

Summary of Rate Case Filing Requirements

	Arkansas	Indiana	Kentucky	Louisiana	Michigan	Ohio	Oklahoma	Tennessee	Texas	Virginia	West Virginia	FERC
GENERAL												
Time Limitations Between Cases	No	Yes	No	No	No	Note 4	No	No	No	Yes	No	No
Pancaking Permitted (Note 1)	No	No	No	No	No	Limited	No	No	No	No	No	Yes
Fuel Clause Renewal Frequency	Annually	Semi-Annually	Monthly	Monthly	Annually	Quarterly	Annually	Annually	Tri-Annually	Annually	Annually	--
Notice of Intent												
Prior PSC Notice Required?	Yes	Yes	Yes	No	Optional	Yes	Yes	Yes	No	Yes	Yes	No
Notice Period (days)	60	Varies	28	n/a	45	30	45	30	Note 5	60	30	No
CASE COMPONENTS												
Base Case Test Year	Partially Projected	Forecast Optional	Historical	Historical	Forecast Optional	Partially Projected	Historical	Historical	Historical	Historical	Historical	Forecast Optional
Other												
Rates Effective Subject to Refund	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No	No	Yes
Approx. # of months after filing to implement rates subject to refund	10	Note 2	6	4	Note 3	9	6	6	6	Note 6	Note 7	2 or 7

Note 1: Pancaking refers to paying multiple charges to more than one utility to transmit electric power across bulk-power systems. It impacts wholesale customers who are obligated to pay each transmission owner a separate rate to pass through.

Note 2: If the Commission doesn't issue an order within 300 days (10 months) or doesn't extend the 300 days by an additional 60 days, I&M can implement 50% of the proposed rate increase, subject to refund.

Note 3: If no order is received within 180 days of the filing, utility can implement interim rates, however they can not be implemented before the start of the test year.

Note 4: In 2011 Ohio Distribution case settlement, AEP Ohio agreed not to file another distribution case until 2014 for rates to be effective no sooner than June 1 ,2015.

Note 5: Notice is required for each municipality having original jurisdiction 30-days prior to filing.

Note 6: Rates are put into effect approximately 10 months after filing, but are not subject to refund.

Note 7: Rates are put into effect approximately 9 months after filing, but are not subject to refund.