum appears to be 15 to 18 ft thick, of which the top 10 ft are probably stiff to very stiff and the lower 5 to 8 ft may be only of firm consistency. The clay stratum at Boring 703 overlies granular soils which extend to bedrock at a depth of 83 ft.

In Boring 801, at the proposed truck bridge, approximately 8 ft of compact ash and gravel fill are underlain by about 10 ft clayey and sandy silt which gradually becomes coarser with depth. At Borings 802 and 803, the fill is absent; and the clayey silt at Boring 802 is approximately 13 ft thick, and about 7 ft thick at Boring 803.

Without detailed information on the design of the bridges and loads involved, we can only offer the following tentative opinion:

- If the bridge structures are designed so as to permit differential settlements of a least one inch between neighboring piers, spread foundations are feasible.
- 2. The allowable bearing capacity of the upper stiff to very stiff clay and clayey silt may be of the order of 1 to 2 tsf. The ash and gravel fill would probably permit greater unit loads.
- 3. If spread footings are contemplated, determination of the allowable design load and resulting settlements would require testing of undisturbed samples of clay and clayey silt.

Conclusions and Recommendations

- 1. All topsoil and soft to firm clay should be removed from the area of all dikes.
- 2. The local silty or clayey fine sands may be used for construction of dikes with sideslopes of 1 on 2.5, provided these materials are compacted in lifts not exceeding 6 in., measured after spreading, and provided the slopes and crest are protected against erosion.
- 3. Judging from experience, we believe that topsoil and vegetation would not offer adequate protection against erosion of slopes constructed of such fine sand. The outside slopes should be covered, as shown in Fig. 4, with well-graded crushed stone or mine waste of the type available at the Sporn mine. The slope surface could then be covered with topsoil and seeded, except for the bottom 2 ft to permit free drainage at the downstream toe.
- 4. The clay from the coal storage area is well suited for lining the ponds. The bottom of the ponds and the inside dike slopes should be lined with 3 ft of this clay, compacted in thin lifts at water contents within <u>+</u> 2% of standard optimum.

The total loss of water by seepage from one of the larger ponds, with maximum pond level, would be about one gallon per minute. There will be no underseepage beneath the dikes.

- 5. In order to reduce the danger of severe damage to the lining on the inside slope of the dikes during dragline excavation of bottom ash, a protective cover of mine waste or crushed stone, as shown in Fig. 4, is recommended. During reclaiming, a protective layer of bottom ash should be left at the bottom of the ponds, to prevent damage to the bottom lining.
- Dikes subjected to heavy traffic loads will require an 18 in. 6. layer of crushed stone, on top of a 3 ft layer of mine waste.
- The crest of the dikes should be sloped transversely toward 7. the outside edge of the crest, not less than 3%.
- The total settlements of the highest portions of the dikes 8. may range between 2 and 4 in. These settlements will develop almost entirely during construction.
- The factor of safety against failure of the highest portion 9. of the dikes is 3.2 if underlain by clay, and greater where underlain by granular soils.
- If the pipeline bridge and truck bridge are to be supported 10. on spread footings, the allowable bearing capacity of the clay and the magnitude of settlements should be investigated by means of undisturbed samples.

Sincerely yours,

L. Casagrande

LC:wc

Appalachian Power Company

Mountaineer Bottom Ash Pond Complex

North and West Dike Modification

ENGINEERING REPORT

March 21, 2006

State of West Virginia		State of West Virginia	Page 1			
DS-1		DS-1	Department of Environmental Protection	raominoadon		
	012003 Division of Water and Waste Management Dam Safety Section			NumberOffice Use Onl	ly	
ſ			Dam Safety Section APPLICATION FOR A CERTIFICATE OF APPRC)//A1		
			AT LIGATION TORA CERTIFICATE OF AFFIC			
-	1.	Name of Dam	Mountaineer Bottom Ash Pond (North and West) Dikes	11. Application is for: (check one)	use form:	
		Popular name	Mountaineer Bottom Ash Pond Complex	New constructionModify/Repair	DS1A DS1A	
		Reservoir name	Mountaineer Bottom Ash Ponds (East and West)	Existing structure Removal	DS1A DS1A DS1B	
	2.	Applicant	Appalachian Power Company	Abandonment	DS1B DS1B	
		Address	1 Riverside Plaza	Breach	DS1B	
		City <u>Columbus</u>	State OH Zip 43215	12. Type of dam Earth		
		Phone (614)	716-2926 Fax (614) 716-2963	(earth,concrete,rockfill, e	etc.)	
	3.	If the applicant is	not the owner, the applicant is acting for the owner as	13. Purpose of dam Bottom Ash Disposal		
		(agent	, lessee, trustee, engineer, etc.)	(water supply, recreation,	etc.)	
	4.	Owner of dam/re	eservoir Appalachian Power Company	14. a. Location of dam		
	<u>1 F</u>	Riverside Plaza, C	Columbus, OH 43215	Mason County		
ſ		(address)	(phone)	New Haven, WV		
`	5.	Owner is a	Individual S Corporation Agency	(nearest Post Office) N38° 58')	
			Partnership Association Other	(Latitude: deg,min,sec W81° 56' 25''		
	6.	If owner is other the name	nan an individual, list officers or officials of the organization	(Longitude: deg,min,se	эс)	
	Mi	ichael G. Morris	Chief Executive Officer	b. Name of stream Upground Reservoir		
	Ro	bert P. Powers	Vice President	(on which dam is locate	ed)	
	Su	san Tomasky	Vice President	Ohio River		
	7	Name of ourface	a landowner(s) Appalachian Power Company	(tributary of)		
				15. U.S.G.S. Quadrangle New Haven		
	Add	ress I Riverside	e Plaza, Columbus, OH 43215	(7 ½ minute series)		
				16. Distance to nearest do	own-	
	8.	Legal right to cons	struct or modify a dam is by 🛛 Deed	stream occupied structure	i	
	X	Approval	Agreement 🗇 Other	1500 feet		
	9.	Name of design	engineer Pete Smith, Shaw Stone & Webster, Inc.	17. Nearest downstream		
Ć		lress 9201 E. Dry		community		
	-	Centennial.	CO 80112	New Haven, WV		
	10,	name of resident	t or construction engineer Yogesh Rege, PE w/ H.C. Nutting Co.	(name)		
	Add	ress 790 Morriso Columbus, C		2,000 <u>1.7 mi</u> (population) (dis	Iles stance)	

)S - 1200	1A APPLICATION FOR A CERTIFICAT 33 EXISTING DAM OR CONSTRUCTION			Identification Number		Page 2 of 2
U					Office	Use Only	
18	Do	wnstream hazard classification2	_ 19. D	rainage area	east: 17.4 w	est: 17.7	
1	Da	(1, 2, 3, or 4) m and reservoir data (All elevations based	upop II S	C.S. foot abo	(acres)	1)	(square miles)
			· · · · · · · · · · · · · · · · · · ·	.0.3. leet abov	ve mean sea level	i)	
	For	TE: For existing dams, complete first column o construction of a new dam, complete second colu y. For modification of a dam, complete both column	imn	Existing/C configurat		Final Des configura	-
	a.	Height of dam (item n minus item m)	feet	east: 23 ft. v	west: 18 ft.	east: 23 ft	. west: 18 ft.
	b.	Crest length of dam	feet	east: 3650 f	t. west: 3750 ft.	east: 3650	ft. west: 3750 ft.
	C.	Crest width of dam	feet	25 to 40 ft.	<u></u>	25 to 40 ft	-
	d.	Freeboard (normal pool to crest)	feet	3 ft.		3 ft.	
	e.	Normal pool surface area	acres	east: 13.9 w	vest: 14.1	east: 13.9	west: 14.1
	f.	Max. design pool surface area	acres	east: 15.1 w	vest: 15.3	east: 15.1	west: 15.3
	g.	Pool surface area (top of dam)	acres	east: 15.8 w	vest: 16	east: 15.8	west: 16
	h.	Normal reservoir volume	ac.ft.	east: 193 w	vest: 152	_east: 193	west: 152
	i.	Max. design reservoir volume	ac.ft.	east: 266 w	vest: 225	east: 266	west: 225
	j.	Reservoir volume (top of dam)	ac.ft.	east: 312 w	rest: 272	east: 312	west: 272
	k.	Design point rainfall (six hour duration)	inches	27.5		_27.5	
	١.	Upstream toe (lowest)	elev	west: 600 ft	. east: 596 ft.	west: 600	ft. east: 596 ft.
C	m.	Downstream toe (lowest)	elev	west: 603 ft	. east: 598 ft.	west: 603	ft. east: 598 ft.
	n.	Top of dam (crest)	elev	620 to 621 f	<u>`t.</u>	620 to 621	ft
	0.	Principal spillway (low inlet)	elev	NA		NA	
	p.	Principal spillway (high inlet)	elev	east & west:	: 611.5 ft.	east & wes	st: 611.5 ft.
	q.	Principal spillway capacity	cfs	east: 109 we	est: 109	east: 109 v	vest: 109
	r.	Reservoir drain inlet	elev	NA		NA	
	s.	Reservoir drain capacity	cfs	NA	TER J. SAA	NA	· · · · · · · · · · · · · · · · · · ·
	t.	Emergency spillway (crest)	elev	NA ZING	CLER J. SAM	NA	
	u.	Emergency spillway capacity	cfs	NA	· 16139	NA	
	v.	Normal pool	elev	612 ft.	STATE OF T	<u>5612 ft.</u>	
	W.	Max design pool	elev	617 ft.	VIRGIT G	<u>\$ 617 ft.</u>	
	x.	Max solids (waste disposal)	elev	617 ft.	NONAL EN MIL	617 ft.	
	у.	Upstream slope of dam		<u> </u>	<u>1</u>	<u>3</u> H:	<u>1</u> V
·	z.	Downstream slope of dam		<u> </u>	<u>1</u> V	<u>2.5</u> H:	<u> 1 v</u>

21. Included with this application are the maps, plans, specifications, supporting calculations and filing fee of \$300 as required by the Dam Safety Rules (47CSR34-18.1).

I certify that the application and accompanying plans, specifications, drawings and supporting calculations were 22. prepared under my direct supervision, and are true and correct to the best of my knowledge.

3/22/06 (date)

KJ. Appalachian Power Company (print owner's name)

<u>3-20-06</u> m (signature of design engineer)

(date)

RPE No. 16139 State WEST VIRGINIA

(owner signature)

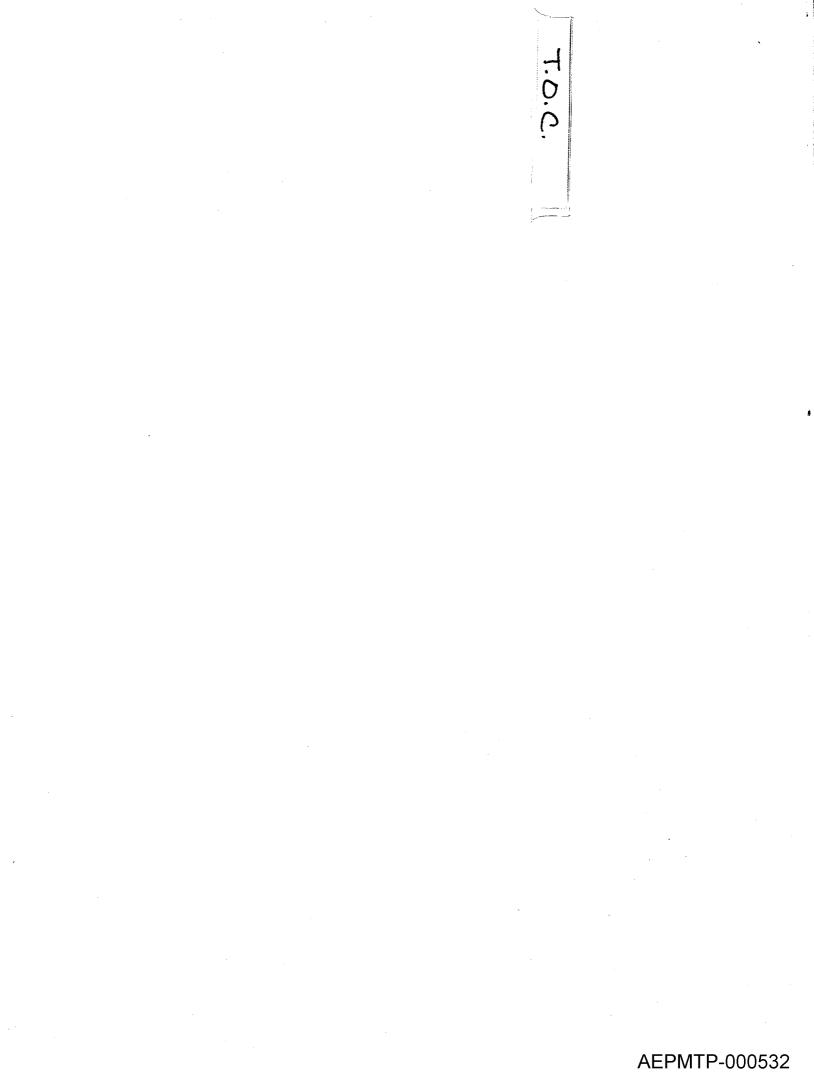


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- 1. Narrative
- 2. Engineering Analysis
- 3. Specifications
- 4. Drawings

Narrative

Accompanying Application for a Dam Safety Certificate of Approval for AEP Mountaineer Bottom Ash Ponds

The Mountaineer East and West Bottom Ash Ponds were designed American Electric Power Service Corporation and its sub-consultants in 1977. The ponds were constructed between 1978 and 1980.

A gypsum conveyor system, which is an ancillary part of the installation of flue gas desulphurization units, or scrubbers, at the Mountaineer plant, is to be constructed at the site. The alignment of the new overland gypsum conveyor #1 lies on the downstream slope of the north side of the Mountaineer West Bottom Ash Pond. Berms will be constructed on the north side of the west pond to accommodate the conveyor and accompanying access road. The alignment of the new overland gypsum conveyor #2 lies on the downstream slope of the west side of the Mountaineer West Bottom Ash Pond. The alignment and grade of conveyors #1 and #2 are shown on the enclosed Drawings 1-30215-2 and 1-30216-2. The enclosed drawings are a subset of a large construction drawing set for the material handling system and are the only drawings of that set that deal with the bottom ash dikes. The grade of conveyor #2 along the west side requires cuts of up to about 6 feet near the toe of the dike as well as fills of several feet. The relevant construction Drawings and the earthwork specification (Shaw Stone & Webster PS-J005) are enclosed with this application.

The stability at three critical sections was analyzed using two-dimensional limit equilibrium methods. Two sections (labeled as sections A and B in Drawing 1-30215-2) are along the north side of the pond and the third (labeled as section C in Drawing 1-30215-2) is at the maximum cut along the west side of the pond. The soil density and strength in the stability model is the same as used for similar soils in the 2001 application for dam safety certification for the North Sporn Dike and is also consistent with the original geotechnical design by Casagrande Consultants. The stability calculations are enclosed (Shaw Stone & Webster calculation 1024690305-G-003).

The following conclusions were reached:

- 1. The stability analysis of the Mountaineer Bottom Ash Pond perimeter dike along gypsum conveyors #1 and #2 demonstrate that the stability of the downstream slope of the dike meets West Virginia dam safety criteria as outlined in WV Code of State Rules Title 47 Series 34.
- 2. Parametric studies of the influence of piezometric conditions indicate that the toe of the slope along gypsum conveyor #2 may experience very shallow slumps if allowed to become saturated (see Drawing 1-30215-2). Therefore, a blanket drain will be constructed at the toe and on the face of cut slopes along gypsum conveyor #2.

List of enclosures:

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- Drawings: 1-30215-2, 1-30216-2, and 1-30222-2.
- Shaw Stone & Webster calculation: 1024690305-G-003.
- Shaw Stone & Webster specification: PS-J005.

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Shaw "St	one & Webster	r, Inc					
CAL	CULATION CO	VER SHE	ET	PROJECT:	Mo	untaineer FGI) Retrofit
O/ L				LOCATION:		w Haven, Wes	
ITLE: SIC	ope Stability An	alvsis Gyne	sum	EQUIP/DWG		CALCULAT	ION No:
Co	onveyors #1 and ountaineer Botto	l #2 along		Not Applica	able	1024690	305-G-003
DBJECTIVE: Evaluate t where the slope.	he stability of th alignment of gy	e downstre /psum conv	eam slope o veyors #1 ar	f the Mountair nd #2 necessi	neer bottom as ate geometry	h pond perim changes to th	eter dike e downstrear
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3). A grave letail "A" will b ISSUMPTIONS F ASIS OF CALCU The stabili	120 lb/ft ³ and ar el blanket drain be installed at th REQUIRING CONF JLATION, METHOR ty of the slopes censed from Ge	similar to ae toe of the IRMATION: D, OR SOFTV was evalu	American E e dike on the VARE TO BE U ated using t	lectrical Powe e face of cut s ISED: he geotechnic	r Service Corp lopes where co al slope stabili	uts are require	ed.
ONCLUSION:		· · · · · · · · · · · · · · · · · · ·					
1 The stabili	ity analysis of th	e Mountair	neer Bottom	Ash Pond pe	rimeter dike al	ong gypsum o	conveyors #1
and #2 de	monstrate that t c studies of the	he stability	of the dowr	stream slope	of the dike me	ets WV dam	salety criteria
avosum co	nvevor #2 may	experienc	e verv shall	ow slumps if a	llowed to becc	ome saturated	. Therefore,
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STONE & WEBSTER, INC./ A SHAW GROUP COMPANY

CALCULATION SHEET

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1024690305	CSA	1024690305-G-003	AEP Mountaineer	PAGE NO. 5

REFERENCES:

<u>DESIGN</u>

 Stability Modeling with SLOPE/W: An Engineering Methodology. John Krahn. First Edition, Revision 1, August 2004, GEO-SLOPE/W International Ltd. 1400, 633 – 6th Ave SW, Calgary, Alberta, Canada T2P 2Y5.

PROJECT REFERENCE DOCUMENTS

- 2. American Electrical Power. Fossil Projects: Structural Design Criteria. AEP-0-DB-024-0002, Rev. A, May 2004.
- 3. Engineering Report for Appalachian Power Company, Philip Sporn Electric Generating Plant, Unit 5 Fly Ash Facility. Prepared in support of the application for a certification of approval in accordance with Title 47 of the West Virginia Division of Environmental Protection Water Resources – Waste Management, Dam Safety Rule Series 34 by Geotechnical Engineering Section, American Electric Power Service Corporation, July 1998.
- 4. Engineering Report for American Electrical Power Service Corporation, Bottom Ash Ponds. Prepared by Casagrande Consultants, Arlington, Massachusetts 02174, April 1977.
- Geotechnical Engineering Services Report for the American Electric Power Mountaineer Station Unit 1 FGD Project – Gypsum Handling Facility New Haven, West Virginia. Prepared for Stone & Webster, Inc. Centennial, CO 80112 by Professional Service Industries, Inc. Pittsburgh, Pennsylvania 15220, October 29, 2004.

REGULATIONS

6. West Virginia Dam Safety Rules. West Virginia Code of State Rules. Title Series 47-34. http://www.wvsos.com/csr/verify.asp?TitleSeries=47-34.

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SUMMARY OF CALCULATION

OBJECTIVE

Evaluate the stability of the downstream slope of the Mountaineer bottom ash pond perimeter dike near Gypsum Transfer Tower 6 where the alignment of the new gypsum conveyors #1 and #2 necessitate slope geometry changes.

BACKGROUND

The alignment of the new gypsum conveyors #1 and #2 lie approximately on the toe of the Mountaineer bottom ash pond perimeter dike near Gypsum Transfer Tower 6. Because the dike is subject to WV dam safety regulations, a slope stability analysis is required to support an application for dam safety certification, which must be submitted as a result of the changes to the dike geometry.

<u>APPROACH</u>

Analyze the stability at each location is performed using two-dimensional limit equilibrium analysis. The analysis follows the method outlined in Reference 1. The soil density and strength in the stability model are based on the parameters used in the application for dam safety certification from the state of West Virginia (Reference 3) and in the original engineering design report for the bottom ash ponds by Casagrande Consultants (Reference 4).

METHOD

General

The slope stability analysis method known as Morgenstern and Price (Reference 1) is used. The Morgenstern and Price method, like most two-dimensional stability methods, involves subdividing potential sliding mass into slices with vertical sides such that 1) the base of each slice pass through only one material, and 2) each slice is narrow enough that the portion of the sliding at the base of the slice can be accurately represented as a straight line. In Morgenstern and Price's method horizontal, vertical, and moment equilibrium equations are written for each slice and then solved simultaneously. When the number of slices exceeds one, the problem is statically indeterminate and assumptions are required to solve the problem. The assumption used in Morgenstern and Price's method is that the inclination of the side forces on the slices follows a prescribed pattern, generally a half sine function.

Slope Geometry

Stability cross section were developed at two locations along gypsum conveyor #1 and one location along gypsum conveyor #2 using the project digital terrain model. The sections at stations 24+32 and 25+41 correspond to maximum fill sections of gypsum conveyor #1 on the downstream slope of the north perimeter dike. The section at station 35+00 corresponds to the maximum cut section of gypsum conveyor #2 on the downstream slope of the west perimeter dike. The maximum slope of the downstream dike face of sections 24+32 and 25+41 is about 2H:1V; while the maximum slope of the downstream face at section 35+00 is about 3H:1V. Attachment A shows the location of the stability sections.

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The interior dike geometry of these sections is based on the design section shown in Reference 4 (see Figure 4 in Attachment D).

Soil Density and Strength

The density and strength characteristics of the dike and foundation materials in the SLOPE/W models are consistent with that used for similar soils in the application for dam safety certification of the North Sporn Dike (see Reference 3, excerpt enclosed as Attachment C) and the original engineering design of the bottom ash pond dikes (Reference 4, excerpt enclosed as Attachment D).

Soil	Moist Unit Weight (lb/ft ³)	Saturated Unit Weight (lb/ft ³)	Cohesion, c (lb/ft ²⁾	Internal Friction Angle, ∳ (deg.)
[1] ¹ Clay Liner (CL)	118	125	0	33
[2] Mine Waste (GW)	135	140	0	36
[3] Crushed Stone (GP)	115	120	0	35
[4] Sand Fill	110	115	0	33
[5] Water	NA	62.4	0	0
[6] Brown Sandy Clay (CL)	112	115	500	22
[7] Brown Gravelly Sand (SP)	NA	120	0	32
[8] Sandy Clayey Silt (ML)	112	115	0	31
[9] New Fill (SM or GM)	115	120	0	34

¹Numbers inside the square brackets correspond to geometry regions shown on the SLOPE/W sections.

Surcharge loads

The dead and material loads from the gypsum conveyors are applied to the slope as a pressure loading of 180 psf over a 8 foot wide area in the SLOPE/W models. This load is based on 2-foot by 2-foot by 8-foot sleepers supporting the conveyor every 20 feet. The maximum dead and material load on each sleeper is 14.4 kips. The equivalent 2D

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representation of this loading is taken as 14.4 kips bearing on area 8-feet wide by 10-feet long (i.e. $14,400 \text{ lbf/80 ft}^2 = 180 \text{ psf}$).

Groundwater

The piezometric conditions used in the SLOPE/W models are estimated based on descriptions of the seepage emerging just downstream of the dike in low areas and water levels observed in geotechnical borings (Reference 5).

Earthquake

Previously, a pseudo-static acceleration of 5.0% of gravity has been used for analysis of slopes at this site (Reference 3). The 2002 USGS NEHRP seismic hazard maps indicate peak horizontal acceleration, a_{max} , value of 7.22% for a mean recurrence interval 2,474 years (i.e. 2%PE in 50 yr.). Current geotechnical earthquake engineering practice is to use $\frac{1}{2} a_{max}$ as the pseudo-static acceleration, which would suggest using a value of 3.6 %g. Because the value of 5.0 %g used previously on this project is slightly more conservative and has precedence it is used for the analysis described herein.

----- ground motion values from 2002 USGS Seismic Hazard Maps ------SEISMIC HAZARD: <u>Hazard by Lat/Lon, 2002</u> (http://eqint.cr.usgs.gov/eq-men/html/lookup-2002interp-06.html).

LOCATION The interpo		8.95 Lat81.916 stic ground motion	%g,
	ested point are:		
PGA	2.62	7.22	
0.2 sec SA	6.14	15.93	noinneo far
1.0 sec SA	2.64	6.24	STATES PARS ALS

Critical Failure Surface Search

The slip surface option known as "entry and exit" in SLOPE/W was used to identify a critical circular failure surface. For the entry and exit option SLOPE/W systematically generates and analyzes circular slip surfaces which enter and exit at points of the ground surface specified by the user. The slip surface with the minimum factor of safety of all surfaces analyzed is then reported by the software. When a range is specified a number of increments to subdivide the range is also specified so that multiple entry/exit points are automatically generated. For each combination of entry and exit points, multiple circular slip surfaces passing through those points are generated and analyzed.

The slip surface optimization option was also specified for each SLOPE/W analysis. The optimization routine begins with a specified circular slip surface, e.g. the critical surface from the exit and entry search, converts the surface into a piecewise linear surface and searches for a more critical surface by moving each vertex of the failure surface and subdividing the segments of the surface if necessary. The optimization routine almost always yields a kinematically admissible slip surface with a lower factor of safety than the initial trial slip surface.

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Analyses

The new steady state, or long term, condition for each of the stability sections was analyzed for static and earthquake load conditions. The analysis focused on failures of the downstream portion of the dike. For each analysis, between 1,000 and 2,000 trial circular slip surfaces were generated and analyzed using the entry and exit search. The critical slip surface from each analysis was then optimized.

RESULTS

SECTION 24+32

The long term stability analysis for section 24+32 is shown in Figure 1. The minimum factor of safety from the analysis is 1.89 for an optimized non-linear failure surface. The WV regulatory stability criteria for the long term condition is a minimum factor of safety greater than 1.5 (Reference 6).

The earthquake stability analysis for section 24+32 is shown in Figure 2. The minimum factor of safety from the analysis is 1.61 for an optimized non-linear failure surface. The WV regulatory stability criteria for the earthquake condition is a minimum factor of safety greater than 1.2 (Reference 6).

SECTION 25+41

The long term stability analysis for section 25+41 is shown in Figure 3. The minimum factor of safety from the analysis is 1.59 for an optimized non-linear failure surface. The WV regulatory stability criteria for the long term condition is a minimum factor of safety greater than 1.5 (Reference 6).

The earthquake stability analysis for section 25+41 is shown in Figure 4. The minimum factor of safety from the analysis is 1.36 for an optimized non-linear failure surface. The WV regulatory stability criteria for the earthquake condition is a minimum factor of safety greater than 1.2 (Reference 6).

SECTION 35+00

The long term stability analysis for section 35+00 is shown in Figure 5. The minimum factor of safety from the analysis is 1.56 for an optimized non-linear failure surface. The WV regulatory stability criteria for the long term condition is a minimum factor of safety greater than 1.5 (Reference 6).

The earthquake stability analysis for section 35+00 is shown in Figure 6. The minimum factor of safety from the analysis is 1.36 for an optimized non-linear failure surface. The WV regulatory stability criteria for the earthquake condition is a minimum factor of safety greater than 1.2 (Reference 6).

SUMMARY

The results of the stability analysis of the bent locations are summarized in the table below along with the regulatory requirements.

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		Sectior			afety against iding	t	
		Section		Steady State	EQ		,
		Required	d FS	1.5	1.2		
		Section 24+3	32	1.9	1.6		
		Section 25+4	41	1.6	1.4		
•		Section 35+0	00	1.6	1.4		

CONCLUSIONS

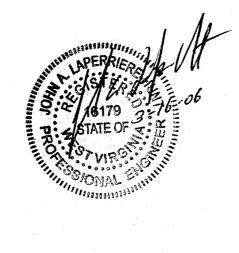
- 1. The stability analysis show that the factor of safety against sliding for the revised downstream slope of the perimeter dike associated with construction of gypsum conveyors #1 and #2 meet criteria.
- 2. Parametric studies of the influence of piezometric conditions indicate that the toe of the slope along gypsum conveyor #2 may experience very shallow slumps if allowed to become saturated. To provide positive seepage control, a blanket drain will be constructed at the toe and on the face of cut slopes along gypsum conveyor #2 (see Attachment B).





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EXCAVATION, FILL AND BACKFILL



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REV. DATE	May 21, 2004	July 15, 2004	Sept. 2, 2004	Jan. 26, 2006	3/16/06
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PREPARER	PJS	JAH	JAH	JAH	Andlt
LEAD ENG.	PJS	PJS	PJS	DGJ	DAA
PROJECT ENG.	RRG	RRG	RRG	RRG	RAG

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1.0 PART 1 – GENERAL

1.1 Scope

1.1.1 SEE TECHNICAL REQUIREMENTS

1.2 Reference Codes and Standards

- 1.2.1 The codes and standards referenced below shall be the issues in effect on the date of Invitation to Bid. Metric equivalent shall be used as applicable. If there is, or appears to be, a conflict between this specification and a referenced document, the matter shall be referred to the Engineer.
 - a. American Society for Testing and Materials (ASTM)
 - ASTM D1557, Test Method for Laboratory Compaction Characteristics of Soil Using Modified Effort 56,000 ft-lbf/ft³.
 - 2) ASTM D1556, Standard Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method.
 - 3) ASTM D2167, Standard Test Method for Density and Unit Weight of Soil in Place by the Rubber Balloon Method
 - 4) ASTM D2487, Classification of Soils for Engineering Purposes (Unified Soil Classification System)
 - 5) ASTM D2448, Standard Practice for Description and Identification of Soils (Visual Manual Procedure)
 - 6) ASTM D2922, Standard Test Methods for Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
 - 7) ASTM D4318, Liquid Limit, Plastic Limit, and Plasticity Index of Soils
- 1.2.2 General Industry Standards
 - a. Occupational Safety and Health Administration (OSHA)
 - b. American Association of State Highway and Transportation Officials (AASHTO)
- 1.2.3 West Virginia Department of Transportation
 - a. West Virginia Division of Highways Standard Specifications for Roads and Bridges

1.3 Definition of Terms



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The meaning of the following terms, or pronouns used in place of them, whenever used in these specifications, or instruments of construction that these specifications govern, shall be interpreted as follows:

- a. Owner: American Electric Power
- b. Company: Shaw, Stone and Webster
- c. Seller: Any entity providing a service and/or furnishing goods to the Company or Owner.
- d. Engineer: Authorized Engineer of the Company.

1.4 Benchmarks and Reference Base Lines

The owner shall establish the benchmarks and reference base lines. The Seller shall furnish all equipment and tools and shall be responsible for accurately locating and staking out the work. Benchmarks and reference lines shall be carefully maintained and, if disturbed or destroyed, shall be replaced by the Seller at no cost to the Company.

1.5 Boring and Soils Data

The Company will provide the Seller with a copy of the subsurface exploration program report, which includes boring and soils data. This report is for general information only and shall not affect the terms of the contract.

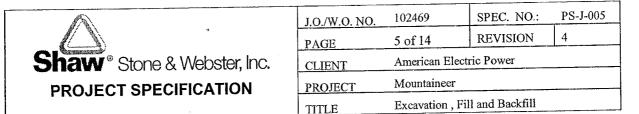
1.6 Explosives

If use of explosive is permitted by Owner, the Seller shall arrange for all permits. Safety procedures shall be submitted to the Company for approval. The Seller shall be responsible for all damages resulting from the use of explosives.

1.7 Existing Utility Lines and Underground Facilities

- 1.7.1 Existing utility lines, where known, are indicated on the drawings. Extreme care shall be exercised so as not to disrupt service or damage these lines.
- 1.7.2 The locations of underground lines, foundations and other installations, where known, are indicated on the drawings. The Seller shall notify the Company of any additional obstructions encountered and shall provide support, protection or removal of such obstructions as directed by the Company. Reasonable compensation shall be added for work made necessary by these additional obstructions. The Seller shall be fully responsible to the Company in the event of removal or damage of any existing objects that are intended by the Company to remain in place.

1.8 Barricades



Barricades shall be placed around all open excavations except where active work requires opening of such devices, and then only such portion of the barricade needed for work access shall be removed. If several excavations are in one area, the entire area may be enclosed with one warning barricade or each excavation enclosed separately.

1.9 Sheeting, Shoring and Bracing

- 1.9.1 The Seller shall provide adequate sheeting, shoring and bracing to support any lateral earth pressure, groundwater pressures, and surcharge loads from adjacent facilities, stockpiles and equipment operating located near the top of the sheeting, shoring and bracing. The Seller is fully responsible to protect personnel and adjacent structures against any damage from cave-ins, heaving or other earth movement. Sheeting and shoring shall conform to requirements as set forth in Subsection P of the latest issue of OSHA requirements for the construction industry (29CFR1926).
- 1.9.2 Sheeting, shoring and bracing shall be removed in a safe manner as backfilling proceeds. If sheeting, shoring and bracing cannot be removed without injury to the work or to adjoining structures, it may, with the approval of the Company, be left fully or partially in place.
- 1.9.3 The Seller shall submit the design and calculations of the shoring system for review and approval by the Engineer prior to commencing the work.

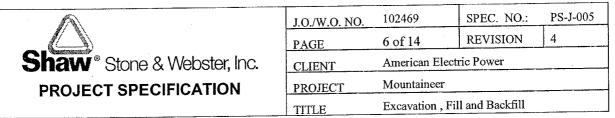
1.10 Protection

- 1.10.1 Protect existing structures and buried services from damage, excavate by hand where required.
- 1.10.2 Protect backfill material, bottoms of excavations and bedding material from contamination, freezing and standing water.
- 1.10.3 Stockpile all material in a manner to prevent segregation. Do not stockpile excavated materials to interfere with site operations, plant operation, fire fighting or drainage.
- 1.10.4 Protect Site and adjacent property from dust. Approved methods shall be used to minimize dusting.

2.0 PART 2 – PRODUCTS

2.1 General Requirements

2.1.1 The Seller shall be responsible for determining the nature and quantity of materials, and for the excavation, hauling, blending and processing of all earth materials, as required to meet the requirements of this specification. Earth materials from required excavations that conform to the requirements of this specification shall be used for fill. The materials shall be excavated and handled to facilitate their best use for the various fill types. Additional earth materials, that may be required, shall be provided by the Seller from off-site sources.



2.1.2 All earth materials used as fill shall be free of topsoil; pieces of roots, grass, weeds, other vegetation; organic materials; snow, ice or frozen materials; debris or other foreign material; or chemical contamination (oil, grease, solvents, dissolved metals, etc). The material shall not be excessively dry or moist (i.e. shall be near the optimum moisture content for compaction).

2.2 Source

The Seller shall be responsible for determining the nature and quantity of available materials and for excavating, blending, and processing, as required, to meet the requirements of this specification.

Borrow materials shall be selected by the Seller, subject to approval of the Company. The Seller shall submit test reports of material at the proposed source of borrow showing conformance to the gradation and moisture-density requirements of this specification for structural fill, road base, road subbase, and pipe bedding prior to use on the project and as specified herein.

2.3 Structural Fill (TYPE 1 fill)

Structural fill shall be where indicated by this specification or on the Engineer's drawings.

Structural fill shall conform to Class 9 of Table 704.6.2A, of Section 704.6 of the West Virginia Division of Highways Standard Specifications or an Engineer approved equivalent.

2.4 Free-draining sand and gravel fill (TYPE 2 fill)

Free-draining sand and gravel fill, where indicated by this specification or on the Engineer's drawings, shall consist of hard durable sand and gravel conforming to the following gradation requirements:

Sieve Size	Percent Finer by Weight
2-inch	100
1/2 -inch	50-85
No. 4	40-75
No. 100	0-15
No. 200	0-5

2.5 Common Fill (TYPE 3 fill)

Common fill shall consist of on-site or similar soils where indicated by this specification or on the Engineer's drawings.

Common Fill shall consist of *silty alluvial soils*, sands or gravels, classified in accordance with the Unified Soil Classification System (ASTM D 2487, ASTM D 2488), or mixtures thereof, containing no individual particles larger than 3 inches in size. The plasticity index (ASTM D 4318) of the material shall not exceed 20. In areas approved by the Company, such as deep embankments, rocks up to 12 inches in size may be incorporated into the fill material provided such rocks are widely separated in the fill so that compaction of the fill, as determined by the Company, is not affected.



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2.6 Geotextiles

Geotextiles shall be as shown on the Engineers' drawings, consisting of Mirafi 600X which is a woven polypropylene slit film fabric. Needle-punched, nonwoven geotextiles, such as Spun Bound 1135 or approved equal, may also be used as shown on the Engineers' drawings. Equivalent fabrics shall meet the strength and filtration characteristics of the specified products.

Geotextile rolls shall be furnished with suitable wrapping for protection against moisture, and extended ultraviolet exposure prior to placement. Each roll shall be labeled or tagged to provide product identification sufficient for inventory and quality control purposes. Rolls shall be stored in a manner which protects them from the elements. If stored outdoors, they shall be elevated and protected with a waterproof cover.

2.7 RIP RAP

Rip rap materials shall conform to Section 704.2 of the West Virginia Division of Highways Standard Specifications and as detailed on the Engineer's drawings.

2.8 Base Course

Base Course shall consist of durable angular gravels conforming to the base course requirements Class 2 Aggregate Base of Table 704.6.2A, of Section 704.6 of the West Virginia Division of Highways Standard Specifications.

2.9 Aggregate Base Course

Aggregate base course shall conform to shall consist of durable angular gravels conforming to the aggregate base course requirements Class 4 Aggregate Base of Table 704.6.2A, of Section 704.6 of the West Virginia Division of Highways Standard Specifications.

2.10 Pipe Bedding

Pipe Bedding shall conform to the requirements of Specification PS-J006.

2.11 Thermal Backfill Material

Backfill material shall consist of particles of rock that will pass the No. 4 (4.75mm) sieve and be retained on the No. 200 (.075mm) U.S. Standard Sieve.

The material shall be bonded, subangular sand, preferably silicic sand (the primary constituent of which is quartz).

The material shall contain a kaolin-base clay, in an amount not less than 5% and not more than 8%.

The dry compacted density shall be approximately 2,700 pounds per cubic yard.

The material shall be free of rubble, stones, organic matter, salts, ashes, cinders, other boiler wastes, and any other material (s) which may injure the cable or conduit or increase



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the thermal resistivity of the backfill.

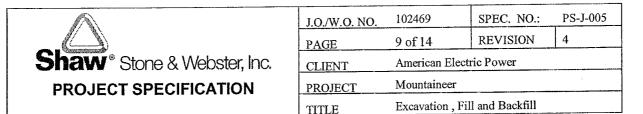
The material shall confirm to the following sieve analysis (sieve sizes are U.S. Standard Series):

<u>Sieve Size</u>	<u>% Passing By Weight</u>
3/8"	100
# 4	95 - 100
#8	85 - 95
#16	60 - 75
#30	35 - 60
#100	10 - 30
#200	2 - 8

3.0 PART 3 - EXECUTION

3.1 Excavation

- 3.1.1 Definition
 - a. Excavation includes the removal of all materials required to permit the construction of the foundations, structures, pits and trenches shown on the drawings.
 - b. Common Excavation
 - 1) Common excavation shall include all materials other than solid rock and detached rock or boulders exceeding 2 cu yd (1.5 m³) in volume.
 - 2) Rippable rock shall be considered common excavation.
 - 3) Rippable rock is defined as rock which can be excavated using a single tooth hydraulic ripper pulled by a D8H or equivalent dozer.
 - c. Rock Excavation
 - 1) Rock excavation shall include excavation of solid rock in place, which must be removed by line drilling and wedging and all boulders or detached pieces of solid rock more than 2 cu yd (1.5 m3) in volume.
 - 2) At least one month prior to commencing excavation, the Seller shall submit to the Company for approval a plan outlining his proposed methods and sequences of performing rock excavation.
 - 3) No rock excavation shall be started in any area until the plan has been reviewed by the Company and a signed release to proceed has been issued.
- 3.1.2 Change of Sizes



Permanents excavations shall be at the locations and to the lines and grades shown on the drawings. If, during the progress of the work, the Company requests excavation to different lines and planes from those shown on the drawings, the lines and planes as directed by the Company will be the new excavation limit. Changes in excavation limits shall be used to revise contract quantities of excavation and backfill subject to verification by field surveys, if required.

3.1.3 Overexcavation

Excavation below the elevations shown on the drawings, if not approved by the Company in writing, shall be filled to the correct elevation with concrete having a 28 day compressive strength of at least 2000 psi (14 MPa), or by approved backfill, compacted to meet project requirements, without cost to the Company.

3.1.4 Drainage

Excavation shall be performed in a manner to assure drainage during the course of the work. Flooded excavations shall be dewatered, and all muck removed, before proceeding with the work. If ground water is encountered, the Seller shall not proceed with the work until his construction method is approved by the Company. Well point systems may be required where excavations extend below the groundwater line.

3.1.5 Stability

The Seller is fully responsible for maintaining the stability of all excavated faces and for compliance with all applicable Federal, State and local safety requirements until final acceptance of the work. See paragraphs under section 1.9.

3.1.6 Adjacent Foundations

To prevent the undermining of existing structures, there shall be no excavation slopes within 5 feet of the base of an existing footing or foundation, unless such footing or foundation is properly protected against settlement and erosion damage. Excavations shall extend a sufficient distance from the structures for placing and removing concrete formwork, installing services, other construction, and for inspections.

3.1.7 Bottom (Subgrade) Conditions

Foundations are intended to be founded on undisturbed soil, and excavation bottoms shall be level and clear of loose material. If loose or unsuitable soils, as determined by the Company, are found in excavation bottoms or slopes, the Company will require the excavations to be deepened to remove the loose or unsuitable material. The removed material shall be replaced with 2000 psi (14 MPa) concrete or approved, compacted fill material.

3.1.8 Trenching



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- a. Excavation for trenches shall be made carefully to install utilities to the depths and alignments shown on the drawings, so that when in final position the utilities are true to line and grade.
- b. Trenches shall be excavated to the minimum width sufficient to allow satisfactory connections of utilities and tamping of backfill. Recommended minimum trench width is external diameter plus 24 inches (600 mm) for pipe and width of encasement plus 24 inches (600 mm) for electrical lines.
- c. Unstable soil conditions, such as soil with soft spots, or soil which includes ashes, cinders, refuse, organic matter, or other unsuitable bearing material, shall be brought to the attention of the Company for evaluation before preparation of subgrade.
- d. Adequate measure shall be taken to prevent slips, cave-ins and slides. Excavated material shall not be stored within 2 feet (0.6 m) of the edge of the excavation.
- e. In trenches requiring side slopes for stability, the slope shall not be carried below a plane level with the top of the utility lines.
- f. Excavation in ledge rock, rocky or gravelly soil shall be extended to provide a clearance of at least 5 inches (125 mm) but not more than 12 inches (300 mm) below and on each side of all pipes, valves, fittings or encasements.
- g. Where pipe is to be placed in embankments, pipe trenches shall be excavated only after the embankment is completed to a level not less than 6 inches (150 mm) above the top of the pipe to be laid.
- h. In wet or unstable ground, and as may be otherwise required, the trenches shall be sheeted and braced. Sheeting below the top level of the pipe may be left in place. If it is desired to pull sheeting extending below the top level of the pipe, this shall be done progressively with compaction of the backfill. Sheeting shall not be pulled after the backfill has been compacted.
- i. Tunnelling shall not be allowed unless approved by the Company.
- j. If a trench is overexcavated, the overexcavated portion shall be filled with concrete or fill, as discussed in Section 3.1.7 of this specification.
- k. Excavate bell holes at each joint to provide full length barrel support of the pipe and to prevent point loading at the bells or couplings.

3.1.9 Stockpiling

Excavated material suitable for use as backfill or fill as approved by the Company shall be stockpiled in location specified by the Company. Material not suitable for backfill or fill, and excess material, shall be spread and graded and/or removed from site as directed by the Company.

3.2 Geotextile placement



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Geotextile shall be installed as indicated on the Engineers' drawings and outlined herein. The fabric shall be installed in accordance with the manufacturer's instructions. The geotextile shall be placed on the prepared surface in a regular pattern conforming to the shape of the facility and shall be installed as to minimize cutting and wastage. Fabric shall be overlapped a minimum of 12 inches. The geotextile shall be placed in a loose condition such that it will conform to variations in surface contours. Temporary ballast shall be provided as required to prevent displacement by wind. Care shall be taken not to rip the geotextile. Tears shall be covered with pieces of geotextile fabric with 12 inches overlap. The geotextile shall not remain exposed longer than 24 hours.

3.3 Fill

3.3.1 Supply

The Seller shall provide all fill material in the quantities and quality required to conform to this specification and the lines and elevations shown on the drawings.

3.3.2 Proof-Rolling

Prior to placement of earth fill, subgrade surfaces shall be cleaned of debris, organic matter, mud, loose soil and other unsuitable material. The entire area on which fill is to be placed shall be proof-rolled with a heavy pneumatic-tired roller to detect any soft spots. Additional stripping shall be performed in all soft areas revealed by proof-rolling. Any material stripped shall be replaced and compacted with an approved fill.

3.3.3 Preparation

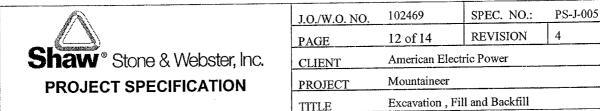
Before placing fill material, the subgrade soil surface shall be scarified to a minimum depth of 6 inches, wetted or dried to produce the optimum moisture content, and recompacted to the specified percent of maximum dry density in accordance with this specification. These requirements shall apply under roads, parking areas, trafficway paved areas, railroad beds, dikes, firewall, ponds, embankments and other earthen structures.

3.3.4 Sloped Surfaces

Sloped surfaces steeper than 2 horizontal-to-1 vertical shall be stepped or benched, scarified and compacted as described above.

3.3.5 Placement

Fill shall not be placed until the excavation and subgrade preparation (or portions thereof) have been completed, inspected, and approved by the Company. Fill shall be placed in horizontal layers not exceeding 8 inches in loose depth and then compacted to bring the area up to grade elevation. Materials placed by dumping in piles or windrows shall be spread uniformly before being compacted. No material shall be placed on surfaces that are muddy, frozen or contain frost. At the discretion of the Engineer a test fill can be conducted to refine a optimum lift thickness for compaction equipment proposed.



3.3.6 Compaction

Compaction shall be performed by rolling with approved tamping rollers, pneumatictired rollers, three-wheel power rollers or other approved equipment suitable for the soil and compaction specified. Material shall be moistened or aerated as necessary to provide the moisture content that will readily facilitate obtaining the specified compaction with the equipment used. Each layer shall be compacted to not less than the percentage of maximum density specified below:

	Precent Maximum Density (ASTM D1557)	
-	Cohesive Cohesionless	
	Soil	Soil
Unless noted otherwise on the drawing, Fill used as support for equipment, storage tanks, structural slabs, building foundations, floors, road pavements and parking areas.	95	95
Fill used as backfill around foundations, construction of dikes, embankments and open areas.	90	90

3.4 Backfill

3.4.1 Definition

Backfill may consist of excavated material and shall be free from roots and other organic matter, trash, debris, frozen materials and stones larger than three inches in any direction. Backfill material shall be approved by the Company.

3.4.2 Preparation

Backfill shall not begin until construction below finish grade has been approved by the Company, forms removed and the excavation cleaned of trash and debris.

3.4.3 Limitation

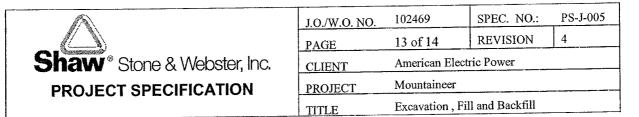
Backfill shall not be placed in wet areas or on frozen ground. Materials softened or disturbed by rainfall or flooding shall be dried, if necessary, and recompacted or removed from the fill.

3.4.4 Placement

Backfill shall be placed in horizontal layers. Where heavy equipment is used, the maximum uncompacted thickness shall not exceed 8 inches (200 mm). Where hand tamping equipment is used, the maximum uncompacted thickness shall not exceed 4 inches (100 mm).

3.4.5 Backfill of Trenches

a. Unless other protection work is directed, backfill trenches immediately after the pipe is laid and testing has been successfully completed. In the case of concrete cradle bedding, delay



backfilling until the concrete has set sufficiently to support the backfill load. No backfill shall be placed where the material in the trench is frozen.

- b. Backfill material to be placed above bedding material shall be free of organic matter, trash, frozen materials, or debris. Unless specifically authorized, place no rock or rock excavation detritus in the upper 18 inches (460 mm) of the trench. Place no rock or stones having a dimension larger than 6 inches (150 mm) within 3 feet (1 m) of the top of the pipe encasement. Large stones may be placed in the remainder of the trench backfill only if well separated and arranged so that no interference with backfill settlement will result.
- c. Use puddling, jetting, or waterflooding for consolidating backfill material only when approved by the Engineer prior to start of work. In general, limit the addition of water during backfill to providing optimum moisture content for tamping procedures.
- d. Tamped backfill shall be placed in uniform layers not to exceed 6 inches (150 mm) and shall have a moisture content that will ensure that maximum density will be obtained with the placement method. Compaction shall be done with pneumatic tampers or other approved means.
- e. All bedding and backfill up to compacted depth of 6 inches (150 mm) above the top of the pipe or duct shall be of fine compatible material (e.g., river sand) free of rocks and debris. Care shall be used during compaction to avoid line displacement. All bedding and backfill shall be compacted to a maximum density as specified in paragraph 3.4.
- f. No construction machinery or vehicles shall be allowed to pass over the trench until the trench is backfilled and compacted sufficiently to prevent damage to the pipe.

3.4.6 Compaction

- a. Compaction shall begin only after the fill or backfill has been properly placed and the material to be compacted is at the proper moisture content. Compaction shall be performed with equipment compatible with the soil type. If the material to be compacted contains excessive moisture, the material shall be processed to reduce the moisture content to the specified limits. If the soil has less than the specified moisture content, or is likely to lose enough moisture to bring the moisture content below requirements before the completion of compaction, water shall be added and the soil lift thoroughly mixed before compaction. Backfill shall be compacted as specified in paragraph 3.4.
- b. Heavy equipment for spreading and compacting backfill shall not be operated closer to foundation or retaining walls than a distance equal to the height of backfill above the top of the footing. Backfill around foundations shall be brought up evenly on all sides. Heavy vibratory compactors shall not be operated within 5 feet (1.5m) of any structure. The area remaining shall be compacted by power-driven hand tampers suitable for the material being compacted. Backfill shall be placed carefully around pipes (or tanks) to avoid damage to coatings (or to tanks).



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c. When applicable specifications do not specify a minimum strength requirement for newly placed concrete structures prior to backfilling, compaction of fill adjacent to structures shall not be started until the following intervals have elapsed:

Footings and slabs	24 hours
Walls, columns and piers backfilled on all sides simultaneously	7 days
Retaining walls where ratio of depth of fill to wall thickness is less than three	7 days
Retaining walls where ratio of depth of fill to wall thickness is greater than three	14 days

Temporary bracing of a wall, pier or column to withstand unbalanced lateral earth pressures will be permitted only upon the written approval of the Engineer subsequent to the review of the proposed bracing scheme.

3.4.7 Finish Grading

Final surfaces of compacted fills and backfills shall be finish graded to the cross sections, lines, grades and elevations indicated on the Engineer's drawings.

3.5 Tests

3.5.1 Performance

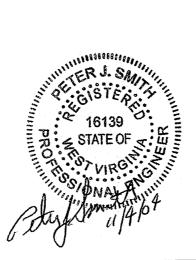
- a. If a material source, such as a borrow pit, previously approved by the Company changes appreciably in characteristics such as type of soil, gradation, etc., additional testing may be required. Such tests shall be performed by the Company, at no cost to the Seller.
 - 1) Field tests for density and moisture content will be performed in sufficient number to assure that the specified density is being obtained. The field tests will be performed by the Company in accordance with the ASTM D1556, ASTM D2167, or ASTM D2922 at no cost to the Seller.
- b. As a minimum, at least one field density test shall be performed by the Company each 20,000 square feet (1,860 m2) of each lift in unrestricted areas. In restricted areas, at least one field density test shall be performed for each 400 cubic yards (300 m3), or at a minimum one field density test shall be performed each day that fill is placed.

3.5.2 Rework

Fill placed at densities lower than the specified minimum density or otherwise not conforming to the requirements of this specification shall be reworked or removed and replaced by acceptable fill. Replacement fill shall conform to all requirements of this specification. Rework shall be accomplished at no cost to the Company.

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	TITLE	Placing Concrete	and Reinforcing S	Steel

PLACING CONCRETE AND REINFORCING STEEL



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1.0 PART 1 – GENERAL

1.1 SCOPE

- 1.1.1 This specification covers the requirements for placing concrete and reinforcing steel for foundations and any other reinforced concrete structures.
- 1.1.2 Concrete structures shall be constructed at the locations and in conformance with the lines, grades, and dimensions shown on the drawings.
- 1.1.3 The scope of the work includes providing formwork and reinforcement and placing concrete, reinforcement and grout where shown on the Engineer's drawings, as specified herein, and as needed for a complete and proper installation including but not necessarily limited to the following:
 - A. Ordering, forming, placing, consolidating, finishing, and curing all concrete and starter grout including required patching.
 - B. Unloading, handling, storing, furnishing and installing all reinforcement including welding and field fabricating of reinforcing steel, as necessary.
 - C. Unloading, handling, storing, furnishing and installing all accessories for positioning and supporting reinforcement against displacement.
 - D. Unloading, handling, storing, and installing anchor bolt assemblies and embedments in concrete.
 - E. Furnishing and installing other required products, such as waterstops, waterproofing, and other products as required and defined by this specification.
- 1.1.4 This specification shall govern when there is conflict with referenced specifications, except that OSHA requirements and local codes or those of any regulatory agency or body shall be strictly adhered to. Any conflicts shall be immediately brought to the attention of the Engineer.

1.2 REFERENCED CODES AND STANDARDS

The codes and standards referenced below shall be the issues in effect on the date of Invitation to Bid. If there is, or appears to be, a conflict between this specification and a referenced document, the matter shall be referred to the Engineer.

- a. American Concrete Institute (ACI)
 - 1) 117, Standard Specification for Tolerances for Concrete Construction and Materials

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- 2) 301, Specifications for Structural Concrete for Buildings
- 3) 302.1R, Guide for Concrete Floor and Slab Construction.
- 4) 304R, Guide for Measuring, Mixing, Transporting, and Placing Concrete
- 5) 305R, Hot Weather Concreting
- 6) 306R, Cold Weather Concreting
- 7) 308R, Guide to Curing Concrete
- 8) 309R, Guide for Consolidation of Concrete
- 9) 315, Details and Detailing of Concrete Reinforcement
- 10) 318/318R, Building Code Requirements for Structural Concrete and Commentary
- 11) 347R, Guide to Formwork for Concrete
- 12) 350/350R, Code requirements for Environmental Engineering Concrete Structures and Commentary
- b. American Society for Testing and Materials (ASTM)
 - 1) A185, Steel Welded Wire Reinforcement, Plain for Concrete
 - 2) A615, Deformed and Plain Billet Steel-Bars for Concrete Reinforcement
 - 3) C31, Standard Practice for Making and Curing Concrete Test Specimens in the Field
 - 4) C33, Concrete Aggregates
 - 5) C94, Ready-Mixed Concrete
 - 6) C143, Standard Test Method for Slump of Hydraulic Cement Concrete
 - 7) C150, Portland Cement
 - 8) C171, Sheet Materials for Curing Concrete
 - 9) C309, Liquid Membrane-Forming Compounds for Curing Concrete
 - 10) C684, Standard Test Method for Making, Accelerated Curing, and Testing Concrete Compression Test Specimens
 - 11) C290, Elastomeric Joint Sealants
 - 12) C1107, Standard Specification for Packaged Dry, Hydraulic-Cement Grout (Nonshrink)
 - 13) D412, Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers-Tension
 - 14) D994, Standard Specification for Preformed Expansion Joint Filler for Concrete (Bituminous Type)



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- 15) D1500, Standard Test Method for ASTM Color of Petroleum Products (ASTM Color Scale)
- 16) D1751, Standard Specification for Preformed Expansion Joint Fillers for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
- 17) D1752, Standard Specification for Preformed Sponge Rubber Cork and Recycled PVC Expansion Joint Fillers for Concrete Paving and Structural Construction
- 18) D2628, Standard Specification for Preformed Polychloroprene Elastomeric Joint Seals for Concrete Pavements
- 19) D2835, Standard Specification for Lubricant for Installation of Preformed Compression Seals in Concrete Pavements
- c. American Welding Society (AWS)

1) D1.4, Structural Welding Code - Reinforcing Steel

d. Concrete Reinforcing Steel Institute (CRSI)

1) Manual of Standard Practice

e. Code of Federal Regulations (CFR)

1) 26CFR 1910, Occupational Safety and Health Standards

- f. Shaw Stone & Webster (SS&W) Specifications
 - 1) PS-J021, Detailing and Fabrication of Concrete Reinforcement
 - 2) PS-J022, Concrete Mix Design
 - 3) PS-J023, Mixing and Delivering Concrete
 - 4) PS-J026, Anchor Bolt Fabrication
 - 5) PS-J027, Soils and Concrete Testing Services

1.3 DEFINITION OF TERMS

The meaning of the following terms, or pronouns used in place of them, whenever used in these specifications, or instruments of construction which these specifications govern, shall be interpreted as follows:

a.	Owner:	American Electric Power
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- b. Purchaser: Shaw Stone & Webster, Inc.
- c. Seller: Any entity providing a service and/or furnishing goods to the purchaser or Owner

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- d. Engineer: Authorized Engineer of Record providing the engineering services for the Project.
- 1.4 STORAGE
- 1.4.1 Provisions for Storage
- 1.4.2 Material covered by this specification will be stored at the jobsite as follows:
 - A. Heated Building:
 - 1) Form release agents
 - 2) Surface retarders
 - 3) Weld filler metals
 - 4) Curing compounds
 - 5) Damp proofing material
 - 6) Epoxy and latex materials
 - 7) Floor hardeners
 - 8) Premixed grout
 - 9) Joint fillers and sealants
 - 10) Mechanical reinforcing bar splice materials
 - B. Unheated Building:
 - 1) Waterstops
 - 2) Curing paper and blankets
 - 3) Form liner
 - C. Outdoors, with Cribbing:
 - 1) Formwork and accessories
 - 2) Expanded metal
 - 3) Metal lath
 - 4) Handrail sleeves
 - 5) Reinforcing steel
 - 6) Anchor bolt assemblies
 - 7) Steel embedments

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2.0 PART 2 - PRODUCTS

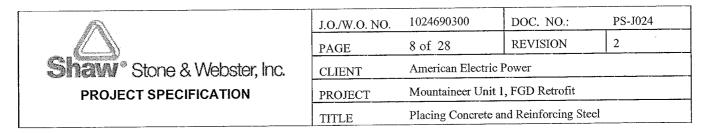
2.1 MATERIALS

2.1.1 Reinforcement

- A. Deformed reinforcement shall conform to ASTM A615. Bar sizes and grades shall be as shown on the Engineer's drawings. All reinforcing shall be detailed and fabricated in accordance with SS&W Specification PS-J021.
- B. Welded wire fabric shall conform to ASTM A185 and shall be spliced by lapping the ends in conformance with ACI 318.
- C. All weld filler metals shall conform to AWS D1.4, Section 5.

2.1.2 Formwork

- A. Formwork shall generally be steel or smooth-sanded plywood, unless otherwise required herein or on the drawings. Form surfaces shall be capable of producing the finishes specified herein.
- B. Formwork materials, sizes and thicknesses of members, accessories, hardware, supports, and attachments shall satisfy the requirements of ACI 347 and of the manufacturer's recommendations.
- C. Forms for exposed finish concrete shall be plywood, metal, metal framed plywood faced, or other acceptable panel type materials. Furnish in largest practicable sizes to minimize number of joints.
- D. Forms for unexposed finish concrete shall be plywood, lumber, metal, or another acceptable material. Provide lumber dressed on at least two edges and one side for tight fit.
- E. Form ties shall be factory fabricated, adjustable length, removable or snap-off metal form ties designed to prevent form deflection and to prevent spilling of concrete upon removal. Provide units that will leave no metal closer than 1-1/2 inches to the plane of the exposed concrete surface. Provide ties that, when removed, will leave holes not larger than 1 inch in diameter in the concrete surface.
- F. General purpose form release agents for concrete that is exposed to view shall be nonstaining products such as Formshield by Tamms Industries; Duogard by W. R. Meadows, Inc.; Nox-crete by The Nox-crete Products Group; Magic Kote by Symons Corporation; or approved equal. Form release agents for concrete receiving a special architectural treatment shall be approved by the Engineer. Provide a form release agent



that will not bond with, stain, or adversely affect concrete surfaces and will not impair subsequent treatments of concrete surfaces. Form release agents shall be capable of commercial formulation and shall have a maximum of 350 g/L volatile organic compounds (VOCs). Form release agents shall be a nonstaining oil not darker than Color No. 3 and shall conform to ASTM D1500.

- G. Lacquer base form release coatings shall be nonstaining, plastic type materials such as Formlak by ChemMasters of Madison, Ohio; or approved equal.
- H. Forms may be lined with DuPont Zemdrain form liner. This liner may be used to improve the surface finish of exposed formed areas. The liner shall be installed in accordance with the manufacturer's recommendations.

2.1.3 Water

Water for all concrete work, including grout, saturating the concrete, and curing, shall be clean and clear. Water quality at all times shall conform to requirements specified in ASTM C94.

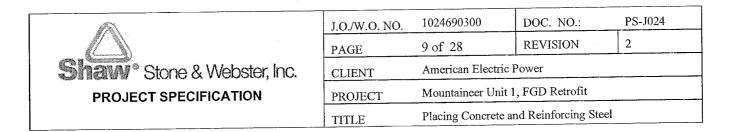
2.1.4 Curing Compound

- A. Paper or plastic film for curing concrete shall conform to ASTM C171 and shall be one of the following: Sisalkraft, Orange Label, or Sisalkraft SK-30, manufactured by St. Regis Paper Company or Griffolyn Type 55, manufactured by Reef Industries, Inc., Griffolyn Division, Houston, TX; or approved equal.
- B. Curing compounds shall consist of commercially available preparations that satisfy requirements of ASTM C309, Type I, Class A or be one of the following or consist of commercially available preparations that satisfy requirements of ASTM C309, Type I: Horncure WB30 by Tamms Industries or Kure-N-Seal by Degussa, or approved equal. If curing compounds are used where a coating will be applied, they shall be compatible with and approved by the manufacturer of the coating material and approved by the Engineer. The manufacturer's written application instructions shall be followed.

2.1.5 Waterstops

A. Waterstops, unless noted shall be polyvinyl chloride.

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- B. Waterstops at moving type joints, unless noted, shall be centerbulb type, 6 inches by 3/8 inch or 9 inches by 3/8 inch, as shown on the drawings. Material shall be polyvinyl chloride and shall conform to CRD-C572. Split-type waterstops shall not be used. Waterstops and fittings shall have the capability to withstand the gap dimension in each of the three principal axes simultaneously.
- C. Waterstops at non-moving type joints may consist of Synko-Flex preformed adhesive.
- D. All splices in waterstops shall incorporate factory fittings and adhesives and be made using tools or equipment recommended by the manufacturer.

2.1.6 Bond Breaker

- A. Bond breakers, where required, shall be polyethylene tape, or as recommended in writing or furnished by the sealant manufacturer and approved by the Engineer. Backup materials and preformed joint fillers shall be nonstaining, compatible with sealant and primer, and composed of a closed cell foam rod of polyethylene, urethane, neoprene, as recommended in writing by the sealant manufacturer, or other material approved by Engineer.
- B. Compressible material used for formwork shall be polystyrene foam boards as furnished by the Dow Chemical Company, Midland, MI, or Engineer approved equal and shall have properties similar to the following:
 - 1) Density: 0.9 to 2.0 pound per cubic foot
 - 2) Absorption: Less than 3 percent by volume

2.1.7 Bonding Agent

Bonding agent shall be polyvinyl acetate or acrylic base.

2.1.8 Joint Sealant

Joint sealant for sidewalks, slab isolation joints and similar uses shall be nonstaining and shall establish and maintain a watertight and airtight continuous joint seal. Joint sealants and associated materials shall be in conformance with ASTM D1190, D2628, and D2835. The sealant shall be resistant to solvents, fuels and other hydrocarbons and chemicals. The sealant shall be able to withstand cyclic movements of at least 25 percent of the joint width. Joint fillers shall be a material compatible with the joint sealant. Joint sealant installation shall be in accordance with the manufacturer's recommendations.

2.1.9 Evaporation Control

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- A. Evaporation Control shall consist of monomolecular film-forming compound applied to exposed concrete slab surfaces for temporary protection from rapid moisture loss.
- B. Provide vapor retarder over prepared base material where indicated below slabs on grade. Use only materials that are resistant to deterioration when tested according to ASTM E154, as follows:
 - 1) Polyethylene sheet not less than 8 mils thick.
 - 2) Water-resistant barrier consisting of heavy kraft papers laminated together with glass-fiber reinforcement and overcoated with black polyethylene on each side.

3.0 PART 3 - EXECUTION

3.1 FORMWORK

- 3.1.1 Formwork Installation
 - A. Formwork shall conform to the requirements of ACI 301, Section 2 and 5.3.3, and ACI 347 except as herein specified. All formwork shall be removed except where shown on the drawings. Provide Class A tolerances for concrete surfaces exposed above grade. Provide Class C tolerances for other concrete surfaces.
 - B. Forms shall conform to the lines and dimensions shown on the Engineer's drawings and shall be substantial and sufficiently tight to prevent mortar leakage. The formwork shall have sufficient strength to withstand the pressure resulting from the placement and vibration of the concrete.
 - C. Forms shall be designed and secured to allow removal in sections without marring or damaging the concrete surface. Provide crush plates or wrecking plates where stripping may damage cast concrete surfaces. Provide top forms for inclined surfaces where slope is too steep to place concrete with bottom forms only. Kerf wood inserts for forming keyways, recesses, and the like for easy removal.
 - D. Formwork shall be provided with adequate cleanout openings to permit inspection and easy cleaning after reinforcement has been placed. Provide for openings, offsets, keyways, recesses, moldings, chamfers, blocking, screeds, bulkheads, anchorages and inserts, and other features required in the work. Provide openings in concrete formwork to accommodate work of other trades. Determine size and location of openings, recesses, and chases from trades providing such items. Accurately place and securely support items built into forms.
 - E. Exposed edges and corners shall be chamfered 1 inch using wood, metal, PVC, or rubber chamfer strips fabricated to produce uniform smooth lines and tight edge joints.

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- F. Form materials shall be free of surface defects that would affect the concrete finish. Forms for exposed surfaces shall provide a smooth form finish as specified in Section 5.3.3 of ACI 301. For enclosed areas used for piping or conduit runs, the exposed surfaces shall produce a rough form finish as specified in Section 5.3.3 of ACI 301. For unexposed surfaces and rough work, undressed lumber may be used.
- G. Coat contact surfaces of forms with an approved, nonresidual, low-VOC, form-coating compound before placing reinforcement. Do not allow excess form-coating material to accumulate in forms or come into contact with in-place concrete surfaces against which fresh concrete will be placed. Apply according to manufacturer's instructions. Coat steel forms with a nonstaining, rust preventative material. Rust stained steel formwork is not acceptable.

3.1.2 Vapor Retarder Sheeting

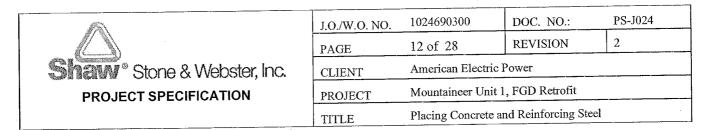
When specified on the drawings, place vapor retarder sheeting in position with longest dimension parallel with direction of pour. Lap joints 6 inches and seal with manufacturer's recommended mastic or pressure sensitive tape not less than 2 inches wide.

3.1.3 Form Ties

- A. Form accessories such as ties and hangers to be partially or wholly embedded in the concrete shall be of a commercially manufactured type.
- B. Form ties shall be constructed so that the ends or end fasteners can be removed without causing appreciable spalling at the faces of the concrete.
- C. After the ends or end fasteners of form ties have been removed, the embedded portion of the ties shall terminate not less than 2 diameters or twice the minimum dimension of the tie from the formed faces of concrete to be permanently exposed to view, except that in no case shall this distance be less than 1-1/2 inch. (See also Section 3.9)
- D. Through ties in water retaining structures shall be filled with an approved waterstop.

3.1.4 Formwork Removal

A. The contact face of removable forms shall be coated with a nonstaining form-release agent. The form-release agent for use on formwork for concrete surfaces that are to be plastered, tiled, or coated shall be a lacquer base coating. The manufacturer's instructions shall be followed. Excess oil shall be removed before placing concrete. Reused forms shall have the contact surfaces cleaned thoroughly. Oil shall be cleaned off reinforcing steel with petroleum naphtha or other suitable solvent.



B. Removal of forms shall conform to Sections 2.3.2, 2.3.3, and 2.3.4 of ACI 301, except as otherwise provided herein. The following table shows the minimum strength of concrete required for columns and walls prior to form removal.

Member	Minimum Strength psi
Nonbearing walls, sides of slabs, beams, girders, fill concrete and massive foundations	500
Columns and bearing walls, provided framing girders are shored to prevent appreciable load from reaching column or wall	1,000

- C. Formwork not supporting weight of concrete, such as sides of beams, walls, columns, and similar parts of the work, may be removed after cumulatively curing at not less than 50 deg F for 24 hours after placing concrete, provided concrete is sufficiently hard to not be damaged by form-removal operations, and provided curing and protection operations are maintained.
- D. Formwork supporting weight of concrete, such as beam soffits, joists, slabs, and other structural elements, may not be removed in less than 14 days or until concrete has attained at least 75 percent of design minimum compressive strength at 28 days. Determine potential compressive strength of in-place concrete by testing field-cured specimens representative of concrete location or members. Edge forms for slabs on grade may be removed the following day.
- E. No superimposed load will be allowed on any concrete structure, or backfill allowed behind a wall until it has attained its 28-day strength, as shown by field cured cylinders or nondestructive testing in accordance with ACI 301, Section 2.3.4.2, unless otherwise approved by the Engineer.
- F. Field cured cylinders shall be cast toward the completion of a placement and cured identical to the structure being evaluated.

3.2 REINFORCEMENT

- 3.2.1 Reinforcement Placement
 - A. Clean reinforcement of loose rust and mill scale, earth, ice and other materials that reduce or destroys bond with concrete.

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- B. Reinforcement shall be placed as per Engineer approved Reinforcement Detail and Placement Drawings.
- C. Reinforcing steel shall be placed in conformance with ACI 301, Section 3.2, 3.3, ACI 318, Section 7.5, and with Concrete Reinforcing Steel Institute's recommended practice for "Placing Reinforcing Bars," unless otherwise noted herein. All accessories for positioning and securing reinforcement in its required location and maintaining it there during other phases of construction shall be furnished and installed. Set wire ties so ends are directed into concrete, not toward exposed concrete surfaces.
- D. Any cutting or puncturing of the vapor retarder sheet shall be repaired prior to placement of concrete.
- E. Locations and lengths of laps splices, and embedments shall be as indicated on approved engineering and rebar detail drawings. Additional field splices in rebars shall require the Engineer's approval.
- F. Install welded wire fabric in lengths as long as practicable. Lap adjoining pieces at least one full mesh and lace splices with wire. Offset laps of adjoining widths to prevent continuous laps in either direction.
- 3.2.2 Bar Bending
 - A. Reinforcing steel shall not be bent or straightened in the field unless approved by the Engineer. Bending or straightening of rebars partially embedded in set concrete shall be in accordance with ACI 318, only if approved by the Engineer.
 - B. The bent or straightened surfaces of the rebar shall be visually examined for indications of cracks. All sections of rebar containing any breaks, cracks, or splitting shall be removed. Portions of rebars removed shall be replaced by welding in the same size rebars in accordance with the requirements specified elsewhere herein. Welded splices shall develop at least 125 percent of the yield strength of the rebar.
 - C. Bars of all sizes bent less than 10 degrees embedded in hardened concrete may be straightened at ambient temperatures.

3.2.3 Weld Splicing

- A. Splicing of reinforcing steel, where required, shall be approved by the Engineer and may be done by arc or Cadweld welding. Welded splices shall conform to the requirements of AWS D1.4. Other methods of splicing shall be submitted to the Engineer for approval.
- B. Procedures for arc and Cadweld welding of reinforcing steel butt splicing shall be qualified in accordance with AWS D1.4 and shall be available at the work site prior to

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performance of any production welding. Welders shall be qualified for this project in accordance with AWS D1.4.

- C. Tack welding on reinforcing bars shall not be permitted. Tack welding or temporary welding on any permanent steel embedments shall not be permitted except where shown on the Engineer's drawings. When tack welding or temporary welding is permitted, it shall be done only under an approved welding procedure.
- D. All filler metals for welding shall be in accordance with AWS D1.4.
- All ends of rebars which are to be Cadwelded shall be marked before splicing with a E. small diameter, low stress, bullet nose punch. The marks shall be located a fixed dimension from each bar end to permit verification of proper centering of the two pieces of reinforcing longitudinally within the Cadweld sleeve and the amount of embedment of each of the ends of the rebar within the sleeve. The allowable gap between the ends, alignment and location of the rebar within the sleeve shall be as required by Erico Products, Inc. Final acceptance criteria for Cadweld splices shall be based on satisfying the criteria herein for visual inspection.
- Bar ends shall be prepared and Cadwelding performed in accordance with the F. manufacturer's instructions.
- G. All completed Cadweld joints shall be visually inspected after cooling for proper filling, such that filler metal shall be visible at each accessible end of the sleeve and at the tap hole. In addition, the completed splice shall be examined for longitudinal centering of the sleeve on the spliced bar ends, permissible gap between bar ends based on punch marks, extent of leaking of filler metals, gas blowout, amount of slag at the tap hole, and allowable voids in filler metal in accordance with the manufacturer's instructions.
- H. Cadweld materials shall be stored in their shipping containers. Cadweld materials shall be handled and used as specified by the manufacturer.
- Unless otherwise shown on the drawings, the minimum clear coverage of the concrete over the steel shall be no less than that specified in Section 3.3 of ACI 301 and the following:

	Cover in Inches		
Concrete that will be exposed	3		
to sea water, fresh water, or			
to alkali soil.			

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3.3 JOINTS

3.3.1 Construction Joints

- A. Construction joints for all structures shall be located where shown on the Engineer's drawings, or as directed and approved by the Engineer prior to placing concrete. No additional joints shall be made without prior written approval of the Engineer. Construction joints shall be keyed as indicated on the drawings.
- B. The surfaces of horizontal and vertical construction joints having no keyway and areas of mats on which concrete walls, columns, or piers are to be placed, shall be treated to remove laitance and to expose clean, sharp aggregate in accordance with ACI 301, Section 5.3. Surfaces shall be roughened by green cutting, sandblasting, or by application of a surface retarder, and then thoroughly cleaned. The cleaned, prepared surface shall provide a rough surface having a full amplitude of at least 1/4 inch from surface to base with aggregate exposed but not undercut.
- C. If specified on the Engineer's drawing, use a bonding agent on existing concrete surfaces that will be joined with fresh concrete. Prepare the joint in accordance with ACI 301 and ACI 350 and apply the bonding agent within the time frame for concrete placement in accordance with the manufacturer's specifications and procedures. Follow recommendations of the bonding agent manufacturer for protection of rebar and waterstop material.
- D. Reinforcement shall be continued across joints unless the drawings show otherwise. The surface of joints shall be cleaned of scale and laitance and thoroughly wetted before placing adjoining concrete. Horizontal joint surfaces shall be covered with a maximum 1/2 inch thick coat of a cement grout (starter grout) in conformance with Section 5.3 of ACI 301.

3.3.2 Control and Expansion Joints

- A. Control and expansion joints shall be constructed at such locations shown and in accordance with the details indicated on the Engineer's drawings.
- B. Where a construction joint is to be used as a control joint, the first formed joint surface of the hardened concrete shall be coated with two heavy coats of approved curing compound. The curing compound shall have been placed within 48 hours before the adjacent concrete is cast.
- C. Control joints in slabs shall be formed by either a tooled groove joint or saw cut to a minimum width of 1/8 inch and depth of one-fourth the thickness of the slab. The location of the control joints shall be as per Engineer's drawings. If joint pattern is not shown, provide joints not exceeding 15 feet in either direction and located to conform to

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bay spacing wherever possible (at column centerlines, half bays, third bays). Isolation joints in slabs-on-grade shall be located at points of contact between slabs-on-grade and vertical surfaces, such as column pedestals, foundation walls, grade beams, and other locations, as indicated by Engineer's drawings.

- D. Surface primers for joint sealants shall be as recommended in writing by the sealant manufacturer. Non sagging sealants shall be used for floor, wall, and ceiling applications. A traffic supporting sealant shall be used where pedestrian or wheel traffic occurs. A sealant that will be immersed or in contact with water for long periods of time shall be a formulation expressly designed for that duty. Color of sealants shall be selected to blend with the surrounding exposed construction. Sealant shall conform to ASTM C920.
- E. Exposed edges of expansion joints in walls or abutments shall be beveled or rounded.
- F. The seat of sliding joints shall be finished to a smooth plane surface and allowed to harden for at least 48 hours before additional concrete is placed. Two thicknesses of building paper shall be placed on the seat before depositing superimposed concrete.
- G. Where indicated on the drawings, exposed expansion joints between two distinct concrete members shall be filled with an elastic joint filler of approved quality and the thickness specified, or shown on the drawings. Joints in the filler material shall be made tight so that mortar from the concrete will not seep through to the opposite concrete surface. Filler shall conform to ASTM D1751 or D1752.

3.4 EMBEDDED ITEMS

All items to be embedded in concrete for structural steel, anchor bolts and sleeves, waterstops, pipe supports, and equipment foundations and stubs for machinery bases shall be accurately located and secured and maintained so as not to be displaced during the placing of concrete. Tack welding to rebar is not permitted.

3.4.1 Waterstops

- A. Where required, waterstops shall be as specified herein and placed in construction, control, and expansion joints in accordance with the Engineer's drawings and ACI 301, Section 2.2. Field splices, where required, shall be made in accordance with the manufacturer's recommendations. Splices shall incorporate recommended applicable fittings, adhesives, and welding tools. Waterstops shall be free of grease, oil, dirt, or any other foreign material which might prevent bond.
- B. Supports shall be provided, and suitable precautions shall be taken to protect the waterstops during the progress of the work. Nailing of waterstops will not be permitted.

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Edges of waterstops shall not be cut to surround reinforcement. Field fabricate joints in waterstops according to manufacturer's printed instructions.

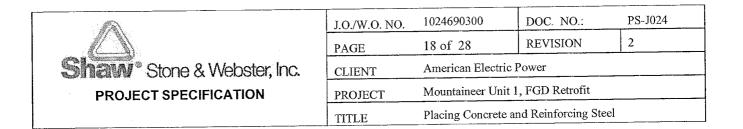
C. The width embedded in concrete at each side of any joint shall be at least 2-1/2 inches, except that, for expansion and control joints, the amount of embedment shall at least meet the manufacturer's recommendation for any design head of water specified.

3.4.2 Anchor Bolts and Bolt Sleeves

- A. Anchor bolts and sleeves shall be accurately located, and secured from displacement, per Engineer's drawing. Usage of template for bolt group location is recommended.
- B. Water shall be excluded from anchor bolt sleeves to prevent freezing and cracking of the concrete. Preformed plastic anchor bolt sleeves may be placed around the bolt. Antifreeze such as ethylene glycol may be placed in the sleeves, or bolts may be wrapped with two or more full turns of expanded polyethylene foam wired in place to provide space for expansion of any freezing water.
- C. After concrete is placed, all uncoated or unprotected anchor bolt threads shall be coated with NO-OX-ID.A-Special as manufactured by Sanchem Inc. or Tectyl 506 as manufactured by Ashland or equal, approved by the Engineer.

3.4.3 Miscellaneous Embeds

- A. All inserts, pipe sleeves, drainage piping, electrical conduit and similar materials shall be set and maintained as shown on the Engineer's drawings.
- B. Pipe sleeves, thimbles, and similar openings shall be installed as shown on the drawings. Sleeves, pipes, or conduits of aluminum shall not be embedded in concrete unless effectively coated or covered to prevent aluminum-concrete reaction or electrolytic action between aluminum and steel. Effective coatings include two coats of bitumastic paint or two coats of clear lacquer or similar inert isolation material.
- C. Electrical conduit or embedded pipes in groups or in layers shall be spaced not closer than three times the diameter of the conduit/pipe on centers, in no case less than 2 inches and, where more than one layer is installed; the vertical layers shall be separated by a clear space of an least the dimension of the largest size of conduit/pipe used and, in no case less than 2 inches. Care shall be taken to ensure that the reinforcing steel, as detailed on the drawings, is not displaced by the conduit or other piping installed.



3.5 CONCRETE PLACING

3.5.1 General Preparation

- A. Concrete placing shall conform to the requirements of ACI 301, 304, and 318, unless otherwise specified herein. All concrete placing equipment and methods must be acceptable to the Engineer.
- B. Hardened concrete and foreign materials shall be removed from the inner surfaces of the forms and conveying equipment.
- C. Prior to concrete placement, formwork shall have been completed; snow, ice, debris and water shall have been removed; reinforcement shall have been secured in place; expansion joint material, anchors, and other embedded items shall have been positioned; and the entire preparation shall have been completed.
- D. Underground pipe, conduits, and ducts in the pour area shall be completely installed before placing concrete.
- E. Where required, subgrades shall be sprinkled with water sufficiently to prevent absorption of water from freshly placed concrete.
- F. When called for on the drawings, slabs on grade shall be poured over a vapor retarder sheeting.

3.5.2 Placing Conditions

- A. Concrete shall not be placed on frozen subgrade or subgrade that is excessively wet. Concrete shall not be placed in rain, sleet, or snow unless adequate protection is provided.
- B. When the concrete arrives at the jobsite too stiff for proper placing, slump adjustment may be made with the agreement of the Engineer by the addition of a suitable additive or by one or several additions of water just prior to discharging concrete from the truck mixer. Once the discharge of concrete has started for final placement, no further adjustment of water content shall be permitted. Concrete slumps shall be as follows, unless otherwise approved by the Engineer:

Concrete Use	Maximum	<u>Minimum</u>
Foundations	5 Inches	3 Inches
Drilled Piers and Cast-in-Place	6 Inches	4 Inches
Piles		
Beams and Columns	5 Inches	3 Inches
Pavements and Slabs	4 Inches	2 Inches

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C. Water shall be removed from excavations before concrete is deposited. Any flow of water into the excavation shall be diverted. No attempt to seal the flow of water by means of the freshly deposited concrete will be permitted. Unless otherwise specified herein, all concrete shall be placed upon clean, damp surfaces, and never upon mud, dried porous earth, or fills that have not obtained required compaction.

3.5.3 Concrete Conveyance

- A. Concrete shall be handled from the truck chute to the place of final deposits as rapidly as practicable by methods which will prevent segregation or loss of ingredients and in a manner which will ensure that the required quality of the concrete is maintained.
- B. Conveying equipment shall be an approved type and shall be of a size and design such that detectable setting of concrete does not occur before adjacent concrete is placed. Conveying equipment shall be cleaned at the end of each operation or workday. Conveying equipment and operations shall conform to the following additional requirements:
 - 1) Belt conveyors shall be horizontal or at a slope that will not cause excessive segregation or loss of ingredients. Concrete shall be protected against undue drying or rise in temperature. An approved arrangement shall be used at the discharge end to prevent apparent segregation. Mortar shall not be allowed to adhere to the return length of the belt. Long runs shall be discharged into a hopper or through a baffle.
 - 2) Chutes shall be metal or metal-lined and shall have a slope not exceeding one vertical to two horizontal and not less than one vertical to three horizontal. Chutes more than 20 feet long and chutes not meeting the slope requirements may be used provided they discharge into a hopper before distribution. Chutes made of aluminum or aluminum alloys shall not be used.
 - 3) Pumping or pneumatic conveying equipment shall be of suitable type with adequate pumping capacity. Pneumatic placement shall be controlled so that segregation is not apparent in the discharged concrete.
- C. Water shall not be added at the hopper to facilitate pumping. If the concrete is too stiff to pump, water may be added in accordance with this specification. Concrete shall not be conveyed through pipe made of aluminum or aluminum alloy.
- D. Concrete shall be placed and consolidated before initial set has occurred and before it has contained its water content for more than 90 minutes, except as otherwise provided by ASTM C94.
- E. Mixing and agitation of the concrete mix in the truck mixer shall not exceed 300 revolutions.

3.5.4 Concrete Placement

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- A. Concrete placing shall conform to the requirements of ACI 301, 304, and 318, unless otherwise specified herein. All concrete placing equipment and methods must be acceptable to the Engineer.
- B. In general, all concrete shall be deposited in approximately horizontal layers, not to exceed 18 inch thickness when compacted. Slabs up to and including 24 inches thick, shall generally be placed in one course. Where placement consists of several layers, place each layer while preceding layer is still plastic to avoid cold joints.
- C. Lateral movement of concrete by means of vibrators shall not be permitted except for local consolidation of the mass or melting down of small mounds where deposited.
- D. The placement shall be carried on at such a rate that all concrete not yet placed to its final elevation shall not have reached its initial set before additional concrete is placed on it. If a section cannot be placed continuously, provide construction joints as specified.
- E. Placing of concrete shall be done in a manner that will prevent the segregation or loss of materials. There shall be no vertical drop (free fall) greater than 6 feet for any concrete except where chutes, elephant trunks, or pipes are provided, and the drop shall be reduced if segregation occurs. Water retention structures shall be limited to a vertical drop of 4 feet.
- F. Concrete shall not be dumped against waterstops, but shall be placed adjacent to, and thoroughly consolidated under horizontal waterstops or uniformly on both sides of vertical waterstops before they are submerged. The practice of working waterstop down into placed concrete will not be permited.

3.5.5 Concrete Consolidation

- A. Use equipment and procedures for consolidation of concrete complying with ACI 309.
- B. Concrete shall be consolidated by vibration, spading, rodding, or forking so that the concrete is thoroughly worked around the reinforcement, around embedded items, and into the corners of forms, eliminating air or stone pockets which may cause honeycombing, pitting, or planes of weakness.
- C. Internal vibrators shall have a minimum frequency of 8000 vibrations per minute and sufficient amplitude to consolidate the concrete effectively. Competent workmen shall operate them. The use of vibrators to transport concrete within forms shall not be allowed. Vibrators shall be inserted and withdrawn in points approximately 18 inches apart. Place vibrators to rapidly penetrate placed layer and at least 6 inches into preceding layer. Do not insert vibrators into lower layers of concrete that have begun to set. At each insertion, the duration shall be sufficient to consolidate the concrete, but

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not sufficient to cause segregation, generally from 5 to 15 seconds. Spare vibrators shall be kept at the site of the work during concrete placing operations. Use one vibrator per 10 cu yards/hr walls and two per 20 cu yards/hr for slabs.

- D. Bring slab surfaces to correct level with a straightedge and strike off. Use bull floats or darbies to smooth surface free of humps or hollows.
- E. Where the concrete is to have an as-cast finish, a full surface of mortar shall be brought against the form by the vibration process, supplemented if necessary by spading to work the coarse aggregate back from the formed surface.

3.5.6 Cold Weather Requirements

- A. Cold weather is defined as a period when for more than three successive days the average daily air temperature is less than 40°F and the air temperature is not greater than 50°F for more than one-half day of any 24-hour period. Cold weather concreting shall comply with the requirements of ACI 306.
- B. Protect concrete work from physical damage or reduced strength that could be caused by frost, freezing actions, or low temperatures. Place no concrete during rain or snow. When air temperature has fallen to or is expected to fall below 40°F, uniformly heat water and aggregates before mixing to obtain a concrete mixture temperature of not less than 50°F and not more than 80°F at point of placement.
- C. Chill factor shall be taken into consideration in determining proper protection of the concreting operations.
- D. When heavy frost or freezing is forecast at the jobsite, all concrete surfaces shall be protected from freezing for the first 24 hours after placement.
- E. All concrete materials and all reinforcement, forms, fillers, and ground with which the concrete is to come in contact shall be free from frost, snow, and ice. Contact surfaces shall not be more than 10°F cooler than the minimum concrete placing temperature nor less than 40°F. Concrete shall have a temperature conforming to Table 3.2.1 of ACI 306.1, when placed in the forms and shall be maintained at a temperature conforming to Column 2 of Table 3.2.1 of ACI 306.1 for not less than 72 hours after placing.
- F. No dependence shall be placed on salt or other chemicals for the prevention of freezing.

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3.5.7 Hot Weather Requirements

Hot weather is defined as any combination of high air temperature, low relative humidity, and wind velocity tending to impair the quality of fresh or hardened concrete or otherwise affecting concrete properties.

Hot weather requirements for concreting shall conform to ACI 301, Sections 4.2, 5.3 and ACI 305. All concrete shall be delivered to the forms at all times at the coolest temperature which is practicable under current conditions but consistent with the temperature requirements specified. Concrete will not be acceptable if it has a temperature in excess of 90°F for placements less than 4 feet thick, and 70° for placements equal to and exceeding 4 feet thick at the time of placement. Concrete shall not be placed when hot weather conditions would prevent proper placement and consolidation.

Cover reinforcing steel with water soaked burlap if it becomes too hot, so that steel temperature will not exceed the ambient air temperature immediately before embedding in concrete.

Fog spray forms, reinforcing steel, and subgrade just before placing concrete. Keep subgrade moisture uniform without puddles or dry areas.

Use water reducing retarding admixture when required by high temperatures, low humidity, or other adverse placing conditions, when acceptable to Engineer.

Initiate approved curing practices as soon as possible to prevent premature surface drying of concrete.

3.6 FINISHES

3.6.1 Care shall be exercised to avoid excessive floating and troweling of finish while there is moisture on the surface of the slab. Under no circumstances, shall dry cement and sand or other material be sprinkled on the surface of the wet concrete or finish for the purpose of drying up pools of bleed water or condensation. As an alternate to this, the surplus moisture may be blotted up with dry burlap or removed by spreading cement and sand over a sheet of burlap laid over the freshly screeded surface of the finish. The dampened sand and cement shall be discarded.

3.6.2 Float Finish

After screeding, consolidating, and leveling concrete slabs, do not work surface until ready for floating. Begin floating, using float blades or float shoes only, when surface water has disappeared, or when concrete has stiffened sufficiently to permit operation of power-driven floats, or both. Consolidate surface with power-driven floats or by hand-floating if area is small or inaccessible to power units. Finish surfaces to tolerances of F(F) 28 (floor flatness)

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and F(L) 23 (floor levelness) measured according to ASTM E1155. Cut down high spots and fill low spots. Uniformly slope surfaces to drains. Immediately after leveling, refloat surface to a uniform, smooth, granular texture.

3.6.3 Trowel Finish

After floating, begin first trowel-finish operation using a power-driven trowel. Begin final troweling when surface produces a ringing sound as trowel is moved over surface. Consolidate concrete surface by final hand-troweling operation, free of trowel marks, uniform in texture and appearance, and finish surfaces to tolerances of F(F) 30 (floor flatness) and F(L) 25 (floor levelness) measured according to ASTM E1155, unless otherwise specified. Grind smooth any surface defects that would telegraph through applied floor covering system.

3.6.4 Broom Finish

Immediately after float finishing, slightly roughen concrete surface by brooming with fiber bristle broom perpendicular to main traffic route. After floating is complete, the top edges at the contraction joints shall be slightly rounded by means of a steel edging tool.

3.6.5 Non Slip Aggregate Finish

After completing float finishing and before starting trowel finish, uniformly spread 25 lb of dampened nonslip aggregate per 100 sq ft of surface. Tamp aggregate flush with surface using a steel trowel, but do not force below surface. After broadcasting and tamping, apply trowel finishing as specified. After curing, lightly work surface with a steel wire brush or an abrasive stone, and water to expose nonslip aggregate.

3.6.6 Dry Shake Metallic Hardener Floor Finish

Note that if a dry shake metallic floor hardener is used, the manufacturer shall be consulted to ensure acceptability of the per cent of air entrainment in the concrete mix. Typically, the manufacturers of dry shake finish limit concrete mix air entrainment to less than 3%. An adjustment of the mix design will be required. Apply dry shake materials for the hardened finish at a rate of 250 lb per 100 sq ft, unless a smaller maximum amount is recommended by material manufacturer. Immediately following the first floating operation, uniformly distribute with mechanical spreader approximately two-thirds of the required weight of the dry shake material over the concrete surface, and embed by power floating. Follow floating operation with second shake application, uniformly distributing remainder of dry shake material with overlapping applications to ensure uniform color, and embed by power floating. After broadcasting and floating, apply a trowel finish as specified. Cure slab surface with a curing compound recommended by the dry shake material manufacturer. Apply the curing compound immediately after the final finishing.

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3.6.7 After the finishing is completed, the curing and protection procedures required under Section 3.7, herein, shall be started. When hot, dry winds, direct sunshine, or a combination of these indicate the likelihood of plastic cracking, the surface of the slabs shall be protected before and after finishing to minimize surface cracking. Foot traffic and construction loads shall be restricted as much as possible to minimize surface damage during the construction period.

3.6.8 Surface finishes

Surface finishes shall be applied as per Engineer's drawings. For surface finishes not called out on Engineer's drawing finishes shall be applied as following:

Surface Finish	Concrete Surfaces
Float	Roof slab to be covered with insulation and built-up roofing.
Trowel	Interior: Walkways, platforms, ramps, slabs and floors. Floor to be covered with resilient flooring, carpet, ceramic to quarry tiles, epoxy coat, thin film coat or paint. Roof slab to be covered with vapor barrier, built- up roofing without insulation or membrane waterproofing
Broom	Exterior: Walkways, platforms, ramps, slabs and floors. Steps, stair treads, stair landing pads, sidewalks and pavements

3.7 CURING AND PROTECTION

3.7.1 General Requirements

- A. Curing and protection of freshly deposited concrete or grout shall conform to the requirements described herein and in accordance with ACI 308. For other conditions not covered herein, requirements shall conform to ACI 301, Section 5.3.6 unless otherwise noted. These requirements include the control of moisture loss from concrete, control of concrete temperature, and the use of combustion heaters.
- B. Concrete shall be cured by moist curing, by moisture-retaining cover curing, by curing compound, or by combining these methods, as specified.

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3.7.2 Water Curing

- A. Where water curing is specified, it shall require the presence of a visibly wet concrete surface together with the presence of some free water continually throughout the required curing period.
- B. Unformed surfaces shall be covered with burlap, cotton, or other approved fabric mats kept in intimate contact with the concrete, or with clean sand, and shall be kept continuously wet for the duration of the curing period. Surfaces cured with sand shall be covered with a minimum uniform thickness of 2 inches of sand. Where formed surfaces are cured while still in the forms, the forms shall be kept wet continually. If the forms are removed before the end of the curing period, curing shall continue as on unformed surfaces. Burlap shall be used only on surfaces to be unexposed in the finished work. Concrete shall be protected from the direct rays of the sun during the first 3 days of the curing period.

3.7.3 Curing by Compounds

- A. The storage, handling, and application of curing materials shall conform to the manufacturer's recommendations.
- B. Concrete may be cured with a curing compound of the surface membrane type instead of moist curing with water. The curing compound shall be applied to unformed surfaces immediately after finishing. The surfaces shall be thoroughly covered with the curing compound applied in accordance with the manufacturer's recommendations.
- C. On formed surfaces, the curing compound shall be applied immediately after removal of forms unless surface cleanup and repair of surface defects are to be done at once. In this case, the surface shall be kept moist to prevent drying out during this work, and the curing compound shall be applied upon its completion. If there is any appreciable drying or loss of moisture from the concrete surface that is to be coated with curing compound, the surface shall be sprayed with water and brought to a uniformly damp appearance just prior to applying the curing compound.
- D. Concrete surfaces subjected to heavy rainfall within 3 hours after the curing compound has applied shall be retreated. Compounds shall not be used on surfaces to which additional concrete will be bonded, where the surface has been prepared by exposing aggregate, or during the period concrete is required to be water cured, or to which resilient flooring or epoxy coatings will be applied.

3.7.4 Curing Conditions

A. Large foundation mats having a thickness of 7 feet or greater, shall be water cured for a minimum period of 14 days. Curing period may consist of 14 days of water curing or 7

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days of water curing followed by 7 days of curing with a curing compound. When the mean daily outside air temperature is less than 40°F, the concrete shall be protected by a heated enclosure, or insulation, or blankets to keep the surface of the concrete at all points above 50°F, but no more than 70°F for the initial 7-day curing period.

- B. Temperature control during and following the water curing period shall be provided to ensure that the maximum air temperature change immediately adjacent to the concrete does not exceed 5°F in any 1 hour, or 50°F in any 24 hours. Where blankets or other insulating covers are used to provide temperature control without an enclosure structure, the above cooling rate shall apply to the concrete surface.
- C. Walls, slabs, or other concrete that are between 30 inches and 7 feet thick in their least dimension shall be water cured for 48 hours. For an additional 5 days, the concrete shall be cured by any of the methods listed herein and the above requirements on temperature control and rate of temperature change shall not be exceeded.
- D. When the mean daily outdoor temperature is less than 40°F, the temperature of the concrete shall be maintained between 50°F and 70°F for the required curing period. When necessary, arrangements for heating, covering, insulating, or housing the concrete work shall be made in advance of placement and shall be adequate to maintain the required temperature without injury to concrete due to heat concentration.

Combustion heaters shall not be used during the first 24 hours unless precautions are taken to prevent exposure of the concrete to exhaust gases which contain carbon dioxide. Additional curing measures shall be taken in accordance with the recommendations of ACI 306. The records shall be kept of the air temperature, the temperature within any heated or protective enclosure, and of the concrete temperature during the required curing period. The records shall satisfy the intent of Section 2.4 of ACI 306R.

E. For hot weather conditions, provision for windbreaks, shading, fog spraying, sprinkling, ponding, or wet covering with a light colored material shall be made in advance of placement, and such protective measures shall be taken as quickly as concrete hardening and finishing operations will allow. Additional curing measures shall be taken in accordance with the applicable recommendations of ACI 305.

3.8 ROUTINE TESTS OF CONCRETE

- 3.8.1 Concrete test responsibilities of field personnel are defined in SS&W Specification PS-J023.
- 3.8.2 No less than two sets of compressive strength test specimens for each mix design of concrete placed will be made during the first two days of placing concrete, and at least one set of test specimens will be made per 8-hour shift or for each 100 cubic yard placed. Slump, air content, temperature, and density tests will be made at the time of casting cylinders for compressive strength tests or as necessary to maintain these parameters within their limits.

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Concrete material for preparing test specimens will be taken from the truck's discharge or at the end of discharge line.

- 3.8.3 A quality control program shall be in place to address inspection of concrete reinforcing, formwork, and concrete placement. These inspections shall be documented and available for owner's review.
- 3.9 REPAIR OF SURFACE DEFECTS
- 3.9.1 Patching Defective Areas

Repair and patch defective areas with cement mortar immediately after removing forms in accordance with the requirements of ACI 301, with Engineer's approval. The patching material shall consist of the same materials and proportions as the concrete mix except the course aggregate shall be omitted. Pre-package materials may be used when acceptable to the Engineer.

- A. Cut out honeycombs, rock pockets, voids which cannot be completely covered by a quarter, and holes left by tie rods and bolts down to solid concrete. Make edges of cuts perpendicular to the concrete surface. Thoroughly clean, dampen with water, and brush-coat the area to be patched with bonding agent. Place approved patching mortar before bonding agent has dried.
- B. For surfaces exposed to view, blend white portland cement and standard portland cement so that, when dry, patching mortar will match surrounding color. Provide test areas at inconspicuous locations to verify mixture and color match before proceeding with patching. Compact mortar in place and strike off slightly higher than surrounding surface.

3.9.2 Repairing Formed Surfaces

Remove and replace concrete having defective surfaces if defects cannot be repaired to satisfaction of Engineer. Surface defects include texture irregularities, cracks, spalls, air bubbles, honeycomb, rock pockets, fins and other projections on the surface, and stains and other discolorations that cannot be removed by cleaning. Flush out form tie holes and fill with dry-pack mortar.

A. Repair concealed formed surfaces, where possible, that contain defects that affect the concrete's durability or, where applicable, water tightness. If defects cannot be repaired, remove and replace the concrete.

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3.9.3 Repairing Unformed Surfaces

Test unformed surfaces, such as monolithic slabs, for smoothness and verify surface tolerances specified for each surface and finish. Correct low and high areas as specified. Test unformed surfaces sloped to drain for trueness of slope and smoothness by using a template having the required slope.

- A. Repair finished unformed surfaces containing defects that affect the concrete's durability. Surface defects include crazing and cracks in excess of 0.01 inch wide or that penetrate to the reinforcement or completely through nonreinforced sections regardless of width, spalling, popouts, honeycombs, rock pockets and other objectionable conditions.
- B. Correct high areas in unformed surfaces by grinding after concrete has cured at least 14 days.
- C. Correct low areas in unformed surfaces during or immediately after completing surface finishing operations by cutting out low areas and replacing with patching mortar. Finish repaired areas to blend into adjacent concrete.
- D. Repair defective areas, except random cracks and single holes not exceeding 1 inch in diameter, by cutting out and replacing with fresh concrete. Remove defective areas with clean, square cuts and expose reinforcing steel with at least ³/₄-inch clearance all around. Dampen concrete surfaces in contact with patching concrete and apply bonding agent. Mix patching concrete of same materials to provide concrete of same type or class as original concrete. Place, compact, and finish to blend with adjacent finished concrete. Cure in same manner as adjacent concrete.
- 3.9.4 Repair single holes 1 inch or less in diameter by dry-pack method. Cut out holes to sound concrete and clean of dust, dirt, and loose particles. Dampen cleaned concrete surfaces and apply bonding compound. Place dry-pack before bonding agent has dried. Compact dry-pack mixture in place and finish to match adjacent concrete. Keep patched area continuously moist for at least 72 hours.
- 3.9.5 Perform structural repairs according to approved procedures and obtain prior approval of the Engineer for materials, method and procedure.
- 3.9.6 Repair methods not specified above may be used, subject to acceptance of the Engineer.
- 3.9.7 Any repaired area measuring 6 inches or more across in any direction shall be cured by being kept thoroughly damp for 7 days.

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AUGER CAST-IN-PLACE PILES



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LEAD ENG.	PJS	PJS		 		
PROJECT ENG.	RRG	RRG				

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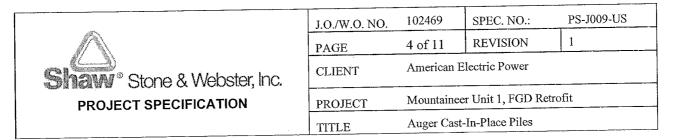
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- 1.0 PART 1 GENERAL
- 1.1 SCOPE
- 1.1.1 The work covered by this specification consists of design and furnishing all equipment, materials, labor and supervision and performing all work necessary for pile load tests and installing auger cast-in-place piles to support concrete foundations.
- 1.1.2 The extent of work is as shown on Engineer's drawings including piling location plans.
- 1.1.3 The Seller shall provide pile schedules, pile load test program, piling details and such other drawings as may be required.
- 1.1.4 Bench marks and reference base lines will be established by the Purchaser. The Seller shall furnish all equipment and tools and shall be responsible for accurately locating and staking out the work. Bench marks and reference lines shall be carefully maintained and, if disturbed or destroyed, shall be replaced by the Seller at no cost to the Purchaser.
- 1.2 REFERENCED CODES AND STANDARDS
- 1.2.1 The codes and standards referenced below shall be the issues in effect on the date of Invitation to Bid. Metric equivalent shall be used as applicable. If there is, or appears to be, a conflict between this specification and a referenced document, the matter shall be referred to the Engineer.
 - a. International Building Code 2000 Edition
 - 1) Section 1809.3, Drilled or Augered Uncased Piles
 - b. American Society for Testing and Materials (ASTM)
 - 1) A36, Standard Specification for Structural Steel
 - 2) A615, Standard Specification for Deformed and Plain Billet Steel-Bars for Concrete Reinforcement

3) C109, Standard Test for Compressive Strength of Hydraulic Cement Mortars

4) C942, Standard Test Method for Compressive Strength of Grouts

5) D1143, Standard Test Method for Piles under Static Axial Compressive Load



- 6) D3689, Standard Test Method for Individual Piles Under Static Axial Tensile Load
- c. American Welding Society (AWS)
 - 1) AWS D1.1, Structural Welding Code Steel
 - 2) AWS D1.4, Structural Welding Code Reinforcing Steel
- d. American Concrete Institute (ACI)
 - 1) ACI 543R
- e. Stone & Webster (S&W) Specifications
 - 1) PS-J021, Detailing and Fabrication of Concrete Reinforcement
 - 2) PS-J024, Placing Concrete and Reinforcing Steel

1.3 DEFINITION OF TERMS

The meaning of the following terms, or pronouns used in place of them, whenever used in these specifications, or instruments of construction which these specifications govern, shall be interpreted as follows:

- a. Owner: American Electric Power.
- b. Purchaser: American Electric Power.
- c. Seller: Any entity providing a service and/or furnishing goods to the Purchaser or Owner.
- d. Engineer: Authorized Engineer of Record providing the engineering services for the Project.
- 1.4 SITE CONDITIONS
- 1.4.1 The Purchaser will provide the Seller with a copy of the boring locations, log of borings and soils laboratory test results.
- 1.4.2 The Seller shall carefully examine the data and take whatever steps may be necessary to satisfy himself as to the subsurface conditions to be encountered when installing piles. Lack of knowledge of existing conditions or facilities will not be considered as a basis for additional compensation.
- 1.4.3 Existing utility lines and lines to be demolished and removed by others, where known, are indicated on the drawings. Extreme care shall be exercised so as not to disrupt service or damage other utilities adjacent to the Work area.



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1.4.4 The location of underground lines, foundations and other installations, where known and to be demolished and removed by others, are indicated on the drawings. The Seller shall notify the Purchaser of any additional obstructions encountered and shall provide support, protection or removal of such obstructions as directed by the Purchaser.

1.5 SCHEDULES

The Seller shall submit a preliminary schedule of work, identifying time lapsed from award of delivery of piling, mobilization and the sequence of completion and completion of work, including initial pile load tests and time to perform a working load and proof load test.

1.6 SUBMITTALS

- 1.6.1 Details and analysis for design and installation of auger cast-in-place piles including type, size, installed depth and reinforcement for uplift and shear transfer to foundation.
- 1.6.2 Description of pile installation equipment to be utilized for the project, in particular the drilling equipment, auger size, rated torque, grout pumping equipment, grout placement and auger retrieval monitoring procedure.
- 1.6.3 Grout mix design requirements for 4,500 psi 28-day design strength.
- 1.6.4 Grout quantities and deliver schedule requirements for pile installation.
- 1.6.5 Pile load test program and test load details for compression test and uplift test, including analysis to be performed in conjunction with the load test.

2.0 PART 2 - PRODUCTS

2.1 MATERIALS

The Seller shall provide the following materials or Engineer's approved equal.

- 2.1.1 Auger cast-in-place piles which satisfy design load requirements for each foundation.
- 2.1.2 The number of piles, location and cutoff datum shall be as indicated on the Engineer's drawings.
- 2.1.3 Reinforcing steel for uplift and shear transfer shall conform to ASTM A615, Grade 60. Detailing and fabrication shall conform to S&W Specification PS-J021. The bars shall be installed in the piles to ensure appropriate placement within the concrete foundation.
- 2.1.4 A 4,500 psi 28-day grout will be supplied by the Purchaser per the Seller's grout design mix requirements.
- 2.2 MATERIAL HANDLING
- 2.2.1 Material shall be protected to prevent damage and corrosion during handling and shipping.



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- 2.2.2 Care shall be taken to prevent materials from becoming contaminated with grease, oil, dirt, or other unsuitable material during handling and shipping. The Seller shall be responsible for removing unsuitable coatings.
- 2.2.4 All rebar, etc. shall be stored at the jobsite on cribbing. The Seller shall material so that bending or twisting will not occur.

3.0 PART 3 - EXECUTION

3.1 PILE DESIGN

- 3.1.1 The Seller shall be responsible for designing piles to meet the loads indicated on the Engineer's drawings. Each pile shall be capable of carrying the combination design working loads specified.
- 3.1.2 All piles shall be designed for the respective compression, tension (uplift) and lateral (shear) loads given on the drawings. Where piles are required to support lateral loads they shall be structurally capable of supporting the lateral load when applied in combination with the design working load in compression and/or in tension as applicable.
- 3.1.3 The auger cast-in-place piles installed diameter shall be 16 inches or larger.
- 3.1.3 Compression

Piles shall have an ultimate capacity based on a minimum factor of safety of 2.0 on the design load.

The piles shall have a short-term settlement of 1/2 inch or less at the design load.

3.1.4 Tension

In addition to compression loading, piles designated as 'uplift piles' shall be designed for design load in tension as specified. A factor of safety of at least 2.0 for the design load shall be provided on the ultimate capacity in tension.

3.1.5 Lateral

All piles shall be designed for a lateral (shear) design load as specified. The pile shall be designed such that a pile-head deflection of 3/8 inch or less is obtained in a load test when the specified working load is applied at the top of the pile in free-head condition. A factor of safety of at least 2.0 for combined design load shall be provided on the ultimate lateral capacity.

3.1.6 The Seller shall submit drawings, calculations and details of dimensions and materials etc. along with a detailed method statement for pile construction for approval by the Engineer at least 2 weeks before commencing installation of permanent piles. The Seller shall be prepared to incorporate into his design any modification proposed by the Engineer. The design calculation shall be finalized and resubmitted after completion of the preliminary pile load tests. The Engineers' approval of the Seller's design shall not relieve the Seller from any costs arising in the future from inadequate design.

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3.2 PILE INSTALLATION

- 3.2.1 The piling shall be installed at the location shown on the drawings. Only experienced Piling Contractors will be acceptable on the job.
- 3.2.2 A continuous flight hollow shaft (minimum inside diameter of 2 1/2 inches) auger shall be rotated into the underlying soil to pre-determined tip elevation or refusal whichever occurs first. Leaving the auger in the hole and maintaining a slow positive rotation, begin injecting the specified mortar through the hollow shaft. Inject a sufficient quantity of grout to completely fill the augered hole, carefully coordinating the rate of injection as the auger is being withdrawn.

3.2.3 Protection of Piles:

Provide a sequence of pile installation with grout placement within 6 diameters of adjacent piles until initial set has occurred. Initial set is that time period when the grout in adjacent piles changes to a solid. A physical check of the adjacent pile is the most accurate way of determining when initial set has occurred.

In the event non-augerable material is encountered above the desired tip elevation, the pile shall be completed to that depth and the length of this short pile shall be included in the total linear foot of pile for payment. An additional pile may be required at the Engineer's direction and shall be paid for in accordance with the unit price for additional piles.

Auger cast-in-place piles shall be poured to top of ground and the mortar may be removed to the cut-off elevation prior to initial set. If hole will not stand open, the pile must be cut off after final set of mortar and the excavation for pile cap has been made.

When the pile cutoff is 12 inches or greater below the ground surface at the time of installation, the grout shall be placed to ground level and the Seller shall cut the pile off at design cutoff elevation after the excavation has been completed.

Auger refusal shall be defined as an auger penetration rate of one (1) foot per minute, or less.

3.3 PLACING OF GROUT

- 3.3.1 No pile shall be installed and grouted within 6 pile diameters, center to center, of a pile grouted less than 12 hours old unless initial set has been achieved and approval obtained from the Purchaser. The Purchaser, or his designee, shall be present when the piles are filled with grout.
- 3.3.2 Grout shall be placed in the pile in accordance with ACI 543R and Stone & Webster Specification PS-J024. Placing of grout in each pile shall be continuous.

3.4 STRENGTH TESTING

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During the progress of the job, standard 2-inch compression test cubes shall be tested in accordance with ASTM C-109 and by a qualified commercial testing laboratory, approved by the Purchaser. A minimum of one (1) set of six (6) cubes shall be made for each days work. From each set of six (6) cubes, two (2) shall be tested at 3 days, two (2) at 7 days, and two (2) at 28 days unless specified strength has already been obtained. If a special pozzolan is used, make and break two (2) additional cubes at 90 days, if necessary.

3.5 FABRICATION

- 3.5.1 Reinforcing bars shall be deformed bars conforming to the requirements of ASTM A615, grade 60.
- 3.5.2 Reinforcing steel materials shall be new. Certified copies of mill test reports or certificates of conformance identifying the material used and its origin shall be submitted to the Purchaser for review.
- 3.5.3 Fabrication shall include the cutting and bending of reinforcing steel materials to conform to the dimensions and shapes defined by the Seller's reinforcement details.
- 3.5.4 All rebar fabrication shall be in accordance with Stone & Webster Specification PS-J021.
- 3.5.4 Welding of rebar is not permitted unless approved by the Engineer. All welding shall be in accordance with AWS D1.1 and D1.4.
- 3.6 TOLERANCE AND ACCEPTANCE CRITERIA
- 3.6.1 Piles shall be located in accordance with the lines and grades shown on the Engineer's drawings.
- 3.6.2 The maximum deviation of a driven pile from the plan center location specified on the Engineer's drawings, as measured at the pile cutoff elevation, shall be 3 inches. The maximum deviation of a vertical installed pile from a true plumb position shall be 1 %. Cutoff elevation tolerance shall be \pm one inch.
- 3.6.4 If the Seller notes that a pile does not meet the tolerances given herein, he should immediately inform the Purchaser. Such notice may permit the Engineer to immediately redesign the pile cluster and prevent rejection of the mislocated or misaligned pile.
- 3.7 PILING INSPECTION
- 3.7.1 Prior to driving of any pile, the Purchaser shall be notified.
- 3.7.2 Accurate records of each pile will be kept, including as a minimum the following information:
 - a. Pile number and location
 - b. Date and time of installation
 - c. Sketch and/or description of pile drilling equipment utilized



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- d. Complete description of method of installation including monitoring of grout take vs. retrieval of auger
- e. Mortar design mix used
- f. Volume of grout placed in auger hole, to nearest cubic foot.
- g. Comparison of theoretical and actual volumes of grout installed in auger hole.
- h. Any deviation of pump pressure during grouting from the specified pump pressure and the depth at which it occurs. Grouting times shall be recorded
- i. Any deviation from the specified withdrawal rate and the depth at which it occurs.
- j. Any redrilling required due to deviation from the installation procedure, the depth to whit it extends, and the cause for redrilling.
- k. Type of pile design, including butt and tip diameters.
- 1. Reinforcement placed in pile.
- m. Elevation of tip when installation is completed
- n. Elevation of cutoff

These records will be kept on the standard form "Pile Inspectors Summary Report" included in this document.

The Seller shall cooperate with the Purchaser in taking of these measurements to ensure complete and accurate records.

The Seller shall submit copies of the pile installation records, within two working days of the installation, to the Engineer for review.

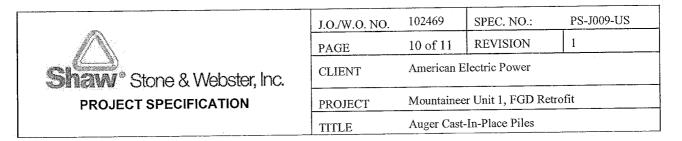
At the completion of work the Seller shall submit "as-built" drawings that accurately locate all piles in place, abandoned, out of tolerance and extra piles added, if any.

3.8 PILE LOAD TEST

3.8.1 General

The Seller shall be responsible for performing the load test on the piles as per this specification

a. The Seller shall install preliminary piles and carry out a load tests in advance of the piling works as indicated on the drawings or as described in the scope of work. The tests specified shall be regarded as the minimum required. The Seller, may at his option, propose additional tests if deemed necessary to optimize the pile design.



- b. Preliminary piles and test piles shall be designed and tested with pile tips in the same bearing stratum as the working piles. The Seller shall identify the bearing stratum for the piles in his method statement.
- c. The Seller shall include with his proposals a method statement and detailed schedule indicating times of mobilization, execution and reporting for the load tests on preliminary piles and working piles. The reporting shall include testing equipment and set up, a description of testing procedure, supportive calculations, and a record of results and interpretative conclusions.

3.8.2 Installing Test Piles

An initial test pile shall be installed for a compression pile test and a tension pile test. The test piles shall be installed at a representative location at the Chimney as agreed with the Engineer. The test pile locations shall wherever possible be adjacent to Chimney and borings to facilitate correlation with encountered soil strata, particularly the founding layer.

3.8.3 Static Load Tests

Compression and tension pile tests shall be in accordance with ASTM D1143 and ASTM D3689 respectively.

Lateral load pile tests shall be in accordance with ASTM D3966, if requested.

The Seller shall include in his method statement, details of the load tests including loading sequence and holding periods, instrumentation and other test details. Reaction piles or anchors, if used shall be monitored for "pull out" during the compression load tests.

3.8.4 Removal of Temporary Works

Following acceptance of the tests the Seller shall remove all temporary works erected for the preliminary works, including any temporary pile cap. Any piles located in the area of permanent pile caps shall be cut off 20 inches (500mm) below bearing elevation of the pile cap. Piles located outside of the footprint of pile caps shall be cut off 20 inches (500mm) below final grade elevation.

3.8.5 Test Report

After completion of the load tests, the Seller shall submit the test results which shall include a description of methods used, pile installation data, test results and interpretation, conclusions, and proposed modifications to the pile design.

3.9 TESTING OF WORKING PILES

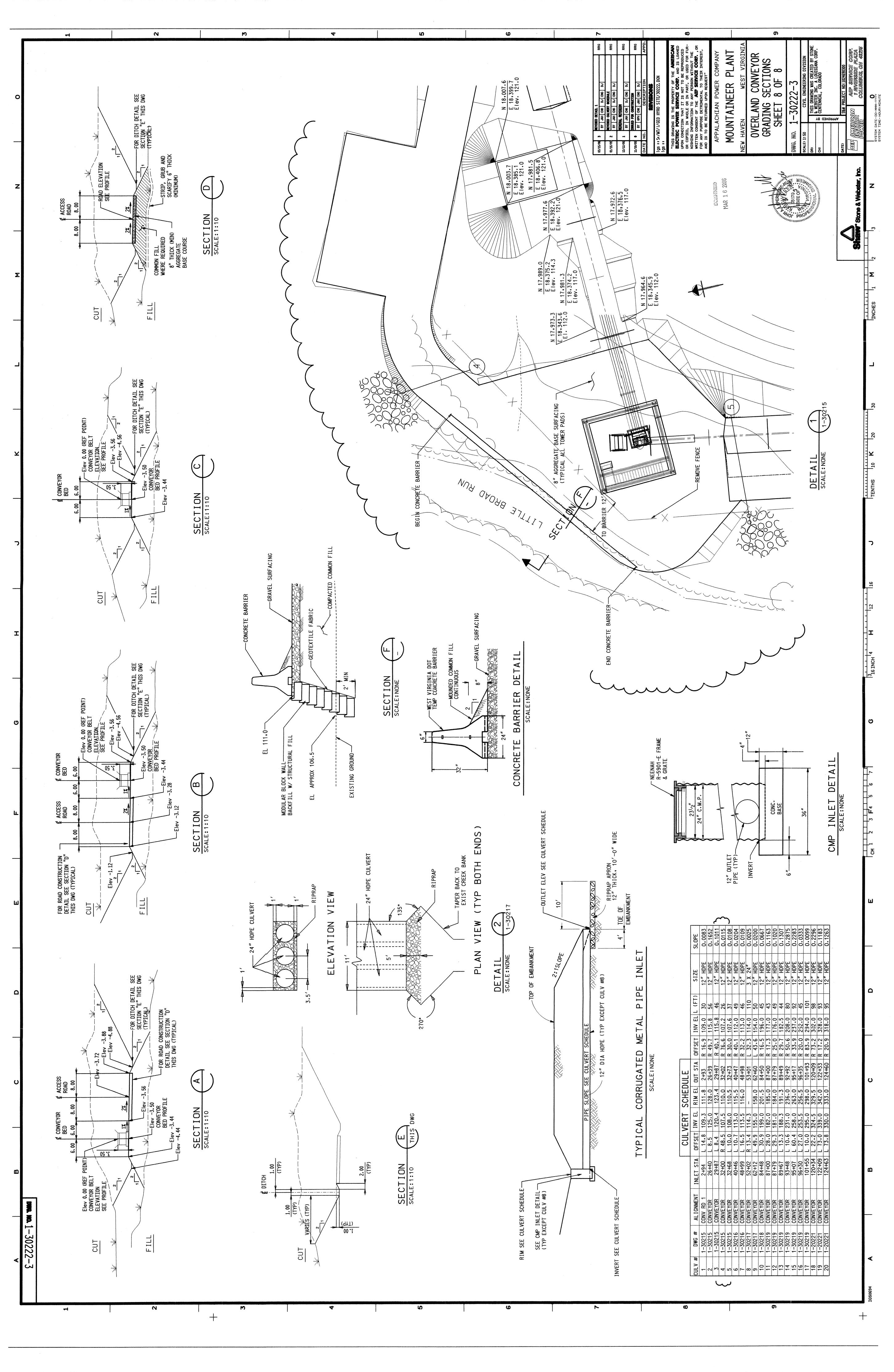
3.9.1 The Seller shall be responsible for performing various tests as described below. The Seller shall however, confirm or otherwise his agreement to these tests having regard to his guarantee of load bearing capacity, and provide a detailed method statement for performing, recording and reporting the required tests. Testing shall consist of proof compression testing.

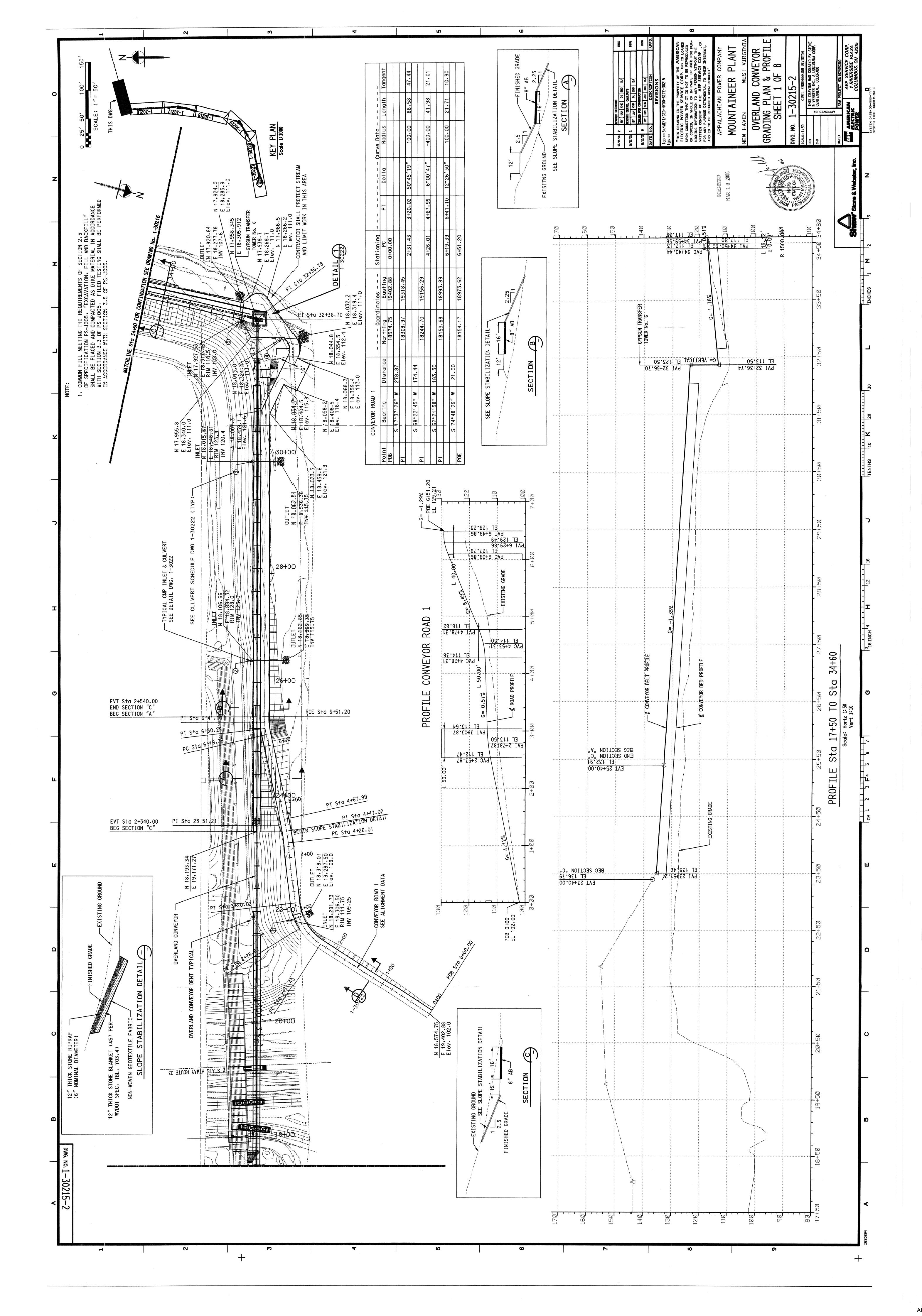
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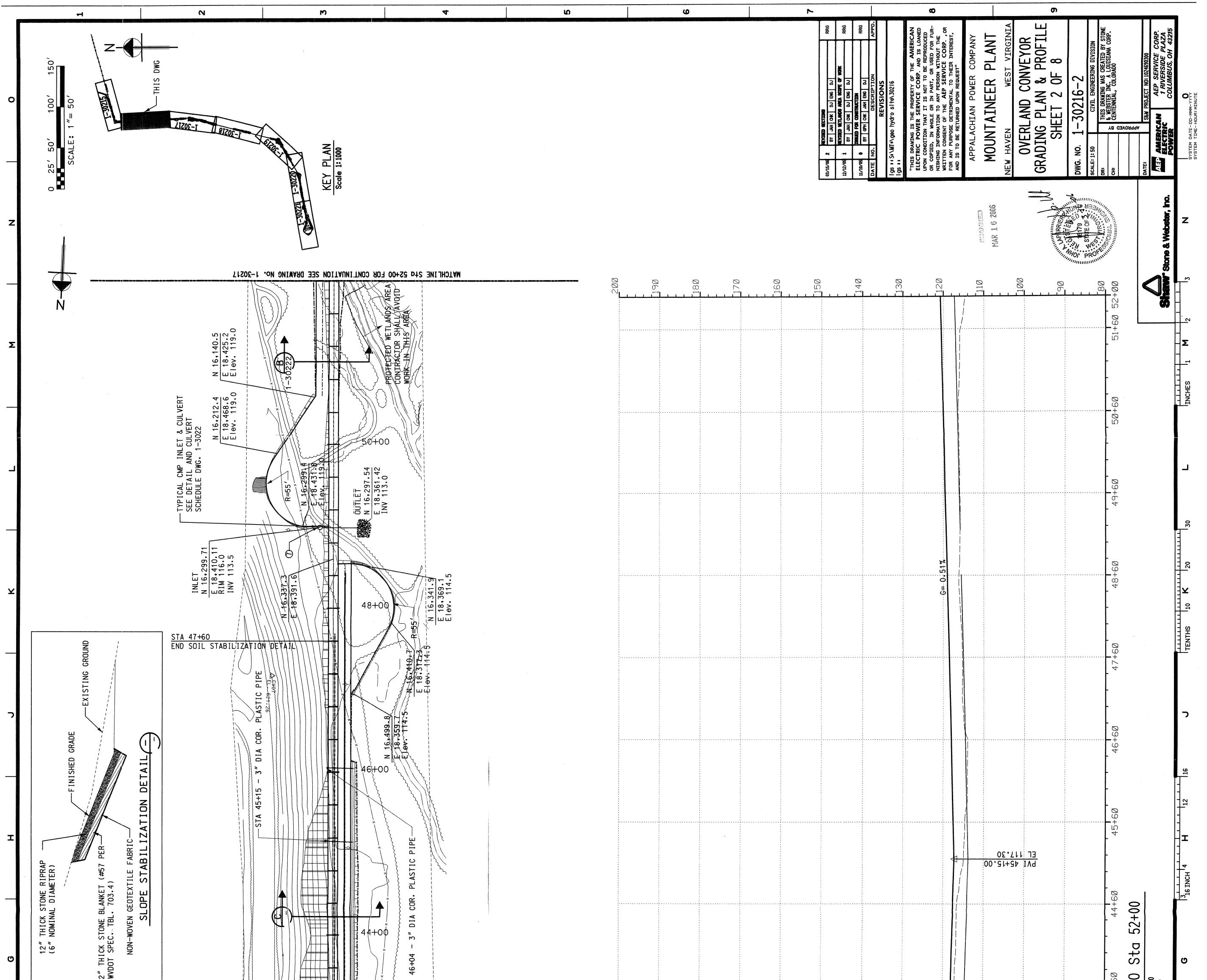
- 3.9.2 Proof compression load tests shall be performed on at least one working pile in the Chimney foundation, one working pile in an Absorber foundation, and at least two more piles at other FGD structure or tank foundations, as selected by the Engineer. The pile shall be tested to a maximum loading of 1.5 times design load. The pile shall have a settlement of 1/2 inch (12mm) or less at the design load. It should be noted that each test would require a significant time (say up to one week) for set up, test and removal. Residual settlement 12 hours after the final removal of the test load shall not exceed 1/8 inch.
- 3.9.3 Proof compression load tests shall be performed on suspect pile, as directed by the Engineer. The pile shall be tested to a maximum loading of 1.0 times design load. The pile shall have a settlement of 1/2 inch (12mm) or less at the design load. It should be noted that each test would require a significant time (say up to one week) for set up, test and removal.
- 3.9.4 If a working pile is indicated by proof testing or dynamic testing to have inadequate capacity, or to be damaged, the Seller shall install at his own costs, additional piles as directed by the Engineer. It is probable that more than one additional pile may be required as replacement for each damaged pile.
- 3.9.5 All pile tests shall be directed and continuously supervised by a qualified and experienced engineer provided by the Seller.

DRAWING S

Drawings



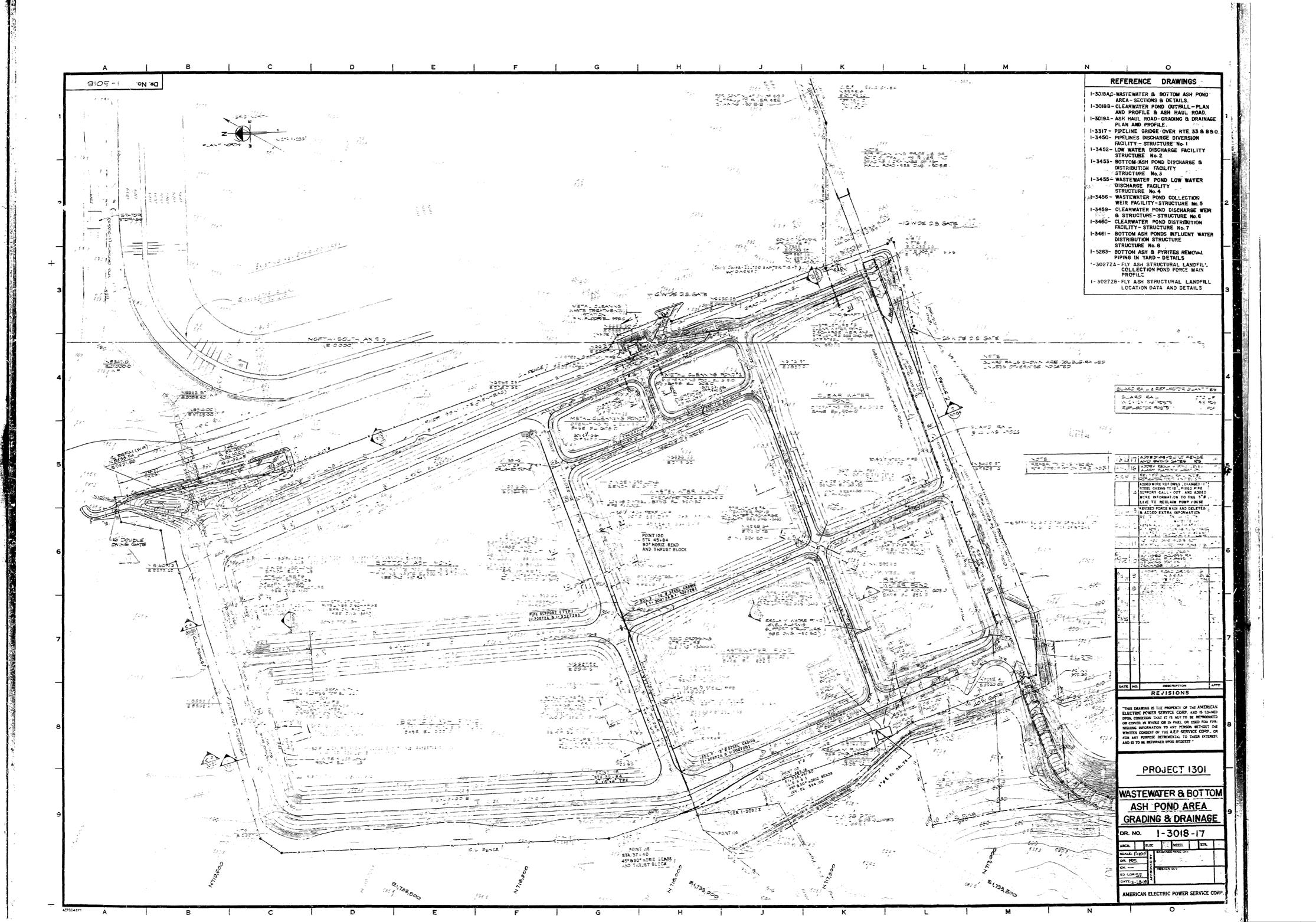


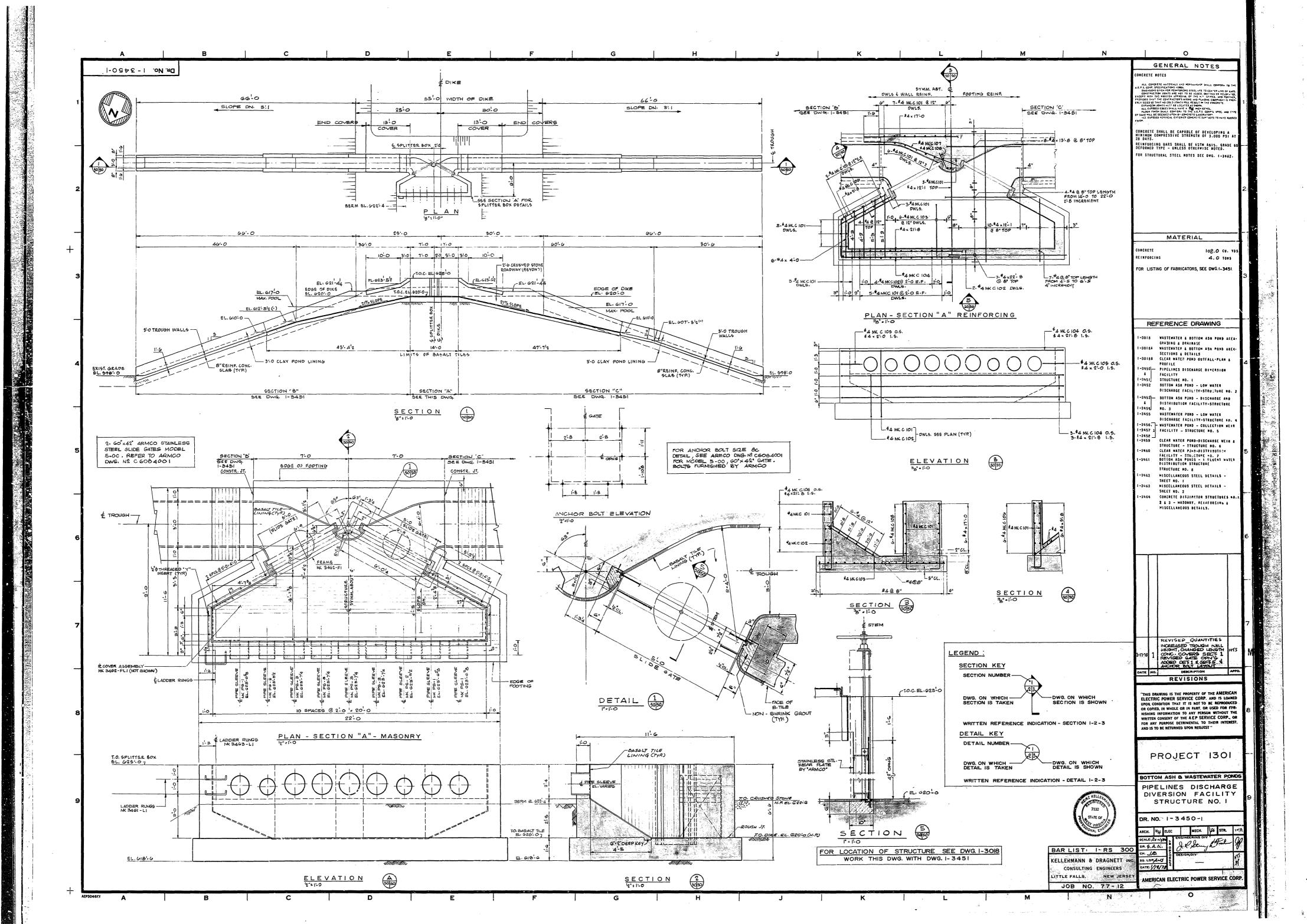


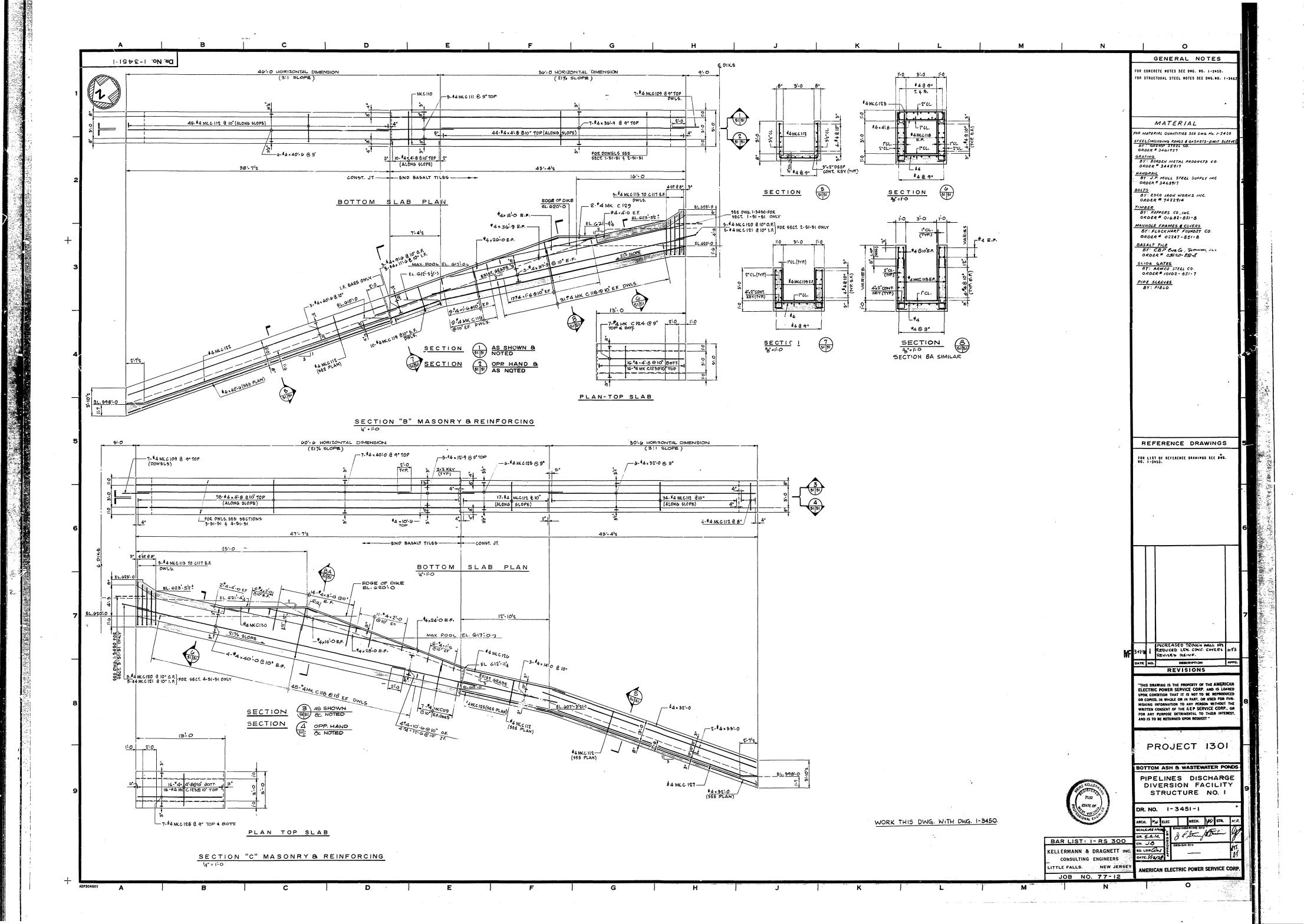
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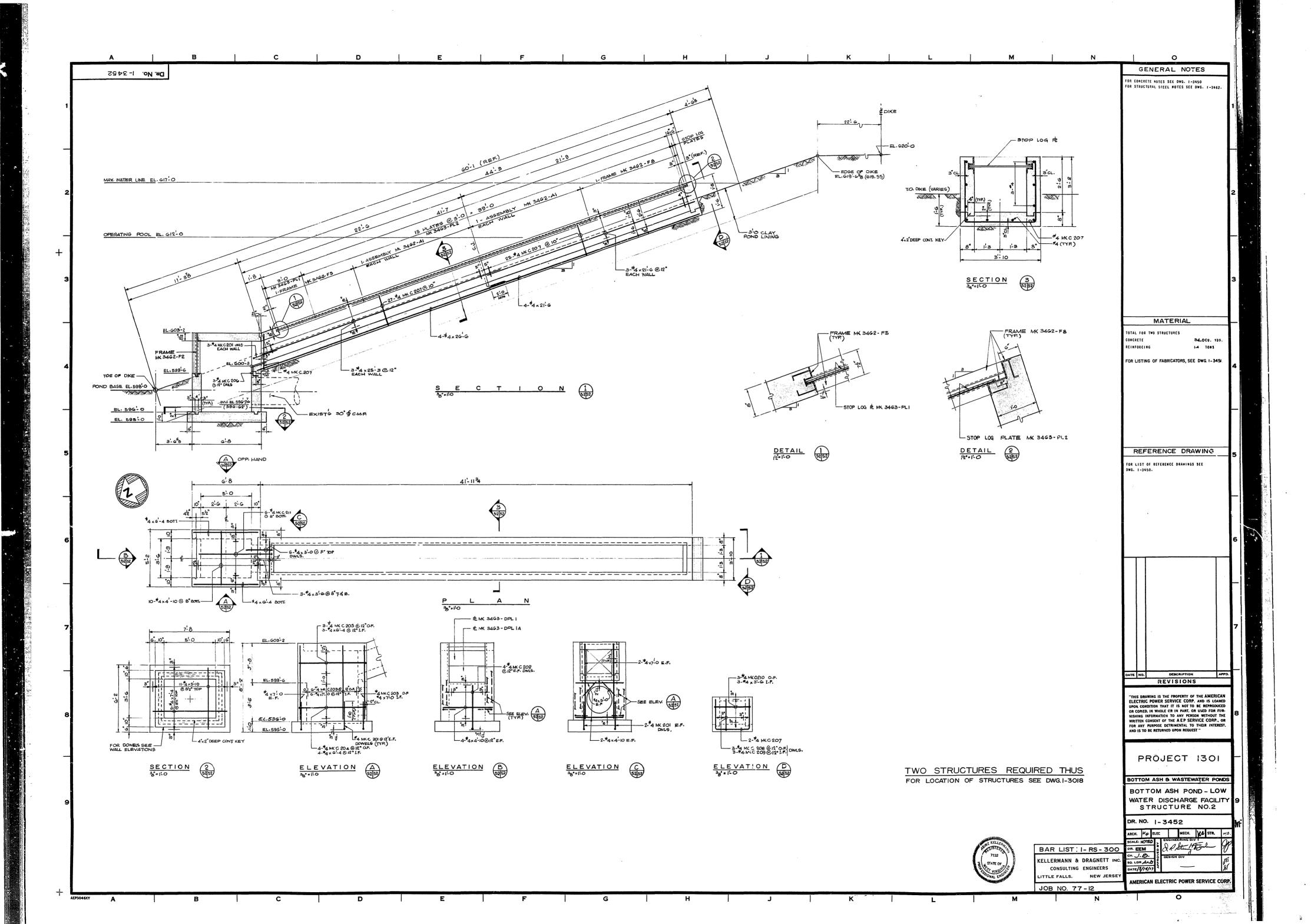
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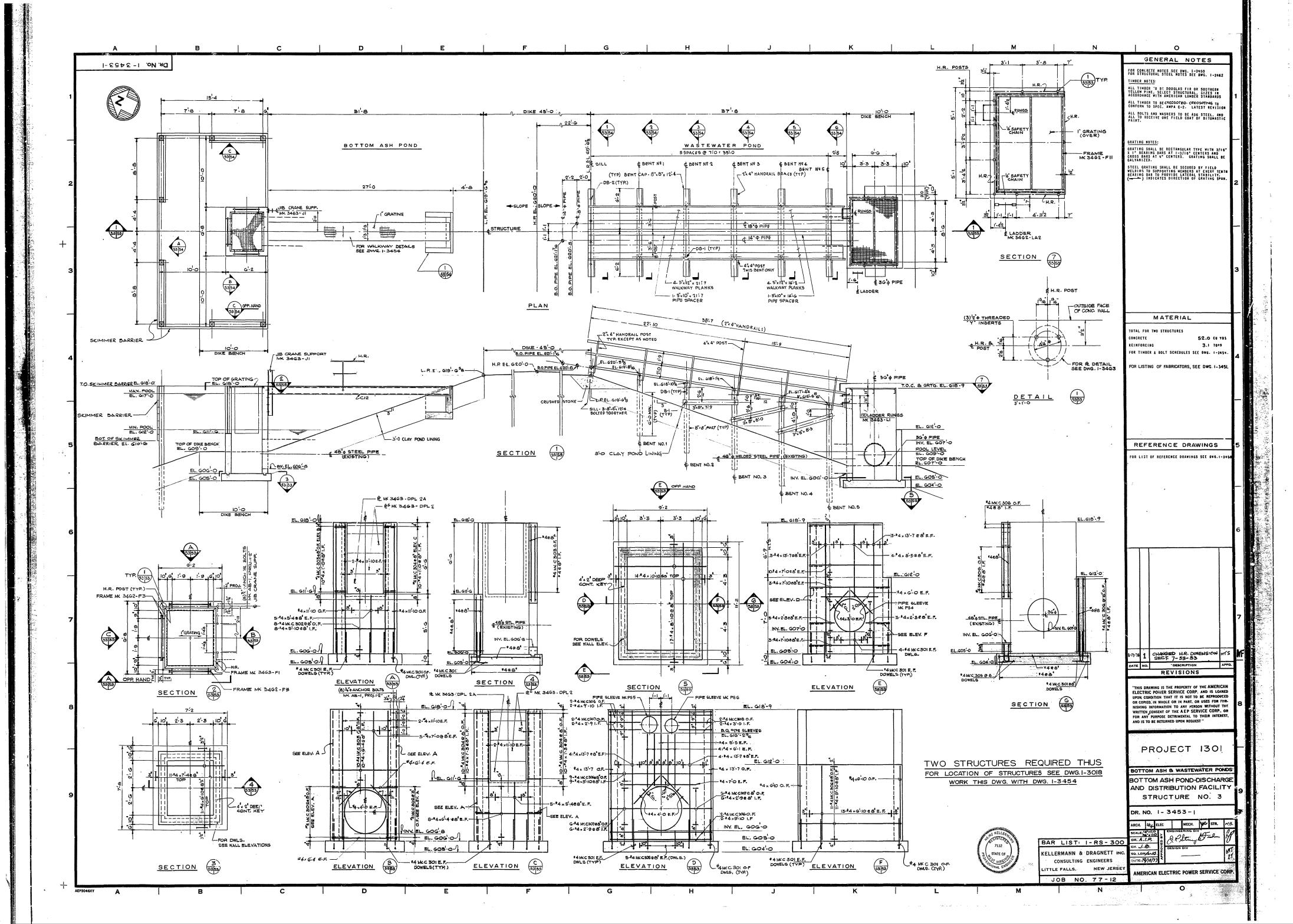
DESIGN DRAWINGS

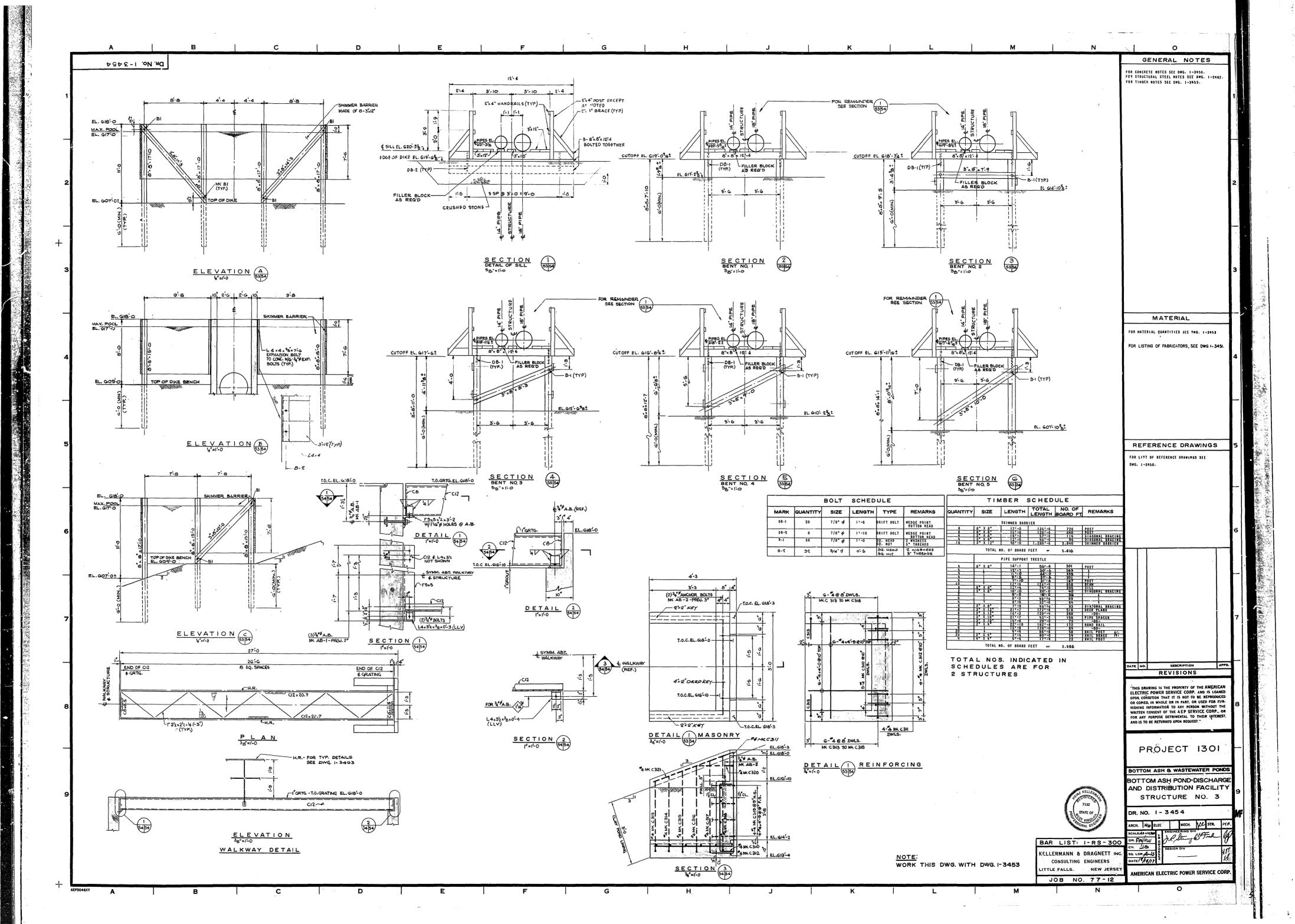


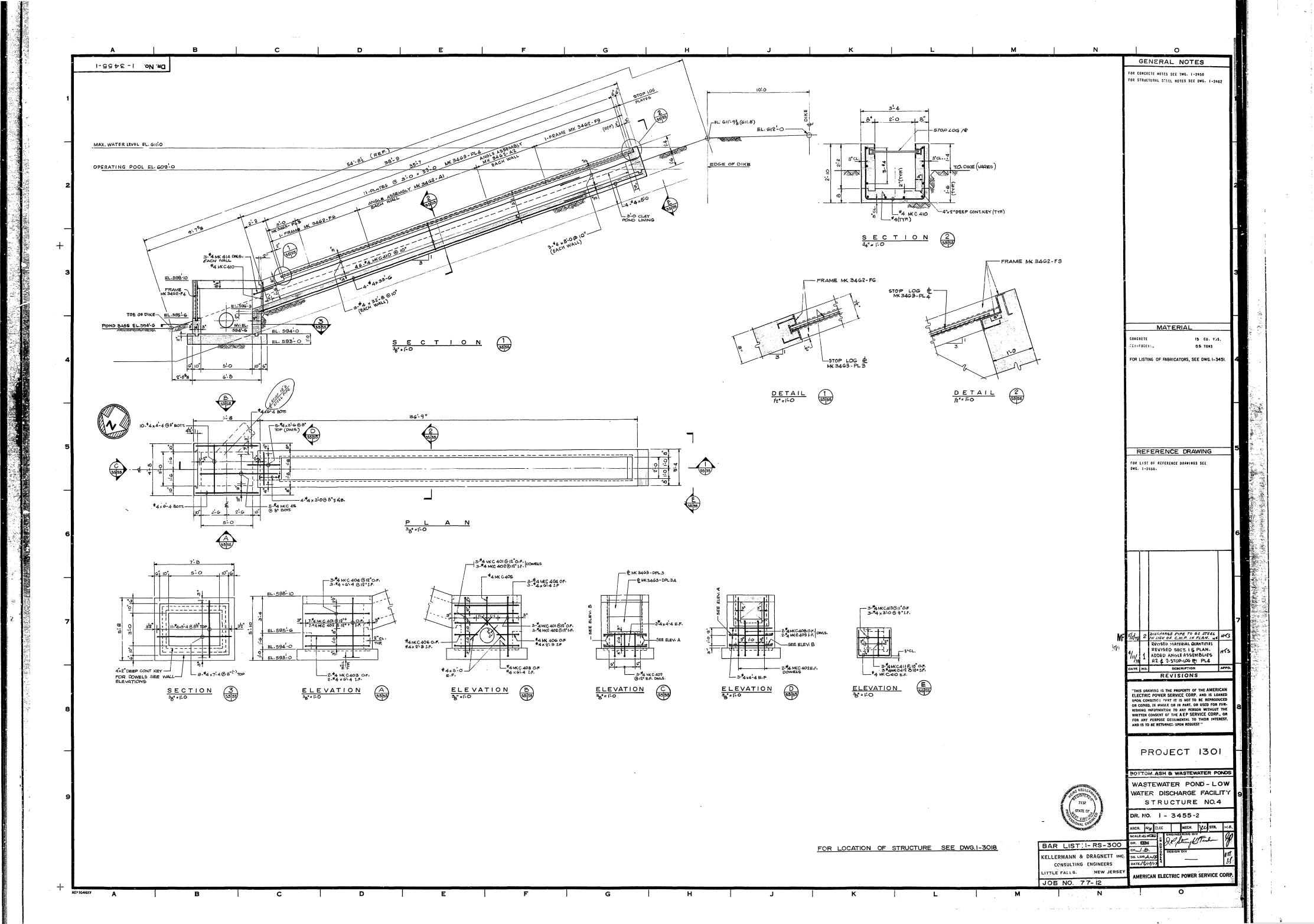


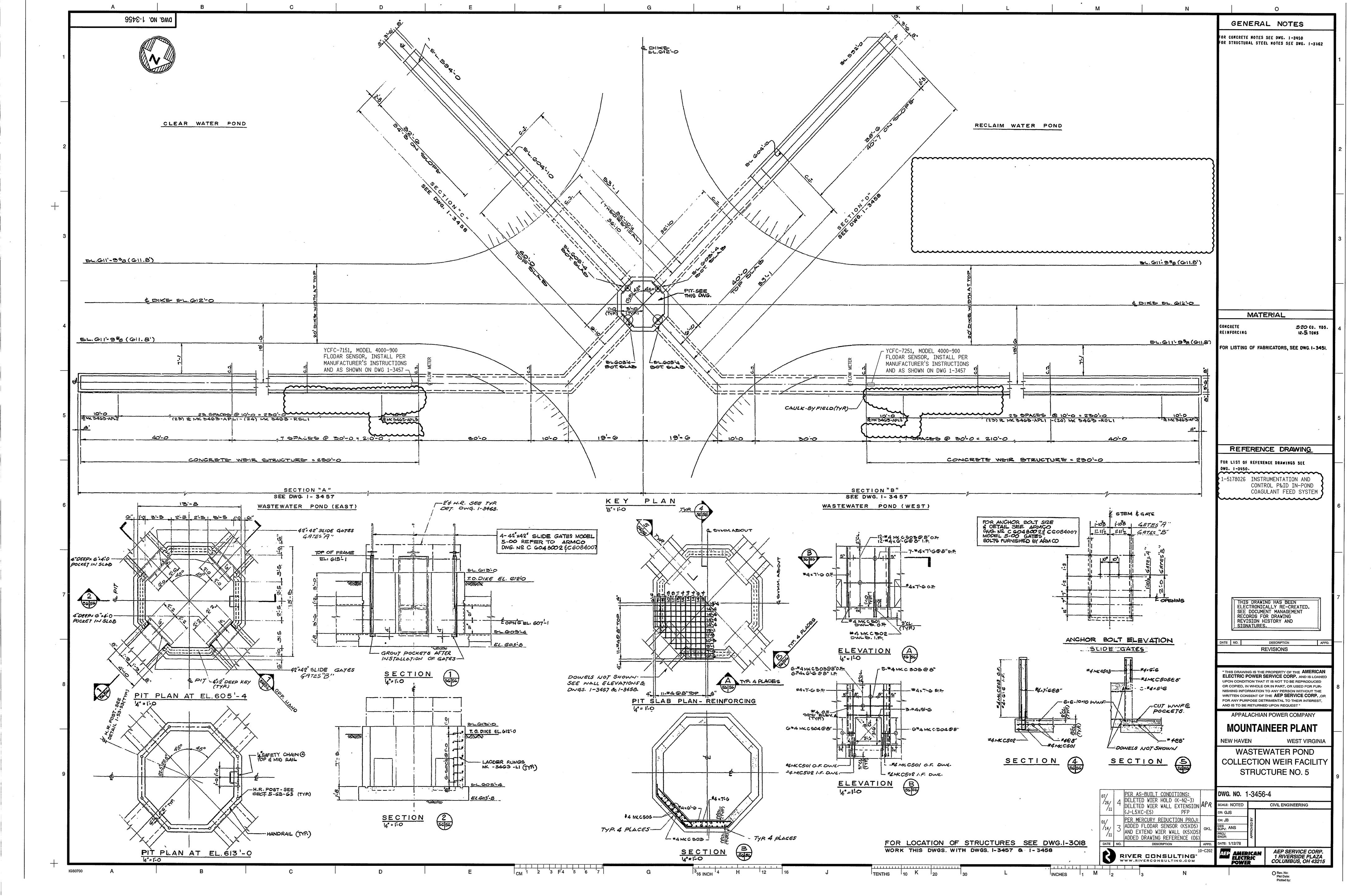


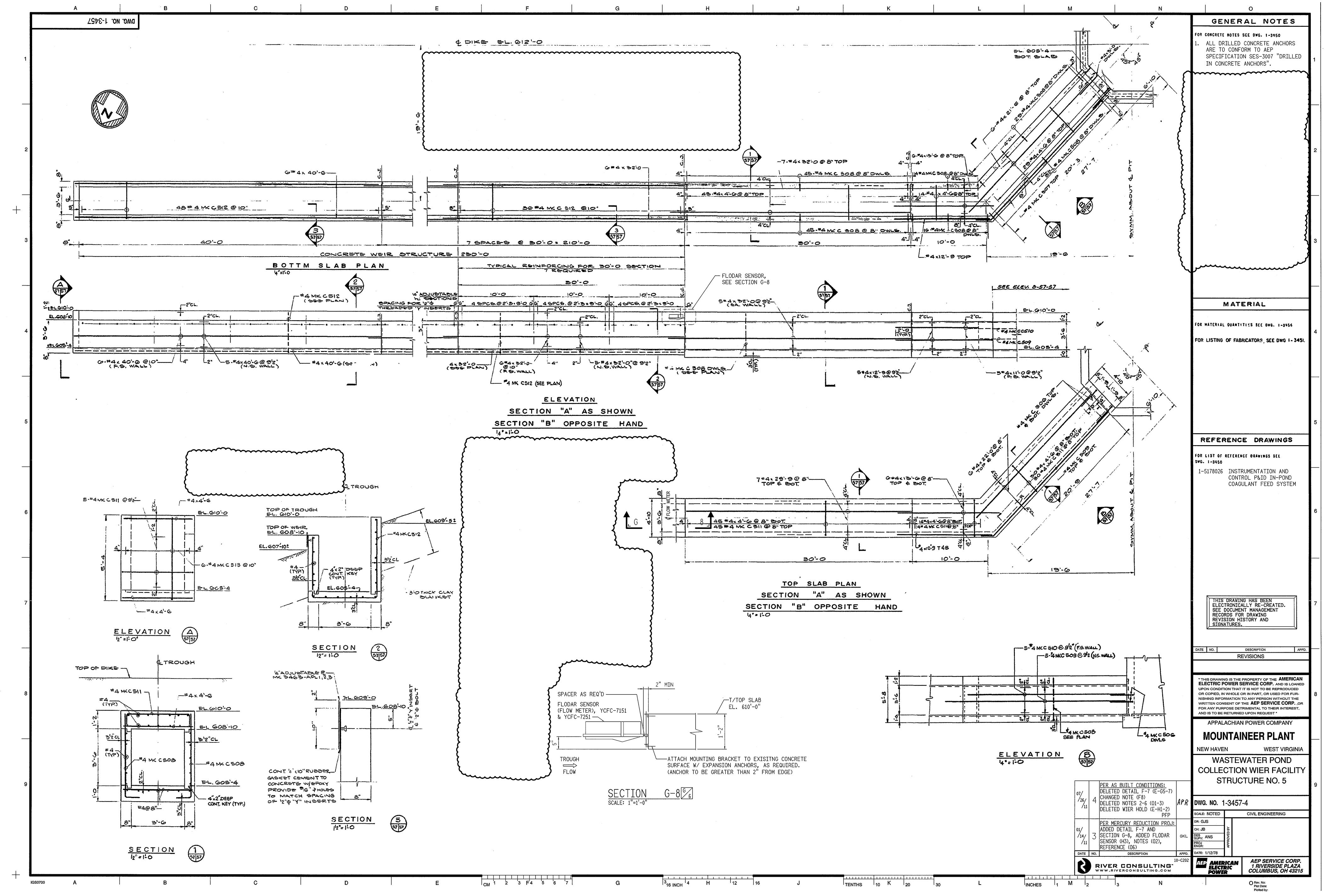


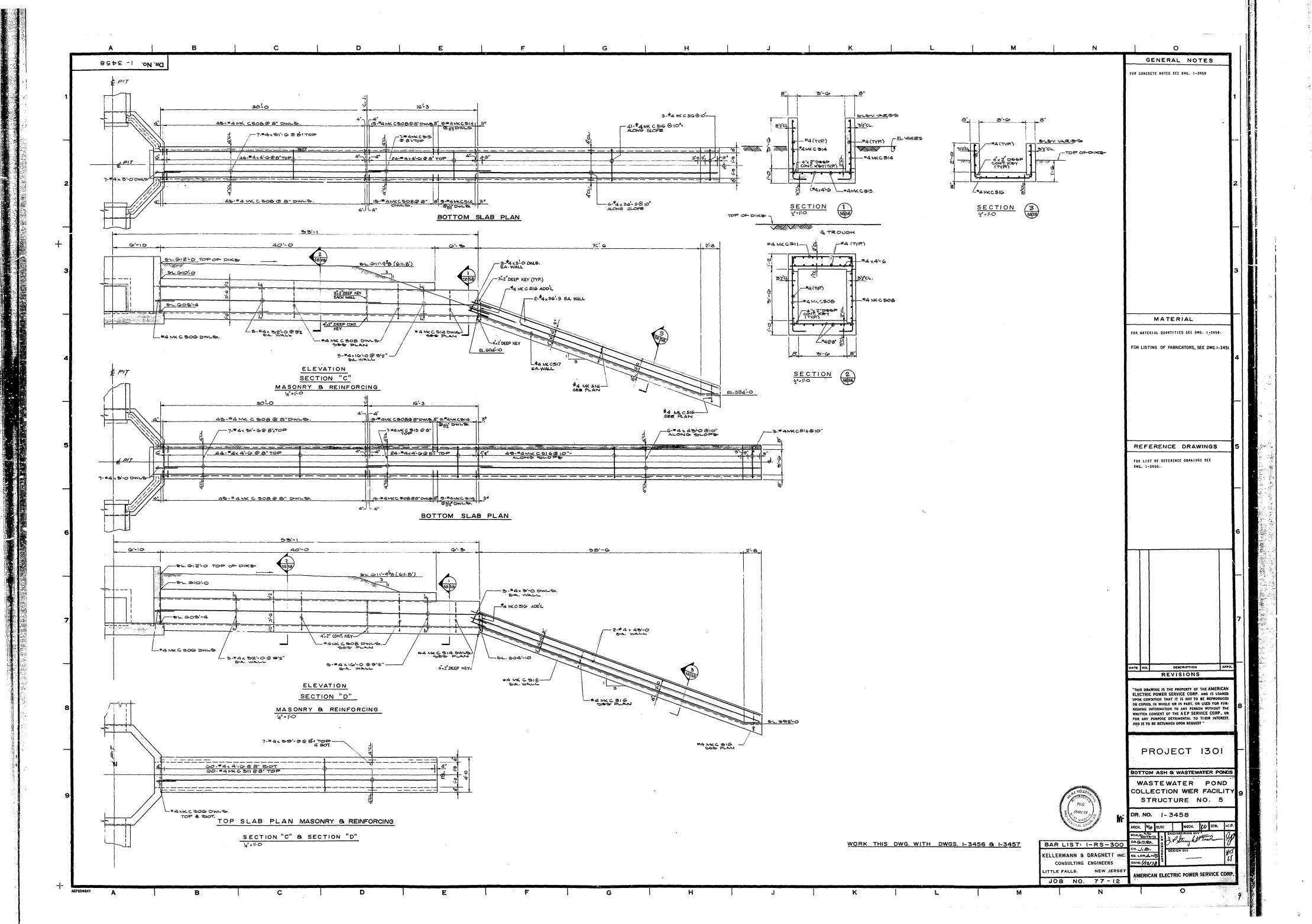


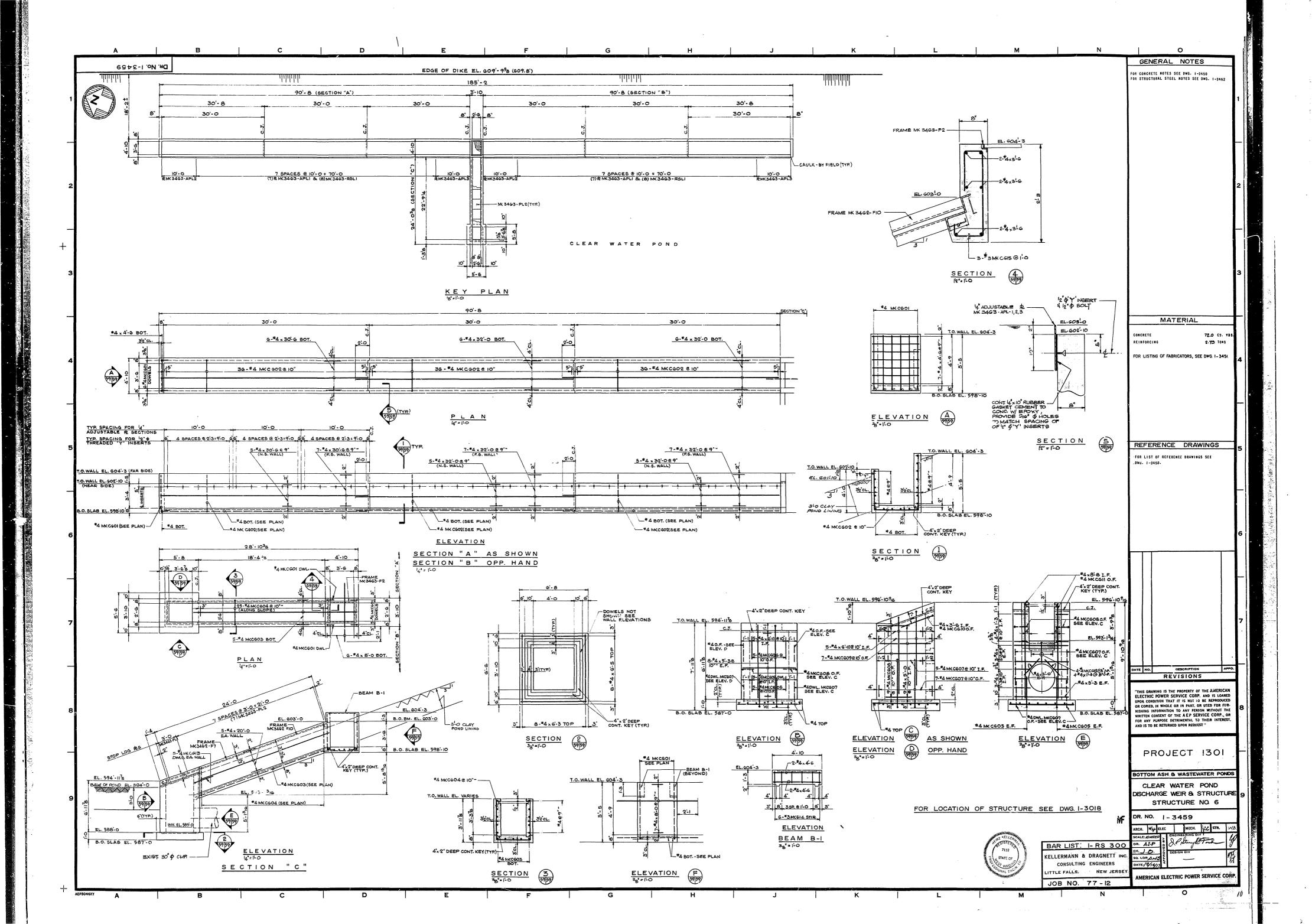


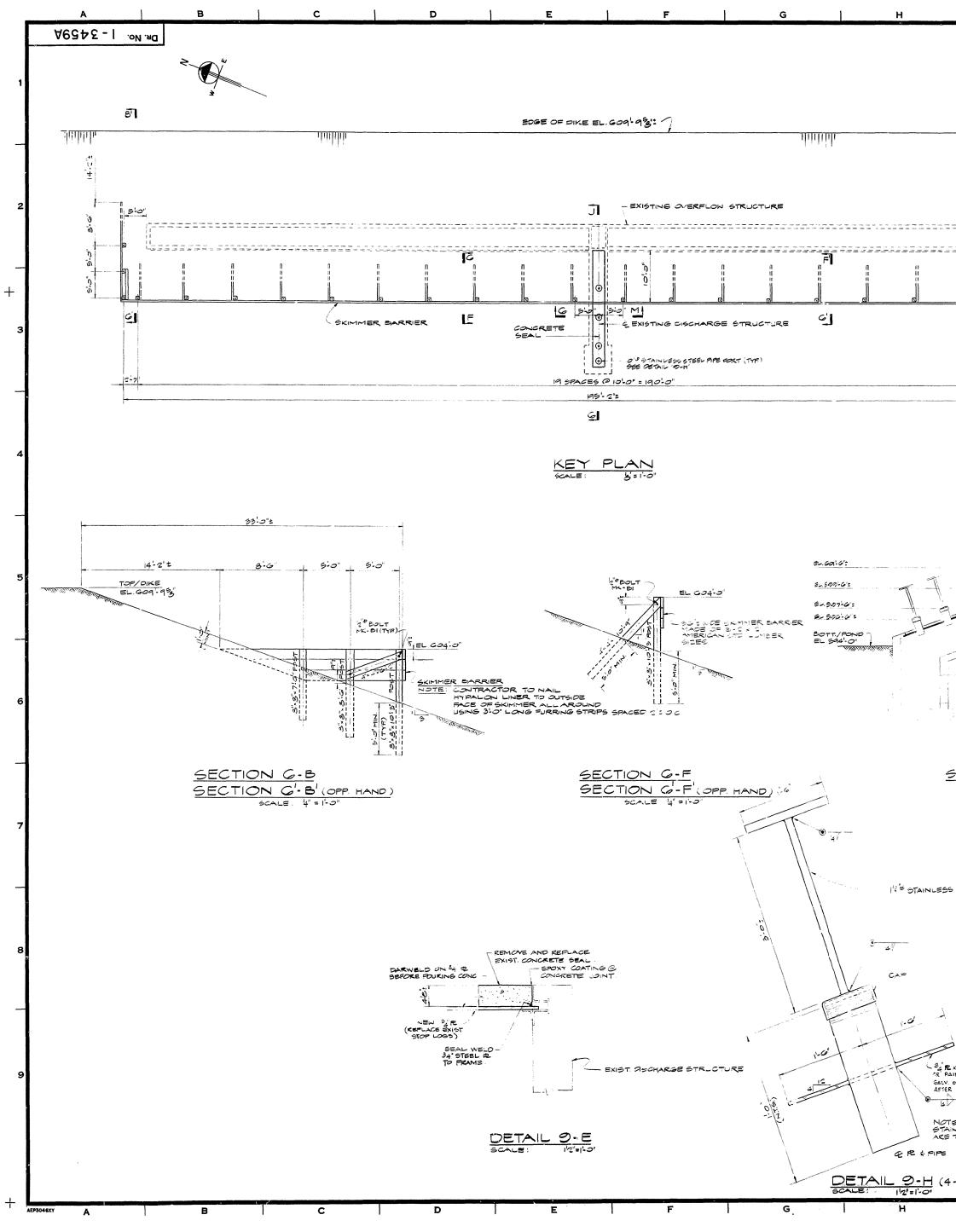






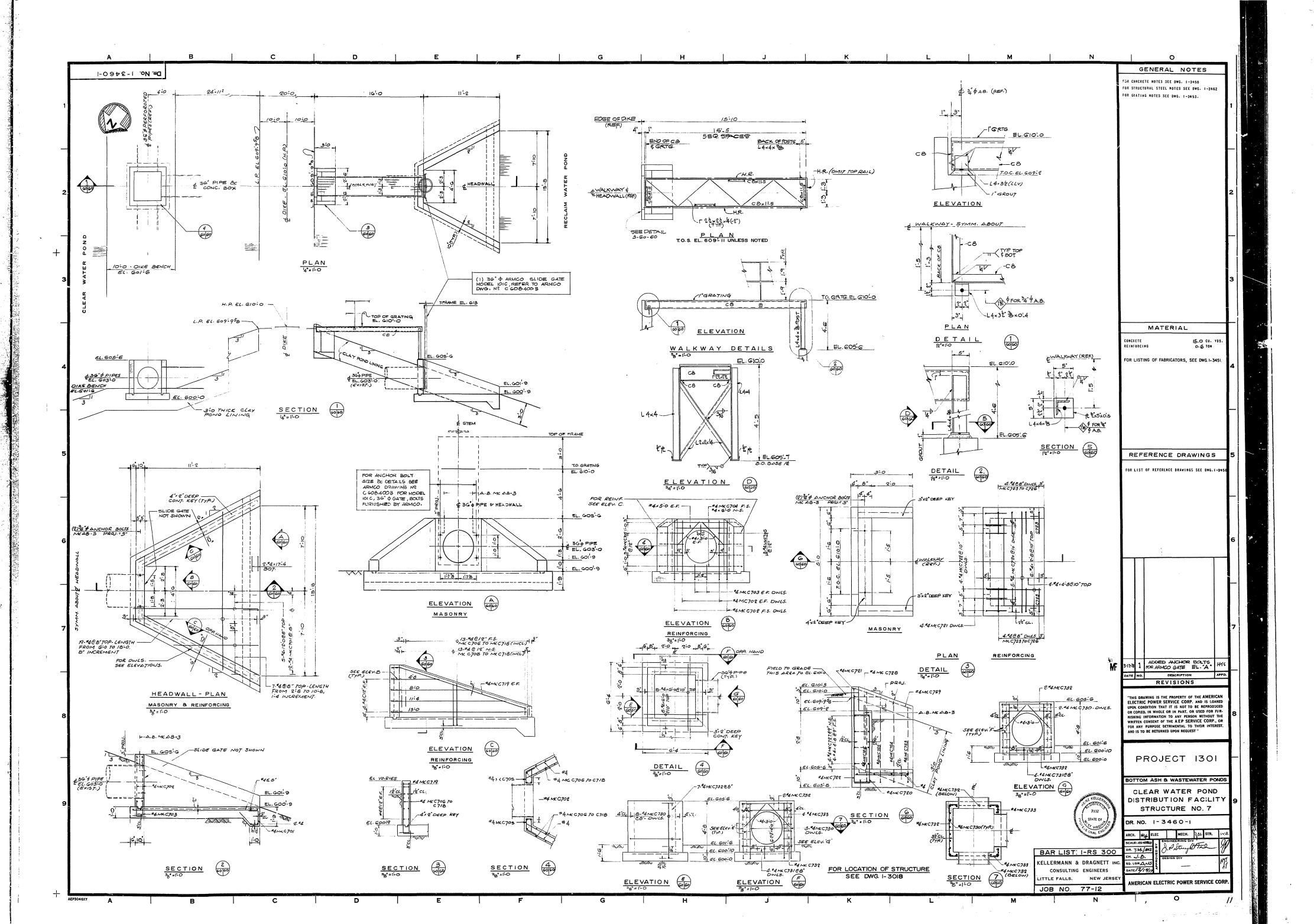


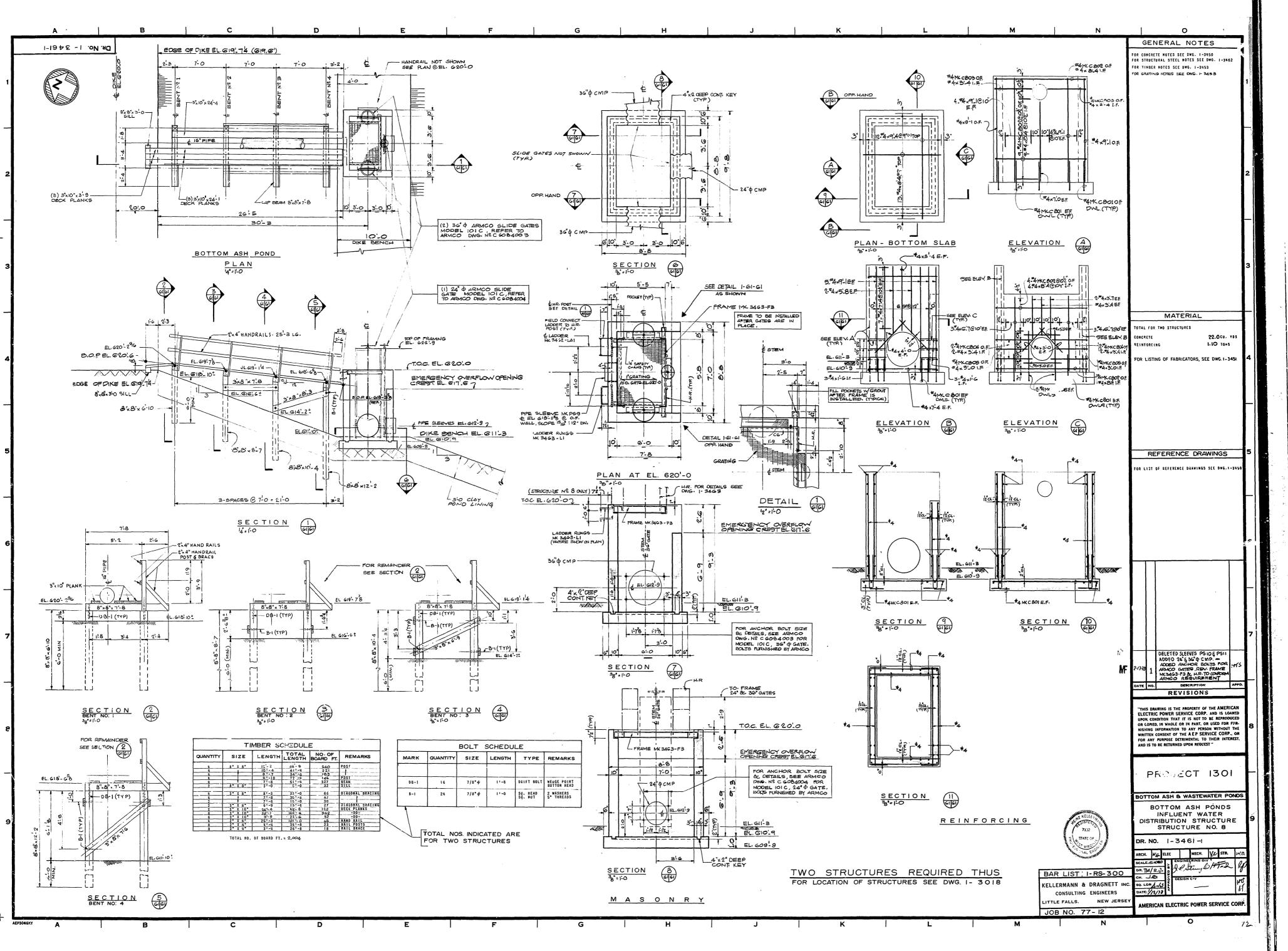


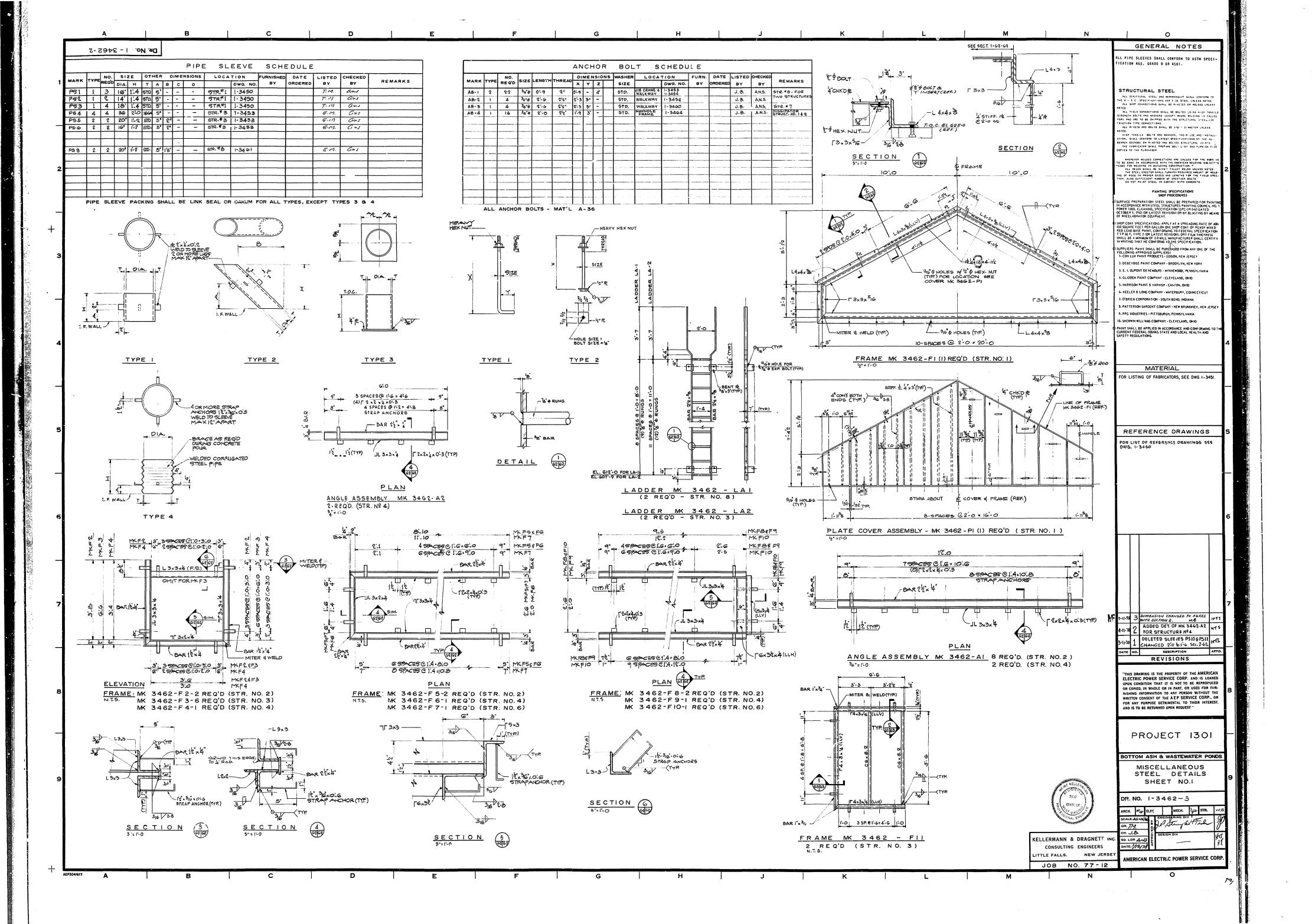


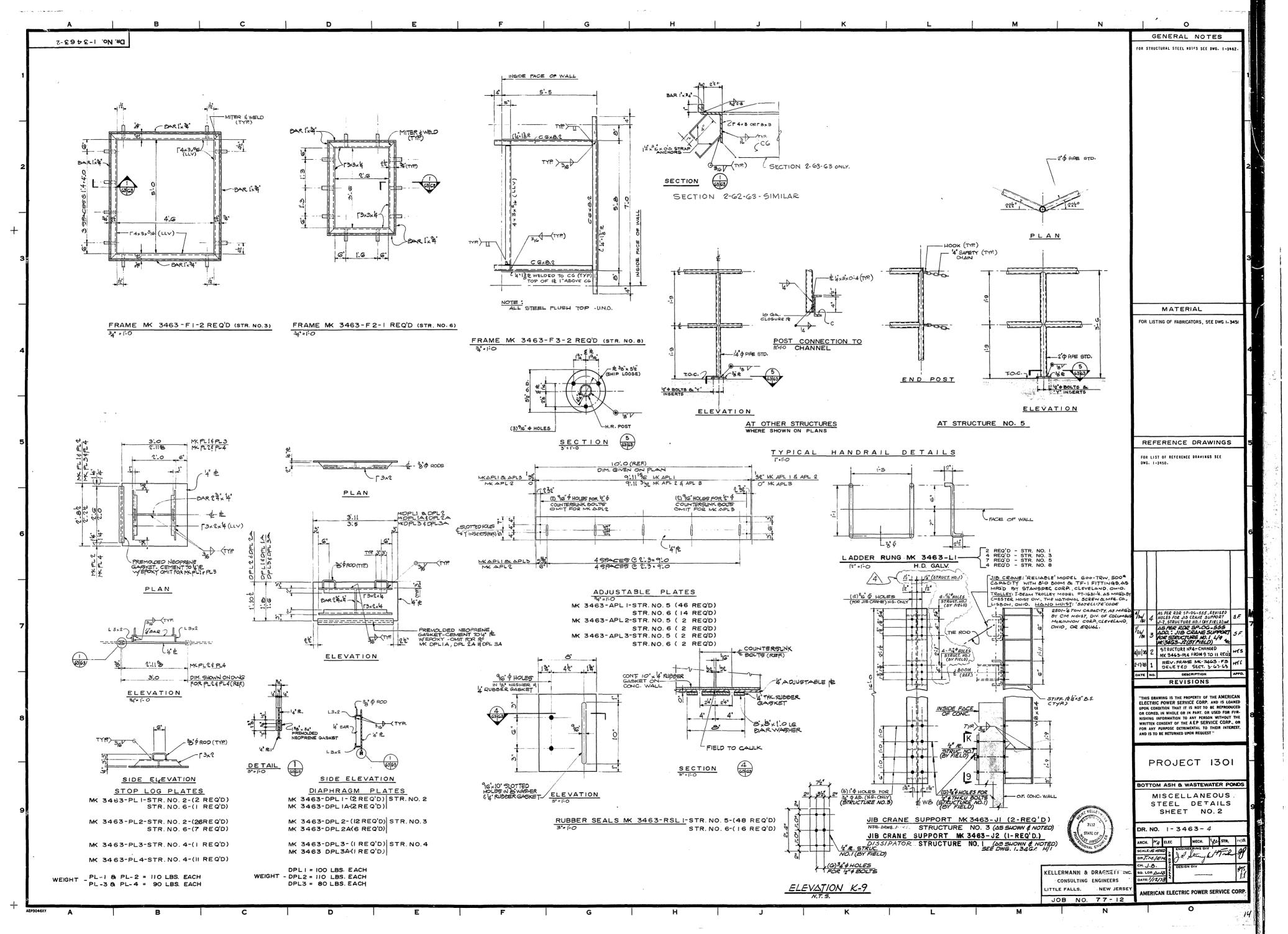
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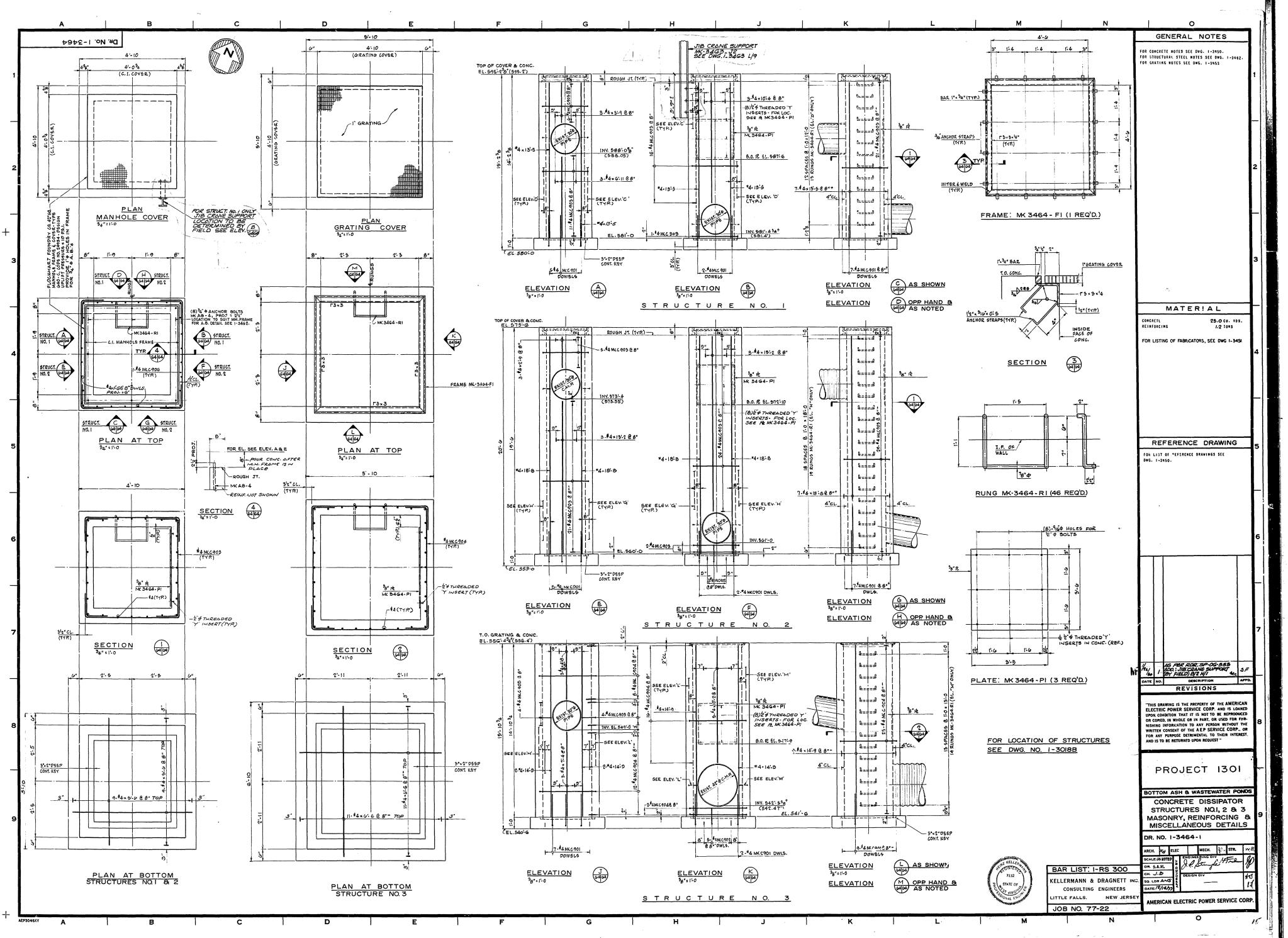






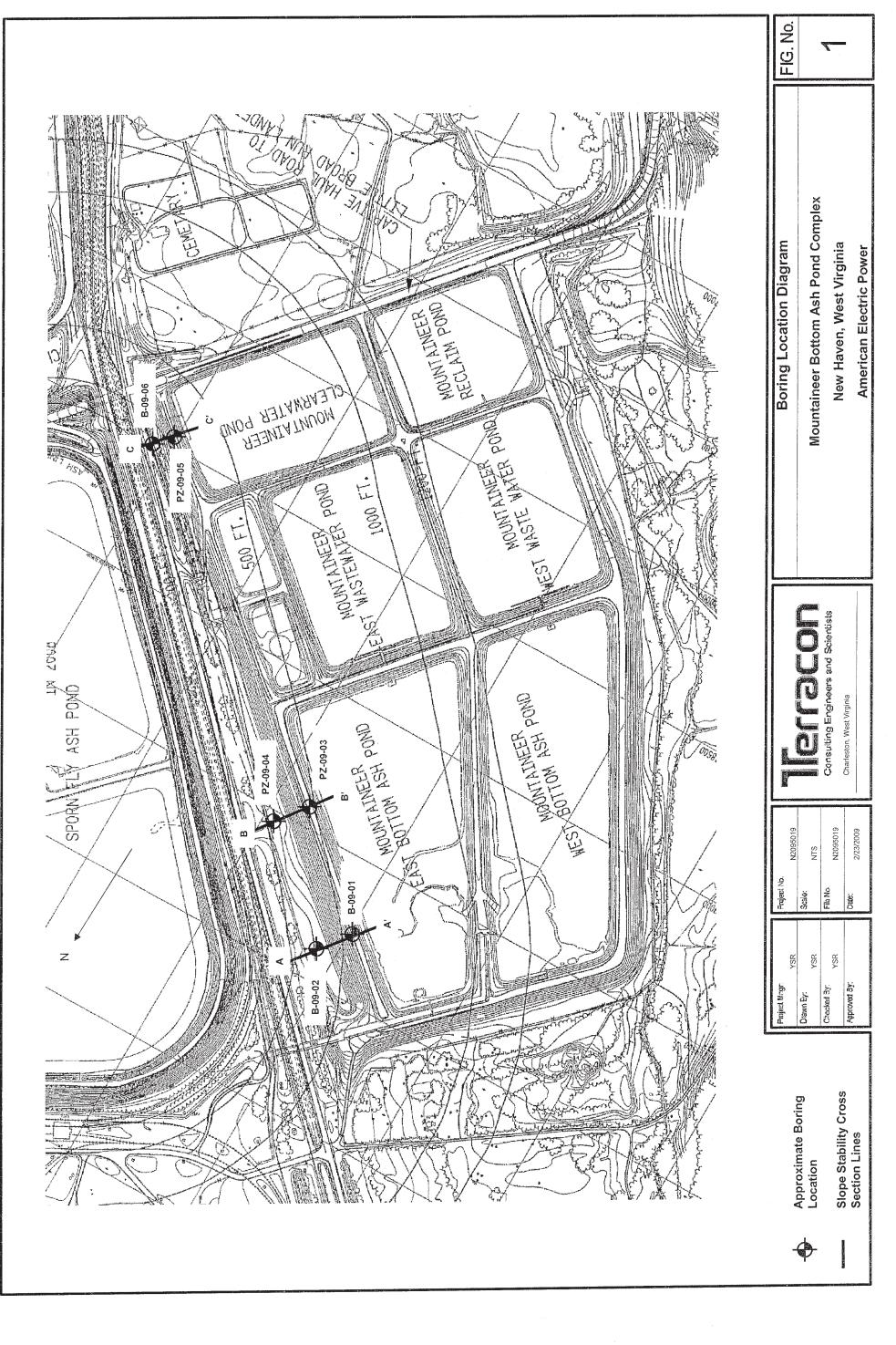


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ATTACHMENT D

INSTRUMENTATION LOCATION MAP



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ATTACHMENT E

HYDROLOGY AND HYDROLOGIC REPORT

Hydrologic and Hydraulic Analysis Report

Mountaineer Plant Bottom Ash Pond Complex New Haven, West Virginia

> September 2015 Terracon Project Number: N4155129

> > Prepared for: American Electric Power 1 Riverside Plaza Columbus, Ohio

Prepared by: Terracon Consultants, Inc. Columbus, Ohio





September 30, 2015

American Electric Power 1 Riverside Plaza Columbus, OH 43215

- Attn: Mr. Brett Dreger P: [614] 716 2258 E: badreger@aep.com
- Re: Hydrologic and Hydraulic Analysis, and Professional Engineer Certification Mountaineer Plant Bottom Ash Pond Complex, New Haven, West Virginia Terracon Project Number: N4155129

Dear Mr. Dreger:

Terracon Consultants, Inc. is submitting the enclosed report for the Hydrologic and Hydraulic analysis and P.E. Certification for AEP Mountaineer Plant Bottom Ash Pond Complex located near New Haven, West Virginia. The report analyzes the impoundment's existing design and outlet structures for conformance with the recently mandated USEPA rule 40 CFR Part 257, Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities (CCR rules) and provides a professional engineer certification.

If you have any questions regarding this submittal, please contact me at (614) 328-5184.

Sincerely, Terracon Consultants, Inc.

Baba Yahaya

Baba M. Yahaya, P.E. Project Engineer

Enclosure

Mohammad S. Finy, P.E Department Manager, Geo-Environmental Services



Terracon Consultants, Inc. 800 Morrison Road Columbus, OH 43230 P (614) 863 3113 F (614) 863 0475 terracon.com



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	INFLOW DESIGN FLOOD CONTROL SYSTEM

LIST OF EXHIBITS

- Exhibit 1 Facility Location Maps
- Exhibit 2 Facility Layout
- Exhibit 3 Facility Cross Section

LIST OF ATTACHMENTS

- Attachment 1 Pumped Influent and Water Balance Information
- Attachment 2 Precipitation Data
- Attachment 3 PondPack Model Output



1.0 INTRODUCTION

This report provides hydrologic and hydraulic analysis of the existing Bottom Ash Pond Complex (impoundment facility) of the Mountaineer Plant (plant) located near Mason County, West Virginia. The site location is shown on Exhibit 1. The plant uses the impoundment facility to temporarily store Coal Combustion Residuals (CCR). The impoundment facility consists of a series of ponds, and a metal cleaning tank secondary containment basin as shown on Exhibit 2. Six of the ponds including: East Bottom Ash Pond, West Bottom Ash Pond, East Wastewater Pond, West Wastewater Pond, Reclaim Water Pond, and Clearwater Pond are interconnected and receive mainly CCR and stormwater pumped from the plant to the system as its major external influent. The other source of influent is direct precipitation that falls within the perimeter of the impoundment facility during a storm event. The pond complex is isolated from any significant storm water inflow from adjacent catchment areas. The CCR is pumped into the system at the active Bottom Ash Pond and Wastewater Pond, and the effluent from the system eventually discharges through an outlet structure located in the Clearwater Pond.

The intent of this analysis is to determine whether or not the impoundment facility meets the April 17, 2015 USEPA mandated CCR rules requirements.

According to the CCR rules, CCR surface impoundments shall comply with the hydrologic and hydraulic capacity requirements specified under Section 257.82 of the rules and presented below:

Section 257.82

- (a) The owner or operator of an existing or new CCR surface impoundment or any lateral expansion of a CCR surface impoundment must design, construct, operate, and maintain an inflow design flood control system as specified in paragraphs (a)(1) and (a)(2) of this section.
 - (1) The inflow design flood control system must adequately manage flow into the CCR unit during and following the peak discharge of the inflow design flood specified in paragraph (a)(3) of this section.
 - (2) The inflow design flood control system must adequately manage flow from the CCR unit to collect and control the peak discharge resulting from the inflow design flood specified in paragraph (a)(3) of this section.
 - (3) The inflow design flood is:
 - For a high hazard potential CCR surface impoundment, as determined under section 257.73(a)(2) or section 257.74(a)(2), the probable maximum flood;
 - (ii) For a significant hazard potential CCR surface impoundment, as determined under section 257.73(a)(2) or section 257.74(a)(2), the 1,000-year flood;



- (iii) For a low hazard potential CCR surface impoundment, as determined under section 257.73(a)(2) or section 257.74(a)(2), the 100-year flood; or
- (iv) For an incised CCR surface impoundment, the 25-year flood.
- (b) Discharge from the CCR unit must be handled in accordance with the surface water requirements under section 257.3-3.
- (c) Inflow design flood control system plan.
 - (1) Content of the plan. The owner or operator must prepare initial and periodic inflow design flood control system plans for the CCR unit according to the timeframes specified in paragraphs (c)(3) and (c)(4) of this section. These plans must document how the inflow design flood control system has been designed and constructed to meet the requirements of this section. Each plan must be supported by appropriate engineering calculations. The owner or operator of the CCR unit has completed the inflow design flood control system plan when the plan has been placed in the facility's operating record as required by section 257.105(g)(4).
 - (2) Amendment of the plan. The owner or operator of the CCR unit may amend the written inflow design flood control system plan at any time provided the revised plan is placed in the facility's operating record as required by § 257.105(g)(4). The owner or operator must amend the written inflow design flood control system plan whenever there is a change in conditions that would substantially affect the written plan in effect.
 - (3) Timeframes for preparing the initial plan.
 - (i) Existing CCR surface impoundments. The owner or operator of the CCR unit must prepare the initial inflow design flood control system plan no later than October 17, 2016.
 - (ii) New CCR surface impoundments and any lateral expansion of a CCR surface impoundment. The owner or operator must prepare the initial inflow design flood control system plan no later than the date of initial receipt of CCR in the CCR unit.
 - (4) Frequency for revising the plan. The owner or operator must prepare periodic inflow design flood control system plans required by paragraph (c)(1) of this section every five years. The date of completing the initial plan is the basis for establishing the deadline to complete the first periodic plan. The owner or operator may complete any required plan prior to the required deadline provided the owner or operator places the completed plan into the facility's operating record within a reasonable amount of time. In all cases, the deadline for completing a subsequent plan is based on the date of completing the previous plan. For purposes of this paragraph, the owner or operator has completed an inflow design flood control system plan when the plan has been placed in the facility's operating record as required by section 257.105(g)(4).



- (5) The owner or operator must obtain a certification from a qualified professional engineer stating that the initial and periodic inflow design flood control system plans meet the requirements of this section.
- (d) The owner or operator of the CCR unit must comply with the recordkeeping requirements specified in section 257.105(g), the notification requirements specified in section 257.106(g), and the internet requirements specified in section 257.107(g).

2.0 INFLOW DESIGN FLOOD CONTROL SYSTEM

As mentioned in section 1.0, inflow into the impoundment facility include CCR and stormwater from various sources pumped into the facility; and direct precipitation that falls within the perimeter of the facility. Water from the Bottom Ash Ponds flow to the Wastewater Pond, which flow into the valve structure and can be discharged into the Reclaim Water Pond or the Clearwater Pond. Discharge water from the Reclaim Water Pond is either pumped back to the plant for recirculation, or flows to the Clearwater Pond and then to the Ohio River via an outfall structure. The CCR and stormwater are pumped into the facility through a series of pipes designed to handle the various required capacities. The pipes discharge into the facility through concrete vaults to handle the inflows. The Water from the Bottom Ash Ponds flows into the Wastewater Ponds through a reinforced concrete vertical drop inlet connected to a 48 inch diameter steel pipe located in the southern dikes of the Bottom Ash Ponds. The Wastewater Ponds drain either to the Reclaim Water Pond or the Clearwater Pond through a gated distribution structure. A 36 inch diameter steel pipe connects the Reclaim Pond to the Clearwater Pond. Effluent from the impoundment facility is discharged through an outlet structure located in the Clearwater Pond. The outlet structure consists of a concrete overflow channel leading to a vault/riser with a 30-inch diameter metal outflow pipe. The outflow pipe leads to a dissipation structure and another 30 inch steel pipe from the dissipation structure to an outfall at the Ohio River.

Water balance information provided by AEP indicates that influent is pumped into the facility at the rate of approximately 15 million gallons per day (MGD) (24 cfs) to the Bottom Ash Pond, 4 MGD (6 cfs) to the Wastewater pond; and 1 MGD (2cfs) to the Clearwater Pond. Information on the influent is presented in Attachment 1. The additional inflow due to direct precipitation is dependent on the hazard potential classification of the facility. For the purpose of this analysis, the facility is classified as a "significant hazard potential" facility. The hazard potential classification approach is presented in Section 2.2 of this report. The additional inflow under this significant hazard potential classification is estimated as the peak discharge during and following the 1000-year flood. The peak discharge from the 1000-year inflow design flood is estimated using Bentley's PondPack software (see Section 2.3 of this report).

2.1 Hazard Potential Classification

Hazard potential classification means the possible adverse incremental consequences that result from the release of water or stored contents due to failure of the diked CCR surface



impoundment or mis-operation of the diked CCR surface impoundment or its appurtenances. The hazardous potential classifications for CCR surface impoundment include high hazard potential, significant hazard potential, and low hazard potential.

- A High hazard potential CCR surface impoundment means a diked surface impoundment where failure or mis-operation will probably cause loss of human life.
- A significant hazard potential CCR surface impoundment means a diked surface impoundment where failure or mis-operation results in no probable loss of human life, but can cause economic loss, environmental damage, disruption of lifeline facilities, or impact other concerns.
- A Low hazard potential CCR surface impoundment means a diked surface impoundment where failure or mis-operation results in no probable loss of human life and low economic and/or environmental losses. Losses are principally limited to the surface impoundment owner's property.

The Mountaineer Plant Bottom Ash Pond Complex is bounded to the north by the Mountaineer Plant, to the south by a power substation to the west by a material processing unit and to the east by Highway 62. A breach of the dikes and loss of the impoundment may result in a release of bottom ash and wastewater into Little Broad Run and the Ohio River, which would be a moderate environmental and economic concern. Minor flooding would be expected within plant property, along the haul road and State Route 62, and onto the property of the adjacent decommissioned Sporn Plant. The facility's location, configuration, and operation are such that failure or mis-operation may result in no probable loss of human life, but can cause economic loss, environmental damage, and disruption to lifeline facilities. As a result of this assessment, the facility is classified as a significant hazard potential impoundment.

Pursuant to Section 257.73(a)(2) of the CCR rules, the hazard potential classification assessments of this facility will be performed every five years.

2.2 Computation Methods

The impoundment facility was modeled and analyzed for its adequacy to collect and control the peak discharge resulting from 1000-year design storm using Bentley's PondPack software (PondPack).

PondPack is a versatile software program to model site drainage studies. The program can be used to model rainfall and runoff from watersheds to detention and retention facilities, outlet structures, and channels.

Hydrologic and Hydraulic Analysis and P.E. Certification Mountaineer Plant Impoundment New Haven, West Virginia September 30, 2015 Terracon Project No. N4155129



Development of the PondPack model requires catchment area, runoff curve number and time of concentration and input defining the facility's structural components, including pond, inlet, and outlet structures. Operationally, the east and west Bottom Ash/Wastewater Ponds operate in alternate sequences where the active set receives influent and the inactive set is cleaned out. For this analysis, a scenario in which water flow from the East Bottom Ash Pond to the East Wastewater Pond and to the Clearwater Pond is considered and modeled (See Exhibit 3). Each ponds surface area defines it catchment area. A precipitation depth of 7 inches corresponding to the 1000 year storm (see Attachment 1) was used. A curve number of 100 was used since the rainfall will be direct runoff. A minimum time of concentration of 5 minutes was used. The Water from the Bottom Ash Ponds flows into the Wastewater Ponds through a reinforced concrete vertical drop inlet connected to a 48 inch diameter steel pipe located in the southern dikes of the Bottom Ash Ponds. The Wastewater Ponds drain either to the Reclaim Water Pond or the Clearwater Pond through a gated distribution structure. A 36 inch diameter steel pipe connects the Reclaim Pond to the Clearwater Pond. A 30 inch diameter steel pipe leads from the Clearwater Pond to a dissipation structure, and another 30 inch steel pipe from the dissipation structure to an outfall at the Ohio River.

2.3 Results

The PondPack analysis, the maximum water surface elevation and freeboard resulting from the 1000-year flood are summarized in the table below:

	Maximum Water	Freeboard
Pond	Elevation (ft)	(ft)
East Bottom Ash Pond	613.3	6.7
East Wastewater Pond	609.3	2.7
Clearwater Pond	603.6	6.4

It can be concluded from the above results that the Bottom Ash Pond Complex has adequate hydrologic and hydraulic capacity to collect and control the peak discharge resulting from the 1000-year inflow design flood and therefore meets the April 17, 2015 USEPA mandated CCR rules requirements.

3.0 DISCHARGE FROM THE IMPOUNDMENT FACILITY

The discharge from the impoundment facility to the Ohio River is handled in accordance with the Plant's NPDES Permit. This conforms to the requirements Section 257.82 (b) of the CCR rules.

4.0 INFLOW DESIGN FLOOD CONTROL SYSTEM PLAN

The inflow design flood control system plan will be prepared pursuant to Section 257.82 (c) of the CCR rules. The plan will document how the inflow design flood control system has been



designed and constructed to meet the rules requirements.

5.0 RECORDKEEPING, NOTIFICATION, AND INTERNET REQUIREMENTS

Pursuant to Sections 257.105(g), 257.106(g) and 257.107(g), the initial and periodic inflow design flood control system plan as required by Section 257.82(c) will be placed in the facility's operating records, as well as published on the facility's CCR rule compliance data information website. AEP will notify the Director of West Virginia Department of Environmental Protection when the information is placed in the operating record and on the website.

6.0 **REFERENCES**

- AEP Project Number 1301 Drawing Numbers 1-3018A-7, 1-3018B-8, and 1-3018C, containing cross section and details for the Mountaineer Bottom Ash Complex.
- Report on Dam Safety Inspection, Bottom Ash Pond Complex. Prepared by Woodward-Clyde Consultants, Inc. Wayne, New. January 1985.

Hydrologic and Hydraulic Analysis and P.E. Certification Mountaineer Plant Impoundment New Haven, West Virginia September 30, 2015 Terracon Project No. N4155129



7.0 P.E. CERTIFICATION

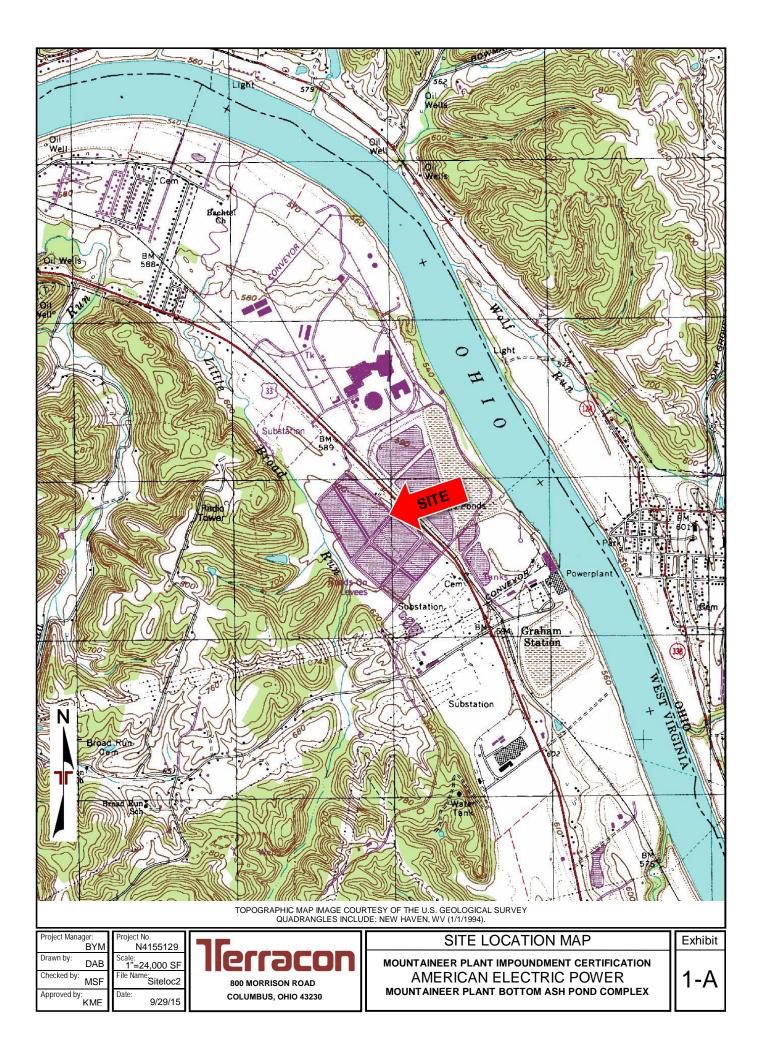
Based on the site reconnaissance visit, hazard potential assessment, and the hydrologic and hydraulic analysis performed by Terracon personnel, I hereby certify that the significant hazard potential classification for the Mountaineer Plant Bottom Ash Pond Complex in this report was conducted in accordance with requirements of Section 257.73 of the CCR Rules and that the facility has adequate hydrologic and hydraulic capacity to collect and control the peak discharge resulting from 1000-year design storm.

s 0-2015 FINA Mohammad S. Finy, P. **Certifying Engineer** E-69705

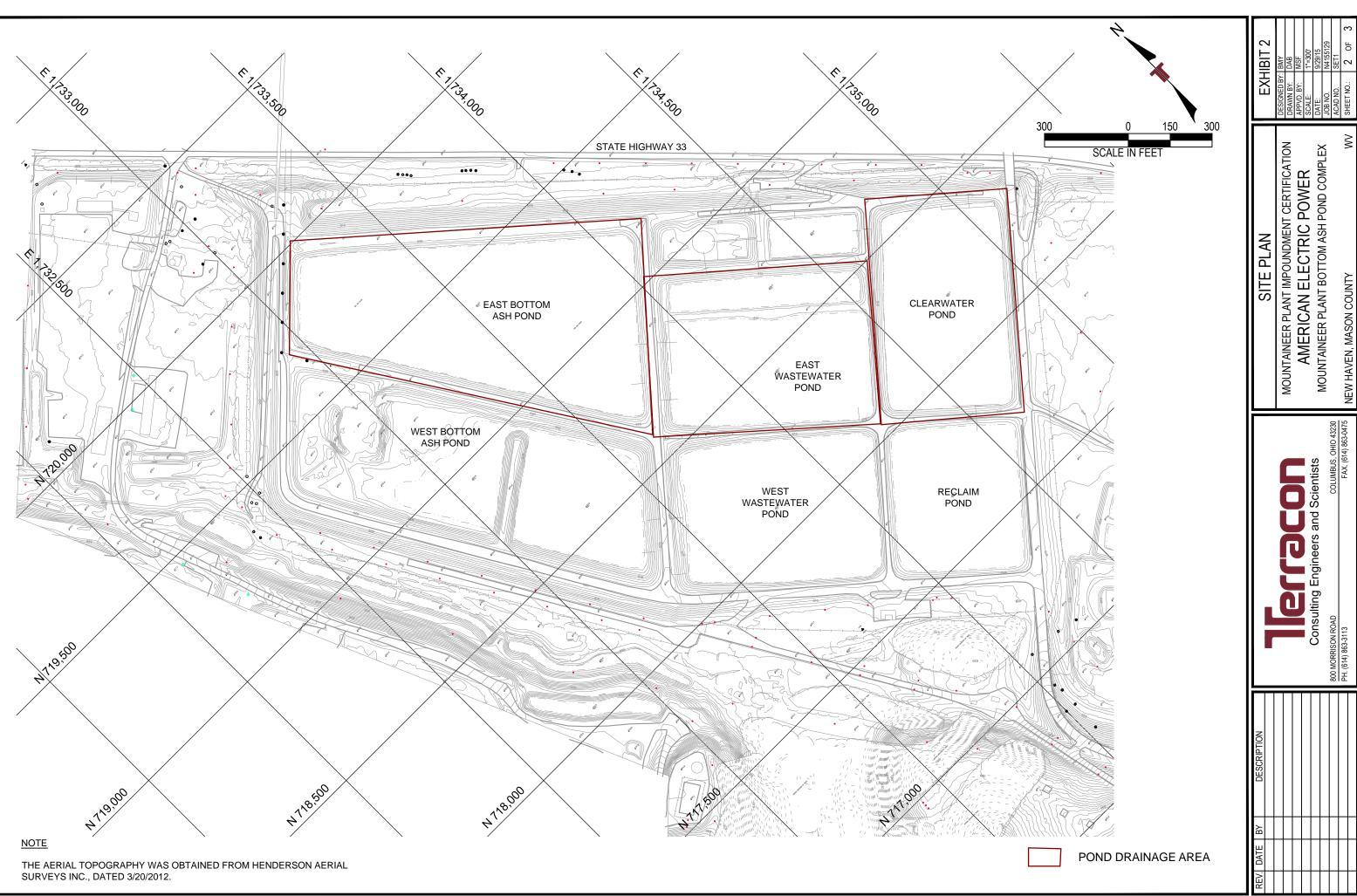


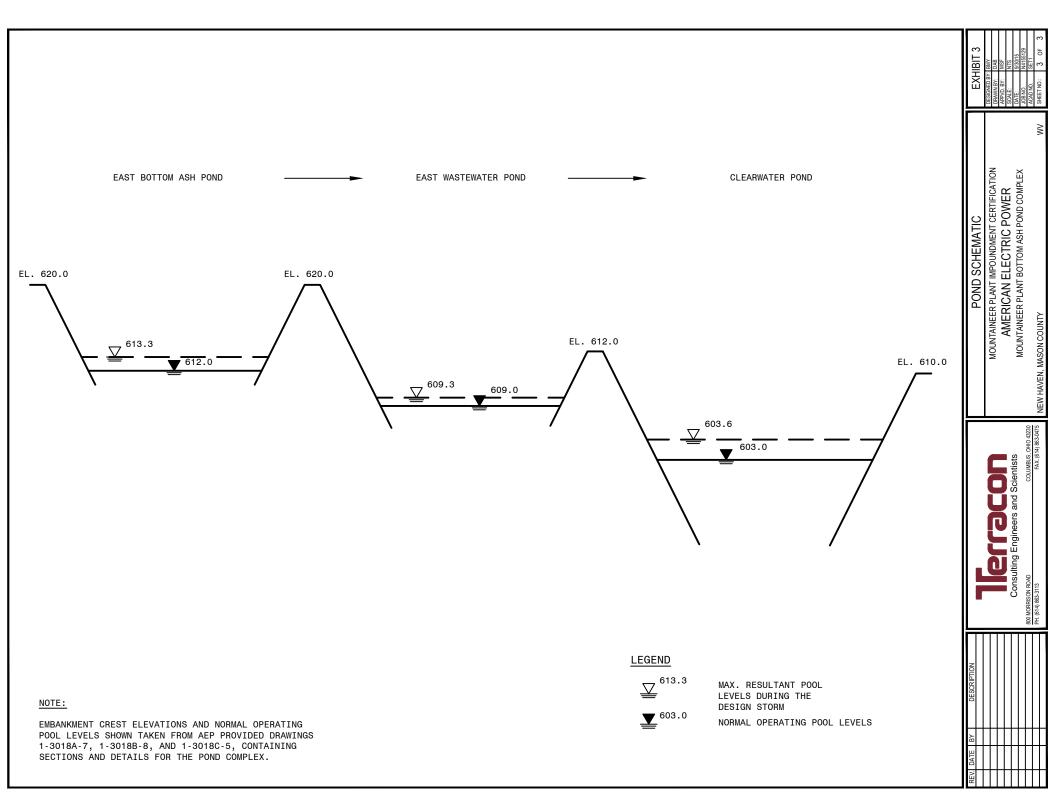
EXHIBITS

Responsive Resourceful Reliable











ATTACHMENT 1

Pumped Influent and Water Balance Information

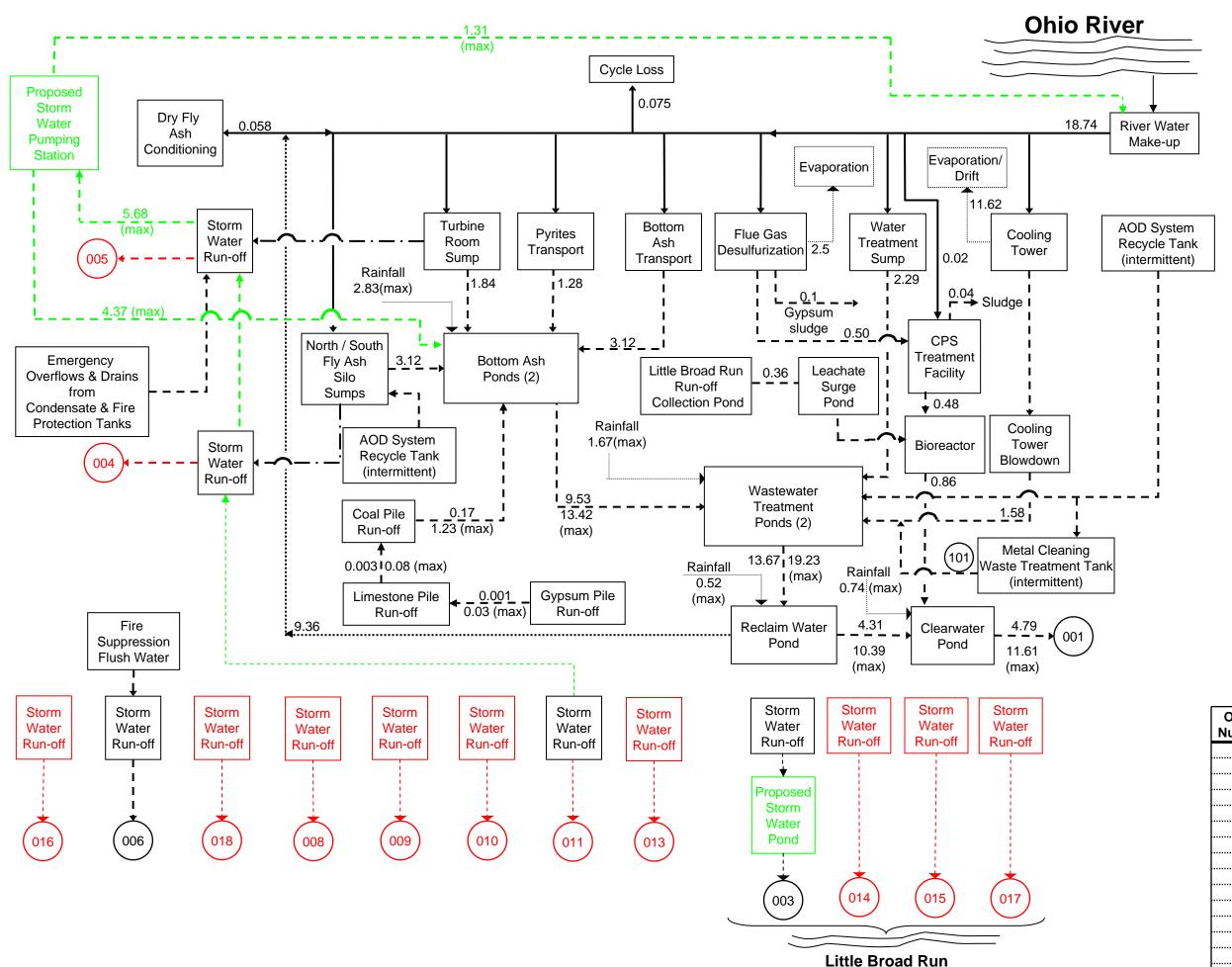


Influent Sources	Rate (mgd)	cfs
To Bottom Ash Pond		
Coal Pile Run-off	1.23	
Fly Ash Silo Sumps	3.12	
Stormwater	4.37	
Turbine Room Sump	1.84	
Pyrites Transport	1.28	
Bottom Ash Transport	3.12	
Total	14.96	24
To Wastewater Pond		
Water Treatment Sump	2.29	
Cooling Tower Blowdown	1.58	
Total	3.87	6
To Bottom Ash Pond		
Bioreactor	0.86	2

Mountaineer Plant Impoundment System Pumped Influent

Note:

Influent pumped is compiled from the attached water balance data provided by AEP.



Appalachian Power Co. **Mountaineer Plant**

Water Balance Flow Diagram

NOTES

Note 1: All flows represent average water usage with the unit operating at full load.

Note 2: Maximum (max) flows include rainfall for a 10-year/24-hour storm event.

Note 3: Maximum (max) flow entering the Storm Water Pumping Station (SWPS) is the 24 hours of highest intensity in a 10 year/24-hour storm event.

Note 4: The design overflow of the SWPS to the River Water Make-up is anything over a 1" storm in a 24-hour period.

Note 5: Proposed storm water management modifications indicated in GREEN, and proposed storm water management terminations indicated in RED.

LEGEND

- Supply Water
- Waste Water
- **Reclaim Water**
- Storm Water

(###

- Evaporation/Rainfall
- No flow associated with normal operating conditions (emergency overflow)

Outlet Number

Outlet Number	Receiving Water	Average Discharge	Maximum Discharge	
001	Ohio River	4.79	11.61	
003	Little Broad Run	0.169	3.978	
004	Ohio River	0.110	2.605	
005	Ohio River	0.307	7.238	
006	Ohio River	0.019	0.458	
800	Ohio River	0.003	0.062	
009	Ohio River	0.006	0.134	
010	Ohio River	0.001	0.029	
011	Ohio River	0.009	0.216	
013	Ohio River	0.012	0.273	
014	Little Broad Run	0.001	0.026	
015	Little Broad Run	0.011	0.252	
016	Ohio River	0.004	0.098	
017	Little Broad Run	0.002	0.064	
018	Ohio River	0.001	0.024	

All flows measured in million gallons per day (MGD)



ATTACHMENT 2

Precipitation Data



NOAA Atlas 14, Volume 2, Version 3 Location name: Letart, West Virginia, US* Latitude: 38.9697°, Longitude: -81.9364° Elevation: 621 ft* * source: Google Maps



POINT PRECIPITATION FREQUENCY ESTIMATES

G.M. Bonnin, D. Martin, B. Lin, T. Parzybok, M.Yekta, and D. Riley

NOAA, National Weather Service, Silver Spring, Maryland

PF_tabular | PF_graphical | Maps_&_aerials

PF tabular

PI	PDS-based point precipitation frequency estimates with 90% confidence intervals (in inches) ¹									
Duration				Avera	ige recurren	ce interval (years)			
Duration	1	2	5	10	25	50	100	200	500	1000
5-min	0.337 (0.305-0.371)	0.401 (0.364-0.442)	0.481 (0.436-0.530)	0.542 (0.491-0.597)	0.619 (0.558-0.680)	0.677 (0.610-0.742)	0.732 (0.656-0.801)	0.786 (0.703-0.859)	0.857 (0.761-0.934)	0.907 (0.803-0.988)
10-min	0.523 (0.474-0.576)	0.625 (0.568-0.691)	0.747 (0.678-0.824)	0.837 (0.758-0.921)	0.947 (0.854-1.04)	1.03 (0.924-1.13)	1.10 (0.988-1.21)	1.17 (1.05-1.28)	1.26 (1.12-1.37)	1.32 (1.17-1.44)
15-min	0.641 (0.581-0.706)	0.765 (0.694-0.845)	0.917 (0.832-1.01)	1.03 (0.933-1.13)	1.17 (1.06-1.29)	1.27 (1.14-1.39)	1.37 (1.23-1.50)	1.46 (1.31-1.60)	1.57 (1.40-1.71)	1.65 (1.46-1.80)
30-min	0.848 (0.769-0.934)	1.02 (0.929-1.13)	1.26 (1.14-1.39)	1.43 (1.30-1.57)	1.65 (1.49-1.81)	1.82 (1.64-1.99)	1.98 (1.77-2.16)	2.13 (1.90-2.33)	2.33 (2.07-2.54)	2.48 (2.19-2.70)
60-min	1.04 (0.939-1.14)	1.26 (1.14-1.39)	1.58 (1.43-1.74)	1.82 (1.65-2.00)	2.14 (1.93-2.35)	2.39 (2.15-2.62)	2.64 (2.37-2.89)	2.89 (2.58-3.16)	3.22 (2.86-3.51)	3.48 (3.08-3.79)
2-hr	1.21 (1.10-1.33)	1.46 (1.33-1.61)	1.84 (1.67-2.02)	2.13 (1.92-2.33)	2.52 (2.27-2.76)	2.84 (2.55-3.10)	3.16 (2.82-3.45)	3.50 (3.11-3.80)	3.95 (3.48-4.28)	4.31 (3.78-4.66)
3-hr	1.28 (1.16-1.41)	1.54 (1.40-1.70)	1.93 (1.76-2.13)	2.24 (2.03-2.47)	2.66 (2.41-2.92)	3.00 (2.70-3.29)	3.36 (3.01-3.67)	3.72 (3.31-4.06)	4.23 (3.73-4.60)	4.62 (4.06-5.02)
6-hr	1.52 (1.39-1.67)	1.82 (1.67-2.01)	2.26 (2.07-2.49)	2.62 (2.39-2.87)	3.12 (2.83-3.41)	3.54 (3.19-3.85)	3.96 (3.56-4.31)	4.41 (3.94-4.78)	5.04 (4.45-5.44)	5.54 (4.86-5.97)
12-hr	1.79 (1.65-1.94)	2.13 (1.96-2.32)	2.61 (2.41-2.85)	3.02 (2.78-3.28)	3.59 (3.29-3.88)	4.06 (3.70-4.38)	4.56 (4.13-4.90)	5.08 (4.58-5.45)	5.82 (5.19-6.23)	6.42 (5.68-6.85)
24-hr	2.14 (2.01-2.28)	2.55 (2.40-2.72)	3.09 (2.90-3.29)	3.53 (3.31-3.76)	4.14 (3.88-4.40)	4.64 (4.33-4.92)	5.15 (4.79-5.46)	5.68 (5.27-6.01)	6.41 (5.92-6.77)	6.98 (6.42-7.37)
2-day	2.55 (2.40-2.71)	3.02 (2.85-3.22)	3.63 (3.41-3.86)	4.11 (3.87-4.37)	4.78 (4.48-5.07)	5.31 (4.96-5.63)	5.85 (5.45-6.20)	6.40 (5.95-6.78)	7.16 (6.61-7.58)	7.74 (7.12-8.19)
3-day	2.74 (2.58-2.90)	3.24 (3.06-3.45)	3.87 (3.65-4.11)	4.37 (4.12-4.64)	5.05 (4.75-5.35)	5.59 (5.24-5.92)	6.13 (5.73-6.48)	6.68 (6.23-7.07)	7.42 (6.88-7.84)	7.98 (7.37-8.43)
4-day	2.93 (2.77-3.10)	3.46 (3.27-3.67)	4.12 (3.89-4.37)	4.64 (4.38-4.91)	5.33 (5.02-5.64)	5.87 (5.51-6.21)	6.41 (6.01-6.77)	6.96 (6.50-7.35)	7.67 (7.14-8.10)	8.22 (7.63-8.67)
7-day	3.52 (3.33-3.72)	4.16 (3.94-4.40)	4.90 (4.63-5.17)	5.46 (5.16-5.76)	6.20 (5.85-6.54)	6.76 (6.37-7.13)	7.32 (6.88-7.71)	7.86 (7.37-8.27)	8.56 (8.00-9.01)	9.08 (8.46-9.57)
10-day	4.03 (3.82-4.25)	4.75 (4.50-5.01)	5.53 (5.24-5.83)	6.12 (5.80-6.45)	6.89 (6.51-7.25)	7.47 (7.05-7.85)	8.02 (7.56-8.44)	8.56 (8.05-9.00)	9.24 (8.67-9.72)	9.73 (9.11-10.2)
20-day	5.62 (5.34-5.91)	6.59 (6.26-6.92)	7.56 (7.18-7.94)	8.28 (7.87-8.69)	9.20 (8.73-9.65)	9.87 (9.37-10.4)	10.5 (9.96-11.0)	11.1 (10.5-11.6)	11.8 (11.2-12.4)	12.4 (11.7-13.0)
30-day	6.94 (6.64-7.26)	8.11 (7.76-8.49)	9.20 (8.80-9.63)	10.0 (9.57-10.5)	11.0 (10.5-11.5)	11.8 (11.2-12.3)	12.4 (11.9-13.0)	13.1 (12.4-13.7)	13.8 (13.1-14.5)	14.4 (13.6-15.0)
45-day	8.87 (8.50-9.26)	10.3 (9.89-10.8)	11.6 (11.1-12.1)	12.5 (12.0-13.1)	13.6 (13.1-14.2)	14.4 (13.8-15.1)	15.2 (14.5-15.9)	15.9 (15.1-16.6)	16.6 (15.9-17.4)	17.2 (16.4-18.0)
60-day	10.5 (10.1-11.0)	12.2 (11.7-12.7)	13.6 (13.1-14.2)	14.6 (14.0-15.2)	15.8 (15.2-16.5)	16.7 (16.0-17.4)	17.5 (16.7-18.2)	18.1 (17.4-18.9)	18.9 (18.1-19.7)	19.5 (18.6-20.3)

¹ Precipitation frequency (PF) estimates in this table are based on frequency analysis of partial duration series (PDS).

Numbers in parenthesis are PF estimates at lower and upper bounds of the 90% confidence interval. The probability that precipitation frequency estimates (for a given duration and average recurrence interval) will be greater than the upper bound (or less than the lower bound) is 5%. Estimates at upper bounds are not checked against probable maximum precipitation (PMP) estimates and may be higher than currently valid PMP values. Please refer to NOAA Atlas 14 document for more information.

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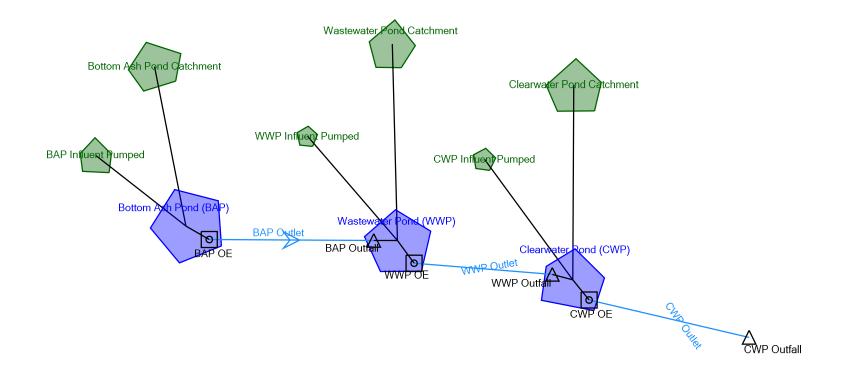
PF graphical



ATTACHMENT 3

PondPack Model Output

Scenario: Post-Development 1000 Year



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Subsection: Master Network Summary

Catchments Summary

Label	Scenario	Return Event (years)	Hydrograph Volume (ac-ft)	Time to Peak (hours)	Peak Flow (ft ³ /s)
BAP Influent Pumped	Post-Development 1000 Year	1,000	47.603	0.000	24.00
Bottom Ash Pond Catchment	Post-Development 1000 Year	1,000	9.300	11.900	143.80
CWP Influent Pumped	Post-Development 1000 Year	1,000	3.967	0.000	2.00
Clearwater Pond Catchment	Post-Development 1000 Year	1,000	5.230	11.950	76.86
WWP Influent Pumped	Post-Development 1000 Year	1,000	11.901	0.000	6.00
Wastewater Pond Catchment	Post-Development 1000 Year	1,000	6.102	11.950	89.67

Node Summary

Label	Scenario	Return Event (years)	Hydrograph Volume (ac-ft)	Time to Peak (hours)	Peak Flow (ft³/s)
CWP Outfall	Post-Development 1000 Year	1,000	73.655	12.350	77.07

Pond Summary

Label	Scenario	Return Event (years)	Hydrograph Volume (ac-ft)	Time to Peak (hours)	Peak Flow (ft³/s)	Maximum Water Surface Elevation (ft)	Maximum Pond Storage (ac-ft)
Bottom Ash Pond (BAP) (IN)	Post- Development 1000 Year	1,000	56.903	11.900	167.80	(N/A)	(N/A)
Bottom Ash Pond (BAP) (OUT)	Post- Development 1000 Year	1,000	50.508	12.400	37.74	613.25	16.505
Clearwater Pond (CWP) (IN)	Post- Development 1000 Year	1,000	74.860	11.950	168.12	(N/A)	(N/A)
Clearwater Pond (CWP) (OUT)	Post- Development 1000 Year	1,000	73.655	12.350	77.07	603.55	3.877
Wastewater Pond (WWP) (IN)	Post- Development 1000 Year	1,000	68.511	11.950	129.67	(N/A)	(N/A)
Wastewater Pond (WWP) (OUT)	Post- Development 1000 Year	1,000	65.663	12.050	100.24	609.29	3.775

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Peak Discharge	24.00 ft ³ /s
Time to Peak	7.900 hours
Hydrograph Volume	47.603 ac-ft

HYDROGRAPH ORDINATES (ft³/s) Output Time Increment = 0.100 hours Time on left represents time for first value in each row.

Time (hours)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft ³ /s)	Flow (ft³/s)	Flow (ft³/s)
0.000	(113/5) 24.00	(113/5) 24.00	24.00	(113/5)	24.00
0.500	24.00	24.00	24.00	24.00	24.00
1.000	24.00	24.00	24.00	24.00	24.00
1.500	24.00	24.00	24.00	24.00	24.00
2.000	24.00	24.00	24.00	24.00	24.00
2.500	24.00	24.00	24.00	24.00	24.00
3.000	24.00	24.00	24.00	24.00	24.00
3.500	24.00	24.00	24.00	24.00	24.00
4.000	24.00	24.00	24.00	24.00	24.00
4.500	24.00	24.00	24.00	24.00	24.00
5.000	24.00	24.00	24.00	24.00	24.00
5.500	24.00	24.00	24.00	24.00	24.00
6.000	24.00	24.00	24.00	24.00	24.00
6.500	24.00	24.00	24.00	24.00	24.00
7,000	24.00	24.00	24.00	24.00	24.00
7.500	24.00	24.00	24.00	24.00	24.00
8.000	24.00	24.00	24.00	24.00	24.00
8.500	24.00	24.00	24.00	24.00	24.00
9.000	24.00	24.00	24.00	24.00	24.00
9.500	24.00	24.00	24.00	24.00	24.00
10.000	24.00	24.00	24.00	24.00	24.00
10.500	24.00	24.00	24.00	24.00	24.00
11.000	24.00	24.00	24.00	24.00	24.00
11.500	24.00	24.00	24.00	24.00	24.00
12.000	24.00	24.00	24.00	24.00	24.00
12.500	24.00	24.00	24.00	24.00	24.00
13.000	24.00	24.00	24.00	24.00	24.00
13.500	24.00	24.00	24.00	24.00	24.00
14.000	24.00	24.00	24.00	24.00	24.00
14.500	24.00	24.00	24.00	24.00	24.00
15.000	24.00	24.00	24.00	24.00	24.00
15.500	24.00	24.00	24.00	24.00	24.00
16.000	24.00	24.00	24.00	24.00	24.00
16.500	24.00	24.00	24.00	24.00	24.00
17.000	24.00	24.00	24.00	24.00	24.00
17.500	24.00	24.00	24.00	24.00	24.00
18.000	24.00	24.00	24.00	24.00	24.00
18.500	24.00	24.00	24.00	24.00	24.00
19.000	24.00	24.00	24.00	24.00	24.00

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Subsection: Read Hydrograph Label: BAP Influent Pumped Return Event: 1,000 years Storm Event: 1000 Year

HYDROGRAPH ORDINATES (ft³/s) Output Time Increment = 0.100 hours Time on left represents time for first value in each row.

Time (hours)	Flow (ft ³ /s)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)
19.500	24.00	24.00	24.00	24.00	24.00
20.000	24.00	24.00	24.00	24.00	24.00
20.500	24.00	24.00	24.00	24.00	24.00
21.000	24.00	24.00	24.00	24.00	24.00
21.500	24.00	24.00	24.00	24.00	24.00
22.000	24.00	24.00	24.00	24.00	24.00
22.500	24.00	24.00	24.00	24.00	24.00
23.000	24.00	24.00	24.00	24.00	24.00
23.500	24.00	24.00	24.00	24.00	24.00
24.000	24.00	(N/A)	(N/A)	(N/A)	(N/A)

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 3 of 27 Subsection: Unit Hydrograph Summary Label: Bottom Ash Pond Catchment

Return Event: 1,000 years Storm Event: 1000 Year

	Storm Event		1000 Year
	Return Event		1,000 years
	Duration		24.000 hours
	Depth		7.0 in
	Time of Concentra (Composite)	ation	0.083 hours
	Area (User Define	ed)	16.000 acres
	Computational Tir Increment	ne	0.011 hours
	Time to Peak (Cor	mputed)	11.911 hours
	Flow (Peak, Comp	outed)	146.03 ft ³ /s
	Output Increment	t	0.050 hours
	Time to Flow (Pea Interpolated Outp		11.900 hours
	Flow (Peak Interp Output)	olated	143.80 ft ³ /s
	Drainage Area		
	SCS CN (Composi	te)	100.000
	Area (User Define	ed)	16.000 acres
	Maximum Retenti (Pervious)	on	0.0 in
	Maximum Retention (Pervious, 20 perc		0.0 in
	Cumulative Runo	off	
	Cumulative Runof		7.0 in
	(Pervious) Runoff Volume (P	ervious)	9.307 ac-ft
		,	
	Hydrograph Volu	me (Area under H	ydrograph curve)
	Volume		9.300 ac-ft
	SCS Unit Hydrog	raph Parameters	
	Time of Concentra (Composite)	ation	0.083 hours
	Computational Tir Increment	ne	0.011 hours
	Unit Hydrograph S Factor	Shape	483.432
	K Factor		0.749
	Receding/Rising,	Tr/Tp	1.670
	Unit peak, qp		217.54 ft ³ /s
	Unit peak time, T	р	0.056 hours
Mountaineer Plant Botton As		entley Systems, Inc. Ha Cen	estad Methods Solution
Mountaineer Plant Impoundn	hent nnc	27 Siemon Company Watertown, CT 06795 U	Drive Suite 200 W
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Subsection: Unit Hydrograph Summary Label: Bottom Ash Pond Catchment

Return Event: 1,000 years Storm Event: 1000 Year

SCS Unit Hydrograph Parameters	
Unit receding limb, Tr	0.222 hours
Total unit time, Tb	0.278 hours

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Return Event: 1,000 years Storm Event: 1000 Year

	Storm Event	1000 Year
	Return Event	1,000 years
	Duration	24.000 hours
	Depth	7.0 in
	Time of Concentration (Composite)	0.100 hours
	Area (User Defined)	9.000 acres
	Computational Time	
	Increment	0.013 hours
	Time to Peak (Comput	ed) 11.920 hours
	Flow (Peak, Computed) 79.77 ft³/s
	Output Increment	0.050 hours
	Time to Flow (Peak Interpolated Output)	11.950 hours
	Flow (Peak Interpolate Output)	d 76.86 ft ³ /s
	Drainage Area	
	SCS CN (Composite)	100.000
	Area (User Defined)	9.000 acres
	Maximum Retention (Pervious)	0.0 in
	Maximum Retention (Pervious, 20 percent)	0.0 in
	Cumulative Runoff	
	Cumulative Runoff Dep (Pervious)	oth 7.0 in
	Runoff Volume (Pervio	us) 5.235 ac-ft
	Hydrograph Volume (Area under Hydrograph curve)
	Volume	5.230 ac-ft
	SCS Unit Hydrograph	Parameters
	Time of Concentration (Composite)	0.100 hours
	Computational Time Increment	0.013 hours
	Unit Hydrograph Shap Factor	e 483.432
	K Factor	0.749
	Receding/Rising, Tr/Tp	1.670
	Unit peak, qp	101.97 ft³/s
	Unit peak time, Tp	0.067 hours
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Subsection: Unit Hydrograph Summary Label: Clearwater Pond Catchment

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Return Event: 1,000 years Storm Event: 1000 Year

SCS Unit Hydrograph Parameters	
Unit receding limb, Tr	0.267 hours
Total unit time, Tb	0.333 hours

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 7 of 27 Subsection: Read Hydrograph Label: CWP Influent Pumped

Return Event: 1,000 years Storm Event: 1000 Year

Peak Discharge	2.00 ft ³ /s
Time to Peak	7.900 hours
Hydrograph Volume	3.967 ac-ft

HYDROGRAPH ORDINATES (ft³/s) Output Time Increment = 0.100 hours Time on left represents time for first value in each row.

Time (hours)	Flow (ft ³ /s)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)
0.000					
0.000	2.00 2.00	2.00 2.00	2.00 2.00	2.00 2.00	2.00 2.00
1.000	2.00	2.00	2.00	2.00	2.00
1.500	2.00	2.00	2.00	2.00	2.00
2.000	2.00	2.00	2.00	2.00	2.00
2.500	2.00	2.00	2.00	2.00	2.00
3.000	2.00	2.00	2.00	2.00	2.00
3.500	2.00	2.00	2.00	2.00	2.00
4.000	2.00	2.00	2.00	2.00	2.00
4.500	2.00	2.00	2.00	2.00	2.00
5.000	2.00	2.00	2.00	2.00	2.00
5.500	2.00	2.00	2.00	2.00	2.00
6.000	2.00	2.00	2.00	2.00	2.00
6.500	2.00	2.00	2.00	2.00	2.00
7.000	2.00	2.00	2.00	2.00	2.00
7.500	2.00	2.00	2.00	2.00	2.00
8.000	2.00	2.00	2.00	2.00	2.00
8.500	2.00	2.00	2.00	2.00	2.00
9.000	2.00	2.00	2.00	2.00	2.00
9.500	2.00	2.00	2.00	2.00	2.00
10.000	2.00	2.00	2.00	2.00	2.00
10.500	2.00	2.00	2.00	2.00	2.00
11.000	2.00	2.00	2.00	2.00	2.00
11.500	2.00	2.00	2.00	2.00	2.00
12.000	2.00	2.00	2.00	2.00	2.00
12.500	2.00	2.00	2.00	2.00	2.00
13.000	2.00	2.00	2.00	2.00	2.00
13.500	2.00	2.00	2.00	2.00	2.00
14.000	2.00	2.00	2.00	2.00	2.00
14.500	2.00	2.00	2.00	2.00	2.00
15.000	2.00	2.00	2.00	2.00	2.00
15.500	2.00	2.00	2.00	2.00	2.00
16.000	2.00	2.00	2.00	2.00	2.00
16.500	2.00	2.00	2.00	2.00	2.00
17.000	2.00	2.00	2.00	2.00	2.00
17.500	2.00	2.00	2.00	2.00	2.00
18.000	2.00	2.00	2.00	2.00	2.00
18.500	2.00	2.00	2.00	2.00	2.00
19.000	2.00	2.00	2.00	2.00	2.00

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc Bentley Systems, Inc. Haestad Methods Solution Center

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Subsection: Read Hydrograph Label: CWP Influent Pumped

Return Event: 1,000 years Storm Event: 1000 Year

HYDROGRAPH ORDINATES (ft³/s) Output Time Increment = 0.100 hours Time on left represents time for first value in each row.

Time (hours)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)
19.500	2.00	2.00	2.00	2.00	2.00
20.000	2.00	2.00	2.00	2.00	2.00
20.500	2.00	2.00	2.00	2.00	2.00
21.000	2.00	2.00	2.00	2.00	2.00
21.500	2.00	2.00	2.00	2.00	2.00
22.000	2.00	2.00	2.00	2.00	2.00
22.500	2.00	2.00	2.00	2.00	2.00
23.000	2.00	2.00	2.00	2.00	2.00
23.500	2.00	2.00	2.00	2.00	2.00
24.000	2.00	(N/A)	(N/A)	(N/A)	(N/A)

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 9 of 27 Subsection: Unit Hydrograph Summary Label: Wastewater Pond Catchment

Return Event: 1,000 years Storm Event: 1000 Year

	Storm Event		1000 Year
	Return Event		1,000 years
	Duration		24.000 hours
	Depth		7.0 in
	Time of Concentra (Composite)	ition	0.100 hours
	Area (User Define	d)	10.500 acres
	Computational Tin Increment	ne	0.013 hours
	Time to Peak (Cor	nputed)	11.920 hours
	Flow (Peak, Comp	uted)	93.07 ft ³ /s
	Output Increment		0.050 hours
	Time to Flow (Pea Interpolated Outp		11.950 hours
	Flow (Peak Interpo Output)	olated	89.67 ft³/s
	Drainage Area		
	SCS CN (Composit	e)	100.000
	Area (User Define	d)	10.500 acres
	Maximum Retentio (Pervious)	n	0.0 in
	Maximum Retention (Pervious, 20 perc		0.0 in
	Cumulative Runo	ff	
	Cumulative Runofl (Pervious)		7.0 in
	Runoff Volume (Pe	ervious)	6.108 ac-ft
	Hydrograph Volur	me (Area under Hy	/drograph curve)
	Volume		6.102 ac-ft
	SCS Unit Hydrog	raph Parameters	
	Time of Concentra (Composite)	ation	0.100 hours
	Computational Tin Increment	ne	0.013 hours
	Unit Hydrograph S Factor	Shape	483.432
	K Factor		0.749
	Receding/Rising, 1	Гr/Тр	1.670
	Unit peak, qp		118.97 ft ³ /s
	Unit peak time, Tp)	0.067 hours
Mountaineer Plant Botton Asl		ntley Systems, Inc. Ha Cent	estad Methods Solution
Mountaineer Plant Impoundm	Dent nnc	27 Siemon Company Vatertown, CT 06795 U	Drive Suite 200 W
9/30/2015			

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Subsection: Unit Hydrograph Summary Label: Wastewater Pond Catchment Return Event: 1,000 years Storm Event: 1000 Year

SCS Unit Hydrograph Parameters	
Unit receding limb, Tr	0.267 hours
Total unit time, Tb	0.333 hours

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 11 of 27 Subsection: Read Hydrograph Label: WWP Influent Pumped

Return Event: 1,000 years Storm Event: 1000 Year

Peak Discharge	6.00 ft ³ /s
Time to Peak	7.900 hours
Hydrograph Volume	11.901 ac-ft

HYDROGRAPH ORDINATES (ft³/s) Output Time Increment = 0.100 hours Time on left represents time for first value in each row.

Time (hours)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)
0.000	6.00	6.00	6.00	6.00	6.00
0.500	6.00	6.00	6.00	6.00	6.00
1.000	6.00	6.00	6.00	6.00	6.00
1.500	6.00	6.00	6.00	6.00	6.00
2.000	6.00	6.00	6.00	6.00	6.00
2.500	6.00	6.00	6.00	6.00	6.00
3.000	6.00	6.00	6.00	6.00	6.00
3.500	6.00	6.00	6.00	6.00	6.00
4.000	6.00	6.00	6.00	6.00	6.00
4.500	6.00	6.00	6.00	6.00	6.00
5.000	6.00	6.00	6.00	6.00	6.00
5.500	6.00	6.00	6.00	6.00	6.00
6.000	6.00	6.00	6.00	6.00	6.00
6.500	6.00	6.00	6.00	6.00	6.00
7.000	6.00	6.00	6.00	6.00	6.00
7.500	6.00	6.00	6.00	6.00	6.00
8.000	6.00	6.00	6.00	6.00	6.00
8.500	6.00	6.00	6.00	6.00	6.00
9.000	6.00	6.00	6.00	6.00	6.00
9.500	6.00	6.00	6.00	6.00	6.00
10.000	6.00	6.00	6.00	6.00	6.00
10.500	6.00	6.00	6.00	6.00	6.00
11.000	6.00	6.00	6.00	6.00	6.00
11.500	6.00	6.00	6.00	6.00	6.00
12.000	6.00	6.00	6.00	6.00	6.00
12.500	6.00	6.00	6.00	6.00	6.00
13.000	6.00	6.00	6.00	6.00	6.00
13.500 14.000	6.00 6.00	6.00 6.00	6.00 6.00	6.00 6.00	6.00 6.00
14.500	6.00	6.00	6.00	6.00	6.00
15.000	6.00	6.00	6.00	6.00	6.00
15.500	6.00	6.00	6.00	6.00	6.00
16.000	6.00	6.00	6.00	6.00	6.00
16.500	6.00	6.00	6.00	6.00	6.00
17.000	6.00	6.00	6.00	6.00	6.00
17.500	6.00	6.00	6.00	6.00	6.00
18.000	6.00	6.00	6.00	6.00	6.00
18.500	6.00	6.00	6.00	6.00	6.00
19.000	6.00	6.00	6.00	6.00	6.00
1					

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc Bentley Systems, Inc. Haestad Methods Solution Center

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9/30/2015

Subsection: Read Hydrograph Label: WWP Influent Pumped

Return Event: 1,000 years Storm Event: 1000 Year

HYDROGRAPH ORDINATES (ft³/s) Output Time Increment = 0.100 hours Time on left represents time for first value in each row.

Time (hours)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)	Flow (ft³/s)
19.500	6.00	6.00	6.00	6.00	6.00
20.000	6.00	6.00	6.00	6.00	6.00
20.500	6.00	6.00	6.00	6.00	6.00
21.000	6.00	6.00	6.00	6.00	6.00
21.500	6.00	6.00	6.00	6.00	6.00
22.000	6.00	6.00	6.00	6.00	6.00
22.500	6.00	6.00	6.00	6.00	6.00
23.000	6.00	6.00	6.00	6.00	6.00
23.500	6.00	6.00	6.00	6.00	6.00
24.000	6.00	(N/A)	(N/A)	(N/A)	(N/A)

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 13 of 27 Subsection: Elevation-Area Volume Curve Label: Bottom Ash Pond (BAP)

Elevation (ft)	Planimeter (ft²)	Area (acres)	A1+A2+sqr(A1*A 2) (acres)	Volume (ac-ft)	Volume (Total) (ac-ft)
612.00	0.0	13.000	0.000	0.000	0.000
613.00	0.0	13.290	39.434	13.145	13.145
614.00	0.0	13.606	40.343	13.448	26.592
616.00	0.0	14.191	41.692	27.795	54.387
618.00	0.0	14.725	43.372	28.915	83.302
620.00	0.0	15.326	45.074	30.049	113.351

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 14 of 27 Subsection: Elevation-Area Volume Curve Label: Clearwater Pond (CWP)

Elevation (ft)	Planimeter (ft²)	Area (acres)	A1+A2+sqr(A1*A 2) (acres)	Volume (ac-ft)	Volume (Total) (ac-ft)
603.00	0.0	6.900	0.000	0.000	0.000
603.70	0.0	7.159	21.087	4.920	4.920
604.00	0.0	7.209	21.551	2.155	7.075
606.00	0.0	7.571	22.167	14.778	21.853
608.00	0.0	7.929	23.248	15.498	37.352
610.00	0.0	8.299	24.340	16.226	53.578

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 15 of 27 Subsection: Elevation-Area Volume Curve Label: Wastewater Pond (WWP)

Elevation (ft)	Planimeter (ft²)	Area (acres)	A1+A2+sqr(A1*A 2) (acres)	Volume (ac-ft)	Volume (Total) (ac-ft)
608.70	0.0	6.240	0.000	0.000	0.000
610.00	0.0	6.492	19.096	8.275	8.275
612.00	0.0	9.265	23.512	15.674	23.949

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 16 of 27 Subsection: Outlet Input Data Label: Bottom Ash Pond Outlet Return Event: 1,000 years Storm Event: 1000 Year

Requested Pond Water Surfac	e Elevations
Minimum (Headwater)	612.00 ft
Increment (Headwater)	0.10 ft
Maximum (Headwater)	620.00 ft

Outlet Connectivity

Structure Type	Outlet ID	Direction	Outfall	E1 (ft)	E2 (ft)
Inlet Box	BAP Riser	Forward	BAP Culvert	612.00	620.00
Culvert-Circular	BAP Culvert	Forward	τw	606.67	620.00
Tailwater Settings	Tailwater			(N/A)	(N/A)

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 17 of 27 Subsection: Outlet Input Data Label: Bottom Ash Pond Outlet

Structure ID: BAP Riser Structure Type: Inlet Box	
Number of Openings	1
Elevation	612.00 ft
Orifice Area	28.3 ft ²
Orifice Coefficient	0.600
Weir Length	9.00 ft
Weir Coefficient	3.00 (ft^0.5)/s
K Reverse	1.000
Manning's n	0.000
Kev, Charged Riser	0.000
Weir Submergence	False
Orifice H to crest	False
Structure ID: BAP Culvert Structure Type: Culvert-Circular	
Number of Barrels	1
Diameter	48.0 in
Length	125.00 ft
Length (Computed Barrel)	125.00 ft
Slope (Computed)	0.005 ft/ft
Outlet Control Data	
Manning's n	0.013
Ke	0.900
Kb	0.005
Kr	0.900
Convergence Tolerance	0.00 ft
Inlet Control Data	
Equation Form	Form 1
К	0.0340
М	1.5000
С	0.0553
Υ	0.5400
T1 ratio (HW/D)	1.260
T2 ratio (HW/D)	1.422

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 18 of 27 Subsection: Outlet Input Data Label: Bottom Ash Pond Outlet

Use unsubmerged inlet control 0 equation below T1 elevation. Use submerged inlet control 0 equation above T2 elevation In transition zone between unsubmerged and submerged inlet control, interpolate between flows at T1 & T2...

T2 Elevation 612.36 ft T2 Elow 100.53 ft ³ .	T1 Elevation	611.71 ft	T1 Flow	87.96 ft³/s
	T2 Elevation	612.36 ft	T2 Flow	100.53 ft³/s

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 19 of 27 Subsection: Outlet Input Data Label: Clearwater Pond Outlet

Requested Pond Water Surfac	e Elevations
Minimum (Headwater)	603.00 ft
Increment (Headwater)	0.10 ft
Maximum (Headwater)	610.00 ft

Outlet Connectivity

Structure Type	Outlet ID	Direction	Outfall	E1 (ft)	E2 (ft)
Inlet Box	CWP Riser	Forward	CWP Culvert	610.00	610.00
Rectangular Weir	CWP Weir	Forward	CWP Culvert	603.00	610.00
Culvert-Circular	CWP Culvert	Forward	TW	588.55	610.00
Tailwater Settings	Tailwater			(N/A)	(N/A)

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 20 of 27 Subsection: Outlet Input Data Label: Clearwater Pond Outlet

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Return Event: 1,000 years Storm Event: 1000 Year

Structure ID: CWP Riser Structure Type: Inlet Box	
Number of Openings	1
Elevation	610.00 ft
Orifice Area	28.3 ft ²
Orifice Coefficient	0.600
Weir Length	16.00 ft
Weir Coefficient	3.00 (ft^0.5)/s
K Reverse	1.000
Manning's n	0.000
Kev, Charged Riser	0.000
Weir Submergence	False
Orifice H to crest	False

Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 21 of 27

Subsection: Outlet Input Data Label: Clearwater Pond Outlet

Structure ID: CWP Culvert Structure Type: Culvert-Circular	
Number of Barrels	1
Diameter	30.0 in
Length	140.00 ft
Length (Computed Barrel)	140.00 ft
Slope (Computed)	0.004 ft/ft
Outlet Control Data	
Manning's n	0.013
Ке	0.900
Kb	0.009
Kr	0.900
Convergence Tolerance	0.00 ft
nlet Control Data	
Equation Form	Form 1
К	0.0340
М	1.5000
С	0.0553
Y	0.5400
T1 ratio (HW/D)	1.261
T2 ratio (HW/D)	1.423
Slope Correction Factor	-0.500

Use unsubmerged inlet control 0 equation below T1 elevation. Use submerged inlet control 0 equation above T2 elevation

In transition zone between unsubmerged and submerged inlet control, interpolate between flows at T1 & T2...

T1 Elevation	591.70 ft	T1 Flow	27.16 ft ³ /s
T2 Elevation	592.11 ft	T2 Flow	31.05 ft³/s

Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Subsection: Outlet Input Data Label: Clearwater Pond Outlet

Structure ID: CWP Weir Structure Type: Rectangular W	/eir
Number of Openings	1
Elevation	603.00 ft
Weir Length	180.00 ft
Weir Coefficient	3.00 (ft^0.5)/s
Structure ID: TW Structure Type: TW Setup, DS	Channel
Tailwater Type	Free Outfall
Convergence Tolerances	
Maximum Iterations	30
Tailwater Tolerance (Minimum)	0.01 ft
Tailwater Tolerance (Maximum)	0.50 ft
Headwater Tolerance (Minimum)	0.01 ft
Headwater Tolerance (Maximum)	0.50 ft
Flow Tolerance (Minimum)	0.001 ft ³ /s
Flow Tolerance (Maximum)	10.000 ft ³ /s

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 23 of 27 Subsection: Outlet Input Data Label: Wastewater Pond Outlet Return Event: 1,000 years Storm Event: 1000 Year

Requested Pond Water Surface Elevations					
Minimum (Headwater)	608.70 ft				
Increment (Headwater)	0.10 ft				
Maximum (Headwater)	612.00 ft				

Outlet Connectivity

Structure Type	Outlet ID	Direction	Outfall	E1 (ft)	E2 (ft)
Rectangular Weir	WWP Weir	Forward + Reverse	WWP Culvert	609.00	612.00
Culvert-Box	WWP Culvert	Forward	τw	603.00	612.00
Tailwater Settings	Tailwater			(N/A)	(N/A)

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015 Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Bentley PondPack V8i [08.11.01.56] Page 24 of 27 Subsection: Outlet Input Data Label: Wastewater Pond Outlet

Number of Barrels	1
Width	3.00 ft
Height	4.00 ft
Length	50.00 ft
Length (Computed Barrel)	50.00 ft
Slope (Computed)	0.000 ft/ft
Outlet Control Data	
	0.010
Manning's n	0.013
Ke	0.000
Kb	0.006
Kr	0.000
Convergence Tolerance	0.00 ft
Inlet Control Data	
Equation Form	Form 2
ĸ	0.5000
Μ	0.6670
С	0.0446
Y	0.6500
T1 ratio (HW/D)	1.153
T2 ratio (HW/D)	1.364
Slope Correction Factor	-0.500

Use unsubmerged inlet control 1 equation below T1 elevation. Use submerged inlet control 1 equation above T2

elevation In transition zone between unsubmerged and submerged

inlet control,

interpolate between flows at T1 & T2...

T1 Elevation	607.61 ft	T1 Flow	84.00 ft ³ /s
T2 Elevation	608.45 ft	T2 Flow	96.00 ft³/s

Bentley Systems, Inc. Haestad Methods Solution Center 27 Siemon Company Drive Suite 200 W Watertown, CT 06795 USA +1-203-755-1666 Subsection: Outlet Input Data Label: Wastewater Pond Outlet Return Event: 1,000 years Storm Event: 1000 Year

Structure ID: WWP Weir Structure Type: Rectangular Weir	
Number of Openings	1
Elevation	609.00 ft
Weir Length	210.00 ft
Weir Coefficient	3.00 (ft^0.5)/s

Mountaineer Plant Botton Ash Complex Mountaineer Plant Impoundment.ppc 9/30/2015

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ATTACHMENT F

MAINTENANCE PLAN



MAINTENANCE PLAN

1301 ASH POND DAM

Located in New Haven, Mason County, West Virginia

American Electric Power Mountaineer Plant

Issued:November 2007Revision:Initial IssueIssued By:American Electric PowerCivil Engineering DepartmentGeotechnical Engineering SectionColumbus, Ohio

INTRODUCTION

PURPOSE

The purpose of this document is to provide guidance for the routine monitoring and maintenance of the 1301 Ash Pond Dam and related ancillary facilities and appurtenances.

OVERVIEW

The 1301 Ash Pond Dam is an upground reservoir formed by the dam and an internal dike system for the disposal of coal combustion byproducts. The internal dikes form six reservoirs for specific byproduct disposal and water treatment purposes. Those reservoirs include the east and west bottom ash ponds, the east and west wastewater ponds, the reclaim pond and the clear water pond.

MAINTENANCE PLAN

The maintenance plan for the facility is defined by the timeframes shown for those activities listed on the spreadsheet included herein. The maintenance plan is also intended to include those items not specifically listed herein, but identified as deficient during the routine quarterly inspections and during the annual engineering inspections. Those inspections should be considered complementary to the maintenance plan. The form used during the performance of the quarterly inspections is included herein as is the facility plan that accompanies that form.

ACTIVITIES	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
Maintenance Inspections												
Quarterly dike inspection			X			X			X			X
Bi-Annual engineering inspection - Alternating Years			 							X		
Embankments												
Mow embankment									X			
Repair erosion gullies		<u> </u>			X			<u> </u>				
Restore dead grass areas and bare spots				X			X			X		
Repair animal burrows	X			X			X			X		
Remove all trees and brush				X	<u> </u>					X		
Repair crest roadway as necessary					X							
										· ·		1
Overflow Weirs		L		<u> </u>				<u> </u>				· .
Adjust weir plates as necessary				X				 				
Replace and/or repair damaged/missing components		<u> </u>		X								<u> </u>
Clear debris and vegetation				<u>X</u>				<u> </u>		<u>X</u>		
Repair stairs, walkways, ramps and platforms as necessary				<u> </u>		X						
Skimmers										ļ		
Repair/Replace components as necessary		<u> </u>		X						X	<u> </u>	I
Repair stairs, walkways, ramps and platforms as necessary				X			<u> </u>	ļ		X		
Mechanical												
Maintain valves, gates and operators as necessary						X						
Maintain pipe supports as necessary						<u>x</u>			L			
Concrete and Steel												
Remove vegetation from joints, cracks and inverts			X							X		
Seal new cracks			1			X						
Reseal deteriorated or missing sealants		1	1	1		X						
Repair or replace deteriorated steel			1			X						
Prepare surface and recoat areas exhibiting paint failure						X						

MOUNTAINEER PLANT - 1301 ASH POND - ID #05307 MAINTENANCE PLAN

Note: Items detected during quarterly and annual inspections that could impact safety, operations or compliance must be addressed in an appropriate timeframe.

AEP AMERICAN® ELECTRIC POWER